



County of San Diego

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DIRECTOR

DEPARTMENT OF PUBLIC WORKS

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DBE PROGRAM POLICY STATEMENT FAA-ASSISTED CONTRACTS Effective 10/01/12

Section 26.1, 26.23 Objectives/Policy Statement

The County of San Diego, Department of Public Works (County) has established a Disadvantaged Business Enterprise (DBE) program for FAA-assisted projects in accordance with regulations of the U.S. Department of Transportation (DOT), 49 CFR Part 26. The County has received Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the County has signed an assurance that it will comply with 49 CFR Part 26.

It is the policy of the County to ensure that DBEs as defined in Part 26, have an equal opportunity to receive and participate in DOT-assisted contracts. The objectives of this policy are:

1. To ensure nondiscrimination in the award and administration of DOT-assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in DOT assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.

Gregory W. Richards, Senior Civil Engineer has been delegated as the DBE Liaison Officer. In that capacity, Gregory W. Richards is responsible for implementing all aspects of the DBE program. Implementation of the DBE program is accorded the same priority as compliance with all other legal obligations incurred by the County of San Diego in its financial assistance agreements with the Department of Transportation.

The County has disseminated this policy statement to all of the components of our organization. We will distribute this statement to DBE and non-DBE business communities that perform work for us on DOT-assisted contracts.


RICHARD E. CROMPTON, Director
Department of Public Works


Date

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM
FAA-ASSISTED CONTRACTS
Effective 10/01/12

SUBPART A – GENERAL REQUIREMENTS

Section 26.1 Objectives

The objectives of this DBE Program are:

1. To ensure nondiscrimination in the award and administration of DOT–assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in DOT assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.

Section 26.3 Applicability

The County of San Diego, Department of Public Works (County) is the recipient of Federal airport funds authorized by 49 U.S.C. 47101, *et seq.*

Section 26.5 Definitions

The County will use terms in this program that have the meaning defined in Section 26.5.

Section 26.7 Non-discrimination Requirements

The County will never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR Part 26 on the basis of race, color, sex, or national origin.

In administering its DBE program, the County will not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE program with respect to individuals of a particular race, color, sex, or national origin.

Section 26.11 Record Keeping Requirements

Reporting to DOT: 26.11(b)

We will report DBE participation to DOT as follows: We will submit annually the Uniform Report of DBE Awards or Commitments and Payments form, as modified for use by FAA recipients. Report may be submitted electronically through the DBE Office Online Reporting System (DOORS) or by submittal of hard copy form report to FAA.

Section 26.13 Federal Financial Assistance Agreement

The County agrees to the following assurances, applicable to all DOT-assisted contracts and their administration:

Assurance: 26.13(a)

County of San Diego shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT assisted contract or in the administration of its DBE Program or the requirements of 49 CFR Part 26. The recipient shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of DOT assisted contracts. The County of San Diego's DBE Program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the

County of San Diego of its failure to carry out its approved program, the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 *et seq.*).

Contract Assurance: 26.13b

The County will ensure that the following clause is placed in every DOT-assisted contract and subcontract:

The contractor, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

SUBPART B - ADMINISTRATIVE REQUIREMENTS

Section 26.21 DBE Program Updates

Since the County has received a grant of \$250,000 or more for airport planning or development we will continue to carry out this program until all funds from DOT financial assistance have been expended. We will provide to DOT updates representing significant changes in the program.

Section 26.23 Policy Statement

The Policy Statement is elaborated on the first page of this program.

Section 26.25 DBE Liaison Officer (DBELO)

We have designated the following individual as our DBE Liaison Officer:

Gregory W. Richards, Senior Civil Engineer, DEBELO
5500 Overland Avenue, Suite 320
San Diego, CA 92123-1295,
Tel: (858) 694-8951
Gregory.Richards@sdcounty.ca.gov.

In that capacity, the DBELO is responsible for implementing all aspects of the DBE program and ensuring that the County of San Diego complies with all provision of 49 CFR Part 26. The DBELO has direct, independent access to Richard E. Crompton, Director, Department of Public Works, concerning DBE program matters. An organization chart displaying the DBELO's position in the organization is found in Attachment 1 to this program.

The DBELO is responsible for developing, implementing and monitoring the DBE program, in coordination with other appropriate officials. The DBELO has 2 administrative staff available on a part time basis to assist in the administration of the program. The duties and responsibilities include the following:

1. Gathers and reports statistical data and other information as required by DOT.
2. Works with all department divisions to set overall annual goals.
3. Ensures that bid notices and requests for proposals are available to DBEs in a timely manner.
4. Identifies contracts and procurements so that DBE goals are included in solicitations and monitors results.
5. Analyzes County progress toward attainment of DBE goals and identifies ways to improve progress.
6. Participates in pre-bid meetings as needed.
7. Advises on DBE matters and achievement.

