

COUNTY OF SAN DIEGO
BOARD OF SUPERVISORS

2012 JUL 24 PM 2 55
**2012 CONFLICT OF INTEREST CODE
BIENNIAL REVIEW REPLY FORM**

THOMAS J. FOSTROZKA
CLERK OF THE BOARD
OF SUPERVISORS

Contact Person: Michelle Posada Telephone Number: (619) 699-1912

Name of Agency: San Diego Association of Governments (SANDAG)

Mailing Address: 401 B Street, Suite 800, San Diego, CA 92101

This agency has reviewed its conflict of interest code and has determined that:

Amendments are necessary: (Attach Amended Code)
(Check all that applies)

Include new positions (including consultants) which must be designated

Revise the titles of existing positions

Delete titles of positions that have been abolished

Delete positions that manage public investments

Revise disclosure categories

Other _____

No amendments are necessary. Our agency's code accurately designates all positions which make or participate in the making of governmental decisions; the disclosure assigned to those positions accurately requires the disclosure of all investments, business positions, interests in real property and sources of income which may foresee-ably be affected materially by the decision made by those designated positions; and the code includes all other provisions required by Government Code Section 87302.

Signature of Chief Executive Officer: Renée Wammund Date: 7/23/12

You must complete this report regardless of how recently your code was approved or amended. **Please return this report no later than October 1, 2012 to:**

Clerk of the Board of Supervisors
(Conflict of Interest Code)
1600 Pacific Highway, Room 402
San Diego, CA 92101

Approved and/or authorized by the
Board of Supervisors of the County of San Diego.
Meeting Date: 12/14/12 Minute Order No. 20
By: Nancy Lincon Date: 12/14/12
Deputy Clerk of the Board Supervisors.



**BOARD OF DIRECTORS
MARCH 23, 2012**

**AGENDA ITEM NO. 12-03-6
ACTION REQUESTED - APPROVE**

**PROPOSED AMENDMENTS TO
CONFLICT OF INTEREST CODE**

File Number 8000100

Introduction

Every two years, staff reviews the SANDAG Conflict of Interest Code to determine if updates are needed. Proposed amendments to the Code shown in Attachment 1 reflect updates to existing job titles and the addition of new positions to the list of designated positions.

Recommendation

The Board of Directors is asked to approve the proposed amendments to the Conflict of Interest Code in substantially the same form as Attachment 1.

Discussion

The amendments will implement necessary updates to the list of designated employee positions subject to filing annual economic disclosure statements. In addition, changes are proposed to the designated positions at other public agencies or consultant firms that assist SANDAG by making decisions regarding procurements or investments using SANDAG funds.

Those persons designated in the Conflict of Interest Code, including Board and committee members, need to submit their financial disclosure statement (Form 700) by no later than March 31, 2012.

GARY L. GALLEGOS
Executive Director

Attachment: 1. Amended Conflict of Interest Code

Key Staff Contact: Julie D. Wiley, (619) 699-6966, Julie.Wiley@sandag.org



CONFLICT OF INTEREST CODE

The Political Reform Act, Government Code Sections 81000, et seq., requires SANDAG to adopt and promulgate a Conflict of Interest Code. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regs. Section 18730, which contains the terms of a standard model Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission along with the attached Appendices in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of SANDAG.

The persons holding positions listed in the Appendix are designated employees, consultants, or officials. It has been determined that these persons make or participate in the making of decisions which may foreseeably have a material effect on financial interests. Designated persons shall file statements of economic interests with SANDAG when assuming or leaving their position and annually while holding the position. SANDAG will make the statements available for public inspection and reproduction (Gov. Code Section 81008). Statements for all designated employees will be retained by SANDAG and provided to the Code Reviewing Body, the County of San Diego.

APPENDIX A

Table 1

Designated Positions	Disclosure Categories (see Appendix B)
Members and alternate members of the Board of Directors	1, 2, 4
Members and alternate members of the Policy Advisory Committees	1, 2, 4
Members and alternate members of committees or working groups making decisions influencing expenditure of public funds	1, 2, 4
Accountant (I, II, Associate, Senior)	3, 4
Administrative Analyst (Associate, Senior)	3, 4
Administrative Office Specialist III	3, 4
Borders Program Manager	3, 4
Budget Program Manager	2, 4
Business Services Manager and Supervisor	2, 4
Capital Development Project Manager	1, 3, 4
Chief Deputy Executive Director	1, 2, 4
Chief Economist	2, 4
Contracts and Procurement Analyst (I, II, Associate, Senior)	1, 2, 4
Contracts Engineer (Associate, Senior)	1, 2, 4
Creative Services Manager	3, 4
Customer Services Representative III	3, 4
Department Director	1, 2, 4
Division Directors (ARJIS, Communications, Criminal Justice Research, Engineering & Construction)	1, 2, 4
Engineer (Assistant I, Assistant II, Associate, Senior, Principal)	1, 3, 4
Executive Assistant/Clerk of the Board	1, 2, 4
Executive Director	1, 2, 4
Finance Manager	1, 2, 4
Financial Analyst (I, II, Associate, Senior)	3, 4
Financial Programming Manager	1, 2, 4
General Counsel, Deputy General Counsel, Associate General Counsel	1, 2, 4