8. Determines contractor compliance with good faith efforts.
9. Provides DBEs with information and assistance in preparing bids, obtaining bonding and insurance, when requested.
10. Participates in DBE training seminars.
11. Provides outreach to DBEs and community organizations to advise them of opportunities upon request.

Section 26.27 DBE Financial Institutions

It is the policy of the County of San Diego to investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in the community, to make reasonable efforts to use these institutions, and to encourage prime contractors on DOT-assisted contracts to make use of these institutions.

Section 26.29 Prompt Payment Mechanisms

The County of San Diego will include the following clause in each DOT-assisted prime contract:

The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than thirty (30) days from the receipt of each payment the prime contractor receives from County of San Diego. The prime contractor agrees further to return retainage payments to each subcontractor within thirty (30) days after the subcontractors work is satisfactorily completed. Any delay or postponement of payment from the above referenced timeframe may occur only for good cause following written approval of the County of San Diego. This clause applies to both DBE and non-DBE subcontractors.

Section 26.31 Directory

The County uses the California Unified Certification Program created and maintained by California Department of Transportation (Caltrans) as the directory identifying and certifying all firms eligible to participate as DBE's.

Section 26.33 Over-concentration

The County has not identified that over-concentration exists in the types of work that DBEs perform.

Section 26.35 Business Development Programs

County has a business development program. The rationale for the program is a Mentor-Protégé program to assist small and DBE businesses to compete and participate in County of San Diego Construction contracting opportunities.

Section 26.37 Monitoring and Enforcement Mechanisms

The County will take the following monitoring and enforcement mechanisms to ensure compliance with 49 CFR Part 26.

1. We will bring to the attention of the Department of Transportation any false, fraudulent, or dishonest conduct in connection with the program, so that DOT can take the steps (e.g., referral to the Department of Justice for criminal prosecution, referral to the DOT Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in 26.107. We will consider similar action under our own legal authorities, including responsibility determinations in future contracts.
2. We will also implement a monitoring and enforcement mechanism to ensure that work committed to DBEs at contract award is actually performed by the DBEs. This mechanism will provide for a running tally of actual DBE attainments (e.g., payment actually made to DBE firms), including a means of comparing these attainments to commitments. This will be accomplished by review and approval of final report of utilization of DBEs (form included in Construction Contract) prior to contract completion.
3. In our reports of DBE participation to DOT, we will show both commitments and attainments, as required by the DOT reporting form.

Section 26.39 Fostering Small Business Participation

The County of San Diego, Board of Supervisors Policy B-53 "Small Business Policy" serves as the Small Business Program Element (SBPE) under this DBE program. The SBPE is a race and gender neutral method to structure contracting requirements to facilitate competition by small business concerns, including DBEs.

Strategies

Following strategies may be used, at the discretion of the County, to promote small business participation in FAA assisted contracts:

1. Establish a race neutral small business set-aside for prime contracts when required as a condition of FAA grant funding.
2. On prime contracts without DBE goals, require the prime contractor to provide subcontracting opportunities of a size that small businesses, including DBEs, can reasonably perform, rather than self-performing all the work involved.
3. Structure procurements to facilitate the ability of joint ventures consisting of small businesses, including DBEs, to compete for and perform prime contracts.
4. Make a reasonable number of prime contracts of a size that small businesses, including DBEs, can reasonably perform.
5. Assist small businesses to develop their capability to utilize emerging technology and conduct business through electronic media. Encourage and assist small businesses with registration and use of the County Buynet website to identify bid opportunities.
6. Arrange solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate small business participation (e.g., unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own forces), when practical and in the best interests of the County.
7. Provide assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as reducing bonding requirements, eliminating impact of surety costs from bids) to the extent authorized under California Code and approved by County of San Diego, Department of Purchasing and Contracting.
8. Carry out information and communication programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of small businesses on recipient mailing lists of bidders; ensuring dissemination to bidders on prime contracts of lists of potential subcontractors).
9. Provide a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for small businesses; provided through County participation in a joint agency Mentor-Protégé program. Mentor-Protégé program assists protégés with services including, but not limited to, obtaining bond and rate insurance, preparation of bid submittals, preparation of marketing plan, on-site job review, review of work in progress, hiring practices review, review of accounting practices and financial statements review.
10. Provide supportive services to help small businesses improve long-term development, increase opportunities to participate in a variety of types of work, handle increasingly significant projects, and achieve eventual self-sufficiency; provided through the joint agency Mentor-Protégé program.

General Operational Guidelines

The following general operational guidelines apply to this program:

1. Small Businesses as referenced in this program are as defined in 49CFR26.5.
2. Where a conflict exists between County and federal requirements, federal requirements shall prevail.
3. For all County solicitations with a SBE requirement, small business status of the low bidder/proposer and designated subcontractors/subconsultants will be verified.
4. County will use the California Department of Transportation (Caltrans) DBE Database under the Unified Certification Program (UCP) to verify the small business status of firms participating in the program. Firms claiming small business status under this program but not listed in the UCP will be verified as needed on a case-by-case basis. [DBE Database currently accessed at http://www.dot.ca.gov/hq/bep/find_certified.htm].
5. Consultant Contracts: Small Businesses may be given consideration in criteria used for qualification based selection of consultants. Level of consideration shall be at the discretion of the County, on a project-by-project basis. Level of consideration and requirements for the project shall be stated and detailed in the consultant solicitation posted to the County Buynet website at <http://buynet.sdcounty.ca.gov>.
6. Construction Contracts: Small Businesses may be given consideration in solicitation of construction contracts. Level of consideration shall be at the discretion of the County, on a project-by-project basis. Level of consideration and requirements for the project shall be stated and detailed in the project solicitation posted to the County Buynet website at <http://buynet.sdcounty.ca.gov>.

Assurances

Pursuant to FAA direction, the following assurances concerning the SBPE are made:

1. The program is authorized under current state law;
2. Certified DBEs that meet the size criteria established under the DBE program (49CFR26.65(b)), are presumptively eligible to participate in the SBPE program;
3. There are not geographic preferences or limitations imposed on any federally assisted procurement included in the program;
4. There are no limits on the number of contracts awarded to firms participating in the program but that every effort will be made to avoid creating barriers to the use of new, emerging, or untried businesses;
5. Aggressive steps will be taken to encourage those minority and women owned firms that are eligible for DBE certification to become certified;
6. The SBPE is open to small businesses regardless of their location (i.e., that there is no local or other geographic preference); and
7. No geographic preferences or limitations will be imposed on any federally assisted procurement included in this program.

SUBPART C – GOALS, GOOD FAITH EFFORTS, AND COUNTING

Section 26.43 Set-asides or Quotas

The County does not use quotas in any way in the administration of this DBE program.

Section 26.45 Overall Goals

County will develop overall goals as directed by FAA if we anticipate that we will award prime contracts exceeding \$250,000 in FAA funds in a Federal fiscal year. If the County does not anticipate awarding more than \$250,000 in FAA funds in prime contracts within the Federal fiscal year, we will not develop an overall goal; however the existing DBE program will remain in effect and the County will seek to fulfill the objectives outlined in 49 CFR Part 26.1.

County will establish overall goals as directed by FAA in accordance with the 2-Step process as specified in 49 CFR Part 26.45. The first step is to determine the relative availability of DBEs in the market area, "base figure". The second step is to adjust the "base figure" percentage from Step 1 so that it reflects as accurately as possible the DBE participation the recipient would expect in the absence of discrimination based on past participation, a disparity study and/or information about barriers to entry to past competitiveness of DBEs on projects.

The methodology used to calculate the overall race neutral goal can be found in Attachment 2 to this program.

In accordance with Section 26.45(f) the County will submit its overall goal to DOT as directed by FAA.

We will begin using our overall goal on October 1, unless we have received other instructions from FAA. If we establish a goal on a project basis, we will begin using our goal by the time of the first solicitation for a FAA-assisted contract for the project.

Section 26.51 Contract Goals

Until otherwise directed by FAA, the County will meet the DBE goal on FAA assisted projects through race neutral measures. County supports the use of race neutral measures to facilitate participation by DBEs and other small businesses, and will encourage prime contractors to subcontract portions of their work that they might otherwise perform with their own forces. If FAA directs race conscious measures to be implemented, the County will establish race conscious DBE goals on a project-by-project basis.

Section 26.53 Good Faith Efforts Procedures

Good Faith Effort requirements are not applicable to this race neutral DBE program.

Section 26.55 Counting DBE Participation

We will count DBE participation toward overall goals as provided in 49 CFR 26.55. We will not count the participation of a DBE subcontract toward a contractor's final compliance with its DBE obligations on a contract until the amount being counted has actually been paid to the DBE.