Designated Positions	Disclosure Categories (see Appendix B)
Goods Movement Policy Manager	1, 3, 4
Grants Coordinator	2, 4
Human Resources Analyst (I, II, Associate, Senior)	3, 4
Information Systems Manager	3, 4
Information Systems Analyst (I, II, Associate, Senior)	3, 4
Information Systems Specialist	3, 4
Legislative Analyst (I, II, Associate, Senior)	1, 2, 4
Manager of Contracts and Procurement	1, 2, 4
Manager of Financial Planning and Project Control	1, 2, 4
Manager of Human Resources	3, 4
Manager of Small Business Development	2, 4
Marketing Analyst (I, II, Associate, Senior)	3, 4
Marketing Specialist	3, 4
Marketing Communications Manager	3, 4
Office Services Specialist III	3, 4
Pass Sales Manager	3, 4
Principal Management Internal Auditor	1, 2, 4
Programmer Analyst (I, II, Associate, Senior)	3, 4
Project Control Manager	1, 2, 4
Project Coordinator	3, 4
Project Development Program Manager	1, 2, 4
Public Information Officer (I, II, Associate, Senior)	3, 4
Regional Economist (I,II, Associate, Senior, Principal)	3, 4
Regional Planner (Assistant I, Assistant II, Associate, Senior, Principal)	1, 3, 4
Research Analyst (I, II, Associate, Senior, Principal)	3, 4
Technology Program Analyst (I, II, Associate, Senior, Principal)	3, 4
<i>TransNet</i> and Legislative Affairs Program Director	1, 2, 4
Transportation Modeler (I, II, Associate, Senior, Principal)	3, 4

Pursuant to 2 Cal. Code of Regs. Section 18701, SANDAG consultants who pursuant to a contract with SANDAG, perform the same functions that would otherwise be performed by the persons designated in Table 1 of this document; who manage public investments; who grant agency approval to a contract or to the specifications for such a contract; or who decide whether the policies, standards, or guidelines for SANDAG have been met, also are required to fill out and submit a disclosure of final interests. Those consultants are identified in Table 2. Consultants will be designated and added to this list as needed whenever any person who, in the opinion of SANDAG, may reasonably be expected to make, participate in making, or in any way attempt to use his/her position as a "consultant" to influence a governmental decision in which the person might reasonably be expected to have a financial interest.

Table 2

Type of Consultant	Designated Firm Name / Job Title	Disclosure Categories (see Appendix B)
Bond Counsel	Orrick, Harrington, & Sutcliffe	2, 4
Disclosure Counsel	Nossaman, Guthner, Knox & Elliott LLP Fulbright & Jaworski LLP	2, 4
ARJIS Staff Support	ARJIS Operations Manager	2, 4
Lobbying	Project Managers	1, 2, 4
Project Oversight	CH2MHill, Project Managers	1, 2, 4
Project Oversight	HNTB, Project Managers	1, 2, 4
Project Oversight	PB Americas, Project Managers	1, 2, 4
Project Oversight	City of Santee, Project Managers	1, 2, 4
Project Oversight	Caltrans, Project Managers	1, 2, 4
Project Oversight	David Evans & Assoc., Project Managers	1, 2, 4
Project Oversight	Caltrans, Task Order Managers	1, 2, 4
Project Oversight for SR 125	Positions at SBX with the following titles: Chief Accounting Officer Chief Technology Officer Facilities Coordinator Landscaping Supervisor Maintenance & Facilities Supervisor Marketing Manager Network Administrator Operations Manager Systems Administrator Systems Engineer	2, 4
Disadvantaged Business Enterprise and Labor Compliance	Positions at GCAP with the following titles: President Senior Analyst Analyst	2, 4

Public Officials Who Manage Public Investments

The following positions also are listed below because the individuals holding these positions must file under Government Code Section 87200 as they are involved in the investment of public funds. These positions are listed here for informational purposes only:

Table 3

Public Official Description
Members and alternate members of the Board of Directors
Members and alternate members of the Policy Advisory Committees
Members and alternate members of committees or working groups making decisions influencing expenditure of public funds
Executive Director
Chief Deputy Executive Director
Director of Finance
General Counsel
Public Financial Management, Project Manager

APPENDIX B

When a designated person is required to disclose investment and sources of income, he or she need to disclose investments in business entities and sources of income that do business in SANDAG's jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction in the past two years. In addition to other activities, a business entity is doing business within the jurisdiction if it owns real property within the jurisdiction. Persons designated in Appendix A shall disclose their financial interests pursuant to the appropriate disclosure categories described in the table below.

Table 4

Disclosure Category	Description
1	Interests in real property located within the jurisdiction or within two miles of the boundaries of the jurisdiction or within two miles of any land owned or used by SANDAG.
2	Investments and business positions in business entities, and income, including loans, gifts,* and travel payments, from sources that provide services, supplies, materials, machinery, or equipment of the type utilized by SANDAG.
3	Investments and business positions in business entities, and income, including loans, gifts,* and travel payments, from sources that provide services, supplies, materials, machinery, or equipment of the type utilized by the department or division to which the filer is assigned duties.
4	Investments and business positions in business entities, and income, including loans, gifts,* and travel payments, from sources that have filed a claim against SANDAG in the previous two years, or have a claim pending.

* Gifts with a value of less than \$50 aggregate per year are not reportable financial interests at SANDAG

Amended January 2010

Amended March 2012