SUBPART D – CERTIFICATION STANDARDS

Section 26.61 – 26.73 Certification Process

County does not certify firms as DBE. County uses the California Unified Certification Program (CUCP) administered by Caltrans.

For information about the certification process or to apply for certification, firms should contact:

Caltrans Office of Business & Economic Opportunity, Attn: Certification Unit
1823 14th Street, Sacramento, CA 95811
(916) 324-1700

Certification forms and documentation requirements may be found at <http://www.dot.ca.gov/hq/bep/>.

SUBPART E – CERTIFICATION PROCEDURES

Section 26.81 Unified Certification Programs

County of San Diego uses the California Unified Certification Program (CUCP) administered by Caltrans.

SUBPART F – COMPLIANCE AND ENFORCEMENT

Section 26.109 Information, Confidentiality, Cooperation

We will safeguard from disclosure to third parties information that may reasonably be regarded as confidential business information, consistent with Federal, state, and local law.

Notwithstanding any contrary provisions of state or local law, we will not release personal financial information submitted in response to the personal net worth requirement to a third party (other than DOT) without the written consent of the submitter.

Monitoring Payments to DBEs

We will require prime contractors to maintain records and documents of payments to DBEs for three years following the performance of the contract. These records will be made available for inspection upon request by any authorized representative of the County of San Diego or DOT. This reporting requirement also extends to any certified DBE subcontractor.

We may perform interim audits of contract payments to DBEs. The audit will review payments to DBE subcontractors to ensure that the actual amount paid to DBE subcontractors equals or exceeds the dollar amounts stated in the schedule of DBE participation.

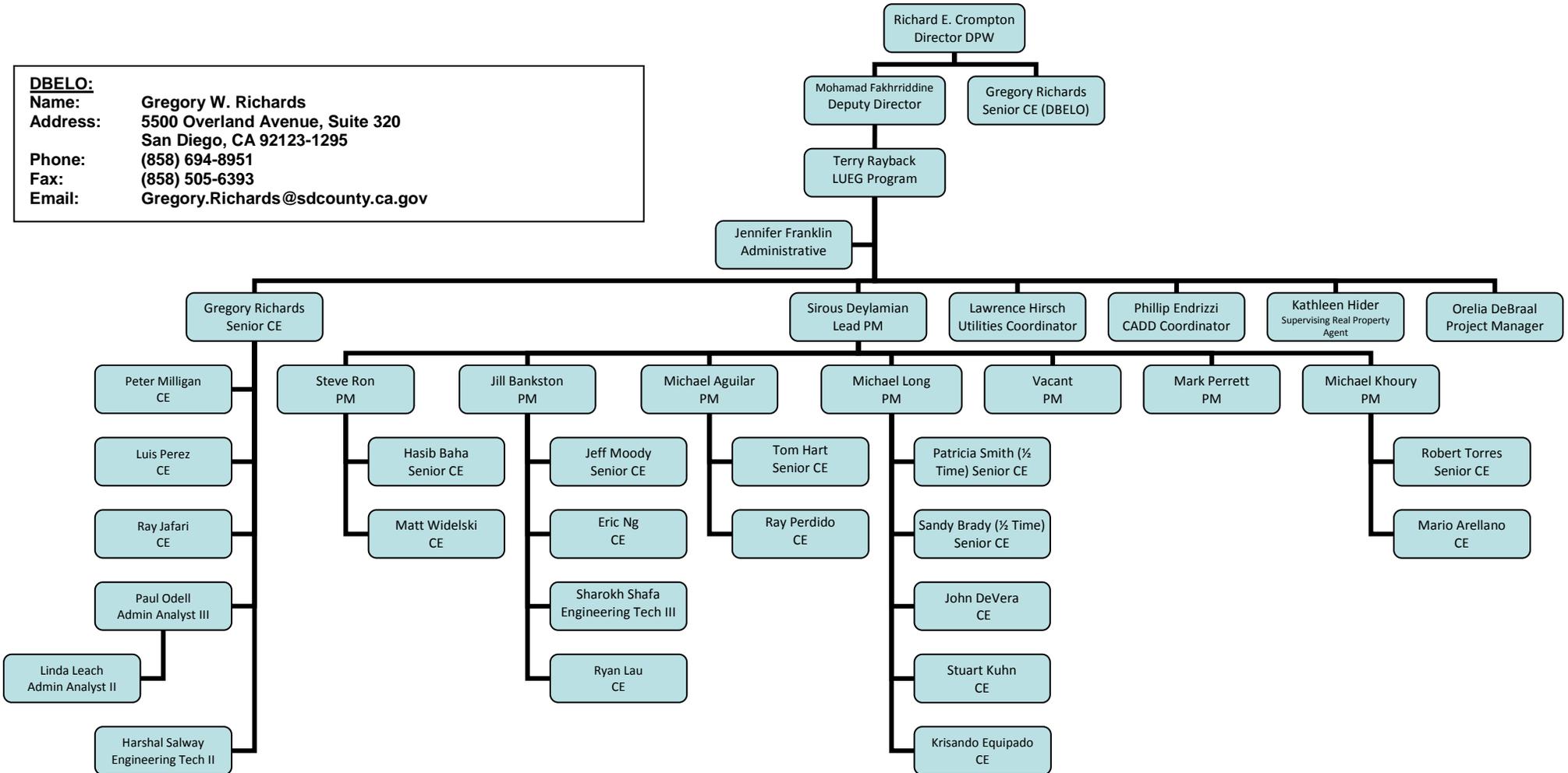
ATTACHMENTS

Attachment 1	Organizational Chart
Attachment 2	DBE Goal Methodology
Attachment 3	DBE Participation Calculation
Attachment 4	Uniform Report of DBE Awards and Commitments
Attachment 5	49 CFR Part 26 (for reference)

Attachment 1

Organizational Chart

DBELO:
Name: Gregory W. Richards
Address: 5500 Overland Avenue, Suite 320
 San Diego, CA 92123-1295
Phone: (858) 694-8951
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Email: Gregory.Richards@sdcounty.ca.gov



Attachment 2

DBE GOAL METHODOLOGY for FFY-2013/2014/2015

SAN DIEGO COUNTY AIRPORTS, SAN DIEGO, CALIFORNIA

Section 26.45: Overall DBE 3-Year Goal Methodology

Name of Recipient: County of San Diego

Goal Period: FY-2013-2014-2015 – October 1, 2012 through September 30, 2015

DOT-assisted contract amount:	FY-2013: \$12,500,000 (includes \$2million consultant services)
	FY-2014: \$16,000,000 (includes \$2million consultant services)
	FY-2015: \$17,000,000 (includes \$1million consultant services)
Total	\$45,500,000

DBE 3-Year Overall Goal: ~22%

Total dollar amount to be expended on DBE's: \$10,000,000

Describe the Number and Type of Projects that the airport anticipates awarding:

1. Gillespie Field Cajon Air Center
2. Gillespie Field Reconstruct Runway 17/35
3. Ramona Airport Improve Drainage
4. Ramona Airport Install Security Fence
5. Fallbrook Airport Runway Safety Area Improvements
6. Borrego Valley Airport Runway and Taxiway Lights and Signage
7. Borrego Valley Airport Improve Drainage
8. Palomar Runway 06/24 Extension PER (consultant)
9. Consultant Services - various

Market Area: County of San Diego and Surrounding Counties

Attachment 3

DBE PARTICIPATION CALCULATION for FFY-2013/2014/2015

EXHIBIT 1

TABLE 1 - DBE AVAILABILITY DETERMINATION AND TOTAL ESTABLISHMENTS

	NAICS		CBP TOTALS (A)	DBE AVAIL (C)
Construction Services	221310	Water Supply & Irrigation Systems	52	10
	237110	Water & Sewer Line & Related Structures	216	28
	237310	Highway, Street & Bridge	216	43
	237990	Other Heavy & Civil Engineering	112	29
	238110	Poured Concrete Foundation & Structure	494	27
	238120	Structural Steel & Precast Concrete	92	18
	238210	Electrical	1883	42
	238910	Site Preparation	633	37
	Consultant Services	541310	Architectural Services	746
541320		Landscape Architectural Services	266	11
541330		Engineering Services	2346	110
541340		Drafting Services	66	66
541370		Surveying & Mapping (except Geophysical)	124	28
541380		Testing Laboratories	238	16
541430		Graphic Design Services	508	25
541611		Administrative & General Management	1803	86
541620		Environmental Consulting Services	303	92

TABLE 2 - WEIGHTED MULTIPLIERS

	NAICS		TOTAL \$ (1)	WEIGHT (D)
Construction Services	221310	Water Supply & Irrigation Systems		0.0000
	237110	Water & Sewer Line & Related Structures	\$980,000	0.0215
	237310	Highway, Street & Bridge	\$490,000	0.0108
	237990	Other Heavy & Civil Engineering	\$35,899,000	0.7890
	238110	Poured Concrete Foundation & Structure	\$30,000	0.0007
	238120	Structural Steel & Precast Concrete	\$180,000	0.0040
	238210	Electrical	\$940,000	0.0207
	238910	Site Preparation	\$1,981,000	0.0435
	Consultant Services	541310	Architectural Services	
541320		Landscape Architectural Services		0.0000
541330		Engineering Services	\$4,800,000	0.1055
541340		Drafting Services		0.0000
541370		Surveying & Mapping (except Geophysical)		0.0000
541380		Testing Laboratories		0.0000
541430		Graphic Design Services		0.0000
541611		Administrative & General Management		0.0000
541620		Environmental Consulting Services	\$200,000	0.0044
TOTAL FED FUNDS				\$45,500,000

TABLE 3 - SUMMARY OF VALUES FROM TABLES 1 AND 2 ABOVE

	Construction								Consultant								
NAICS CODES	221310	237110	237310	237990	238110	238120	238210	238910	541310	541320	541330	541340	541370	541380	541430	541611	541620
CBP TOTALS (A)	52	216	216	112	494	92	1883	633	746	266	2346	66	124	238	508	1803	303
DBE AVAIL (C)	10	28	43	29	27	18	42	37	27	11	110	66	28	16	25	86	92
WEIGHT (D)	0.0000	0.0215	0.0108	0.7890	0.0007	0.0040	0.0207	0.0435	0.0000	0.0000	0.1055	0.0000	0.0000	0.0000	0.0000	0.0000	0.0044

TABLE 4 - WEIGHTED PERCENTAGES FOR TOTAL DBE - AADPL

	NAICS		WEIGHTED % [(C/A)*D]
Construction Services	221310	Water Supply & Irrigation Systems	0.00%
	237110	Water & Sewer Line & Related Structures	0.28%
	237310	Highway, Street & Bridge	0.21%
	237990	Other Heavy & Civil Engineering	20.43%
	238110	Poured Concrete Foundation & Structure	0.00%
	238120	Structural Steel & Precast Concrete	0.08%
	238210	Electrical	0.05%
	238910	Site Preparation	0.25%
	Consultant Services	541310	Architectural Services
541320		Landscape Architectural Services	0.00%
541330		Engineering Services	0.49%
541340		Drafting Services	0.00%
541370		Surveying & Mapping (except Geophysical)	0.00%
541380		Testing Laboratories	0.00%
541430		Graphic Design Services	0.00%
541611		Administrative & General Management	0.00%
541620		Environmental Consulting Services	0.13%
AADPL (TOTAL DBE %) = [SUM *WEIGHTED % [(C/A)*D]]			21.93%

Consultant Services (Incl Palomar PER)		
NAICS		% of Work
541310	Architectural Services	0
541320	Landscape Architectural Services	0
541330	Engineering Services	96
541340	Drafting Services	0
541370	Surveying & Mapping Services	0
541380	Testing Laboratories	0
541430	Graphic Design Services	0
541611	Administrative & General Management	0
541620	Environmental Consulting Services	4

Consultant Summary
0
0
4800000
0
0
0
0
0
0
0
200000
5000000

	Consultant Services Estimates per Fiscal Year			
	2013	2014	2015	
PALOMAR RUNWAY 06/24 EXTENSION PER	\$500,000			\$500,000
CONSULTANT SERVICES - VARIOUS	\$1,500,000	\$2,000,000	\$1,000,000	\$4,500,000
	\$2,000,000	\$2,000,000	\$1,000,000	\$5,000,000

GILLESPIE CAJON AIR CENTER		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	4
237310	Highway, Street & Bridge	2
237990	Other Heavy & Civil Engineering	85
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	0
238210	Electrical	2
238910	Site Preparation	7

Construction Summary
0
980000
490000
20825000
0
0
490000
1715000
24500000

	Construction Estimates per Fiscal Year			
	2013	2014	2015	
GILLESPIE CAJON AIR CENTER	\$2,000,000	\$11,000,000	\$11,500,000	\$24,500,000
GILLESPIE RECONSTRUCT RUNWAY 17/35	\$5,300,000			\$5,300,000
RAMONA AIRPORT IMPROVE DRAINAGE		\$1,000,000		\$1,000,000
RAMONA AIRPORT INSTALL SECURITY FENCE			\$1,000,000	\$1,000,000
FALLBROOK RUNWAY SAFETY AREA IMPR.	\$200,000	\$2,000,000	\$3,000,000	\$5,200,000
BORREGO RUNWAY & TAXIWAY LIGHTS & SIGNS	\$3,000,000			\$3,000,000
BORREGO DRAINAGE IMPROVEMENT			\$500,000	\$500,000
	\$10,500,000	\$14,000,000	\$16,000,000	\$40,500,000

GILLESPIE RECONSTRUCT RUNWAY 17/35		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	98
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	0
238210	Electrical	2
238910	Site Preparation	0

0
0
0
5194000
0
0
0
106000
0

Construction + Consultant \$45,500,000

RAMONA AIRPORT IMPROVE DRAINAGE		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	90
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	8
238210	Electrical	0
238910	Site Preparation	2

0
0
0
900000
0
80000
0
20000

RAMONA AIRPORT INSTALL SECURITY FENCE		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	95
238110	Poured Concrete Foundation & Structure	3
238120	Structural Steel & Precast Concrete	0
238210	Electrical	0
238910	Site Preparation	2

0
0
0
950000
30000
0
0
20000

FALLBROOK RUNWAY SAFETY AREA IMPROVEMENTS		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	95
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	0
238210	Electrical	2
238910	Site Preparation	3

0
0
0
4940000
0
0
104000
156000

BORREGO RUNWAY & TAXIWAY LIGHTS & SIGNS		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	88
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	2
238210	Electrical	8
238910	Site Preparation	2

0
0
0
2640000
0
60000
240000
60000

BORREGO DRAINAGE IMPROVEMENT		
NAICS		% of Work
221310	Water Supply & Irrigation Systems	0
237110	Water & Sewer Line & Related Structures	0
237310	Highway, Street & Bridge	0
237990	Other Heavy & Civil Engineering	90
238110	Poured Concrete Foundation & Structure	0
238120	Structural Steel & Precast Concrete	8
238210	Electrical	0
238910	Site Preparation	2

0
0
0
450000
0
40000
0
10000

Attachment 4

UNIFORM REPORT OF DBE AWARDS AND COMMITMENTS

UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS

Please refer to the Instructions sheet for directions on filling out this form

1. Submitted to (check only one): <input type="checkbox"/> FHWA <input type="checkbox"/> FAA <input type="checkbox"/> FTA--Vendor Number _____			
2. AIP Numbers (FAA Recipients Only):			
3. Federal fiscal year in which reporting period falls: FY _____		4. Date This Report Submitted: _____	
5. Reporting Period		<input type="checkbox"/> Report due June 1 (for period Oct. 1-Mar. 31)	<input type="checkbox"/> Report due Dec. 1 (for period April 1-Sept. 30)

6. Name of Recipient: _____

7. Annual DBE Goal(s): Race Conscious Goal _____ % Race Neutral Goal _____ % OVERALL Goal _____ %

	A	B	C	D	E	F	G	H	I
AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs /Race Conscious	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs
8. Prime contracts awarded this period									
9. Subcontracts awarded/committed this period									
TOTAL									

	A	B	C	D	E	F	G	H	I
DBE AWARDS/COMMITMENTS THIS REPORTING PERIOD-BREAKDOWN BY ETHNICITY & GENDER	Black American	Hispanic American	Native American	Subcont. Asian American	Asian-Pacific American	Non-Minority Women	Other (i.e. not of any other group listed here)	TOTALS (for this reporting period only)	Year-End TOTALS
10. Total Number of Contracts (Prime and Sub)									
11. Total Dollar Value									

	A	B	C	D	E
ACTUAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	Number of Prime Contracts Completed	Total Dollar Value of Prime Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percentage of Total DBE Participation
12. Race Conscious					
13. Race Neutral					
14. Totals					

15. Submitted by (Print Name of Authorized Representative)	16. Signature of Authorized Representative
17. Phone Number:	18. Fax Number:

INSTRUCTIONS FOR COMPLETING THE UNIFORM REPORT OF DBE AWARDS/COMMITMENTS AND PAYMENTS

1. Indicate the DOT Operating Administration (OA) that provides your Federal financial assistance. If assistance comes from more than one OA, use separate reporting forms for each OA. If you are an FTA recipient, indicate your Vendor Number in the space provided.

2. If you are an FAA recipient, indicate the relevant AIP Numbers covered by this report. If more than six, attach a separate sheet.

3. Specify the Federal fiscal year (i.e. October 1-September 30) in which the covered reporting period falls.

4. State the date of submission of this report.

5. Check the appropriate box that indicates the reporting period that the data provided in this report covers. If this report is due June 1, data should cover October 1 - March 31. If this report is due December 1, data should cover April 1 - September 30.

6. Name of the recipient.

7. State your annual DBE goal(s) established for the Federal fiscal year of this report to be submitted to and approved by the relevant OA. Your Overall Goal is to be reported as well as the breakdown for specific Race Conscious and Race Neutral Goals (both of which include gender-conscious/neutral goals). The Race Conscious Goal portion should be based on programs that focus on and provide benefits only for DBEs. The use of contract goals is a primary example of a race conscious measure. The Race Neutral Goal portion should include programs that, while benefiting DBEs, are not solely focused on DBE firms. For example, a small business outreach program, technical assistance, and prompt payment clauses can assist a wide variety of businesses in addition to helping DBE firms.

8-9. The amounts in items 8(A)-9(I) should include all types of prime contracts awarded and all types of subcontracts awarded or committed, including: professional or consultant services, construction, purchase of materials or supplies, lease or purchase of equipment and any other types of services. All dollar amounts are to reflect only the Federal share of such contracts, and should be rounded to the nearest dollar.

8(A). Provide the total dollar amount for all prime contracts assisted with DOT funds that were awarded during this reporting period.

8(B). Provide the total number of all prime contracts assisted with DOT funds that were awarded during this reporting period.

8(C). From the total dollar amount awarded in item 8(A), provide the dollar amount awarded to certified DBEs during this reporting period.

8(D). From the total number of prime contracts awarded in item 8(B), specify the number awarded to certified DBEs during this reporting period.

8(E). From the total dollars awarded in 8(C), provide the dollar amount awarded to DBEs through the use of Race Conscious methods. See the definition of Race Conscious Goal in item 7 and the explanation in item 8 of project types to include in your calculation.

8(F). From the total number of prime contracts awarded in 8(D), specify the number awarded to DBEs through Race Conscious methods.

8(G). From the total dollar amount awarded in item 8(C), provide the dollar amount awarded to certified DBEs through the use of Race Neutral methods. See the definition of Race Neutral Goal in item 7 and the explanation in item 8 of project types to include.

8(H). From the total number of prime contracts awarded in 8(D), specify the number awarded to DBEs through Race Neutral methods.

8(I). Of all prime contracts awarded this reporting period, calculate the percentage going to DBEs. Divide the dollar amount in item 8(C) by the dollar amount in item 8(A) to derive this percentage. Round percentage to the nearest tenth.

9(A)-9(I). Items 9(A)-9(I) are derived in the same way as items 8(A)-8(I), except that these calculations should be based on subcontracts rather than prime contracts. Unlike prime contracts, which may only be awarded, subcontracts may be either awarded or committed.

10(A)-11(I). For all DBEs awarded prime contracts and awarded or committed subcontracts as indicated in 8(C)-(D) and 9(C)-(D), break the data down further by total dollar amount as well as the number of all contracts going to each ethnic group as well as to non-minority women. The "Other" category includes those DBEs who are not members of the presumptively disadvantaged groups already listed, but who are determined eligible for the DBE program on an individual basis (e.g. a Caucasian male with a disability). The TOTALS value in 10(H) should equal the sum of 8(C) plus 9(C), and similarly, the TOTALS value in 11(H) should equal the sum of 8(D) plus 9(D). Column I should only be filled out if this report is due on December 1, as indicated in item 5. The values for this column are derived by adding the values reported in column H in your first report with the values reported in this second report.

12(A). Provide the total number of prime contracts completed during this reporting period that had Race Conscious goals. Race Conscious contracts are those with contract goals or another race conscious measure.

12(B). Provide the total dollar value of prime contracts completed this reporting period that had race conscious goals.

12(C). Provide the total dollar amount of DBE participation on all Race Conscious prime contracts completed this reporting period that was necessary to meet the contract goals on them. This applies only to Race Conscious prime contracts.

12(D). Provide the actual total DBE participation in dollars on the race conscious prime contracts completed this reporting period.

12(E). Of all the prime contracts completed this reporting period, calculate the percentage of DBE participation. Divide the actual total dollar amount in 12(D) by the total dollar value provided in 12(B) to derive this percentage. Round to the nearest tenth.

13(A)-13(E). Items 13(A)-13(E) are derived in the same manner as items 12(A)-12(E), except these figures should be based on Race Neutral prime contracts (i.e. those with no race conscious measures).

14(A)-14(E). Calculate the totals for each column by adding the race conscious and neutral figures provided in each row above.

15. Name of the Authorized Representative preparing this form.

16. Signature of the Authorized Representative.

17. Phone number of the Authorized Representative.

18. Fax number of the Authorized Representative.

****Submit your completed report to your Regional or Division Office.**

Attachment 5

49 CFR PART 26 (FOR REFERENCE)