

ADMINISTRATIVE POLICY
DEPARTMENT OF INFORMATION SERVICES
COUNTY OF SAN DIEGO

0000093

TITLE: INCOMPATIBLE ACTIVITIES/CONFLICT OF INTEREST/STATEMENT OF ECONOMIC INTEREST	NUMBER ADM 26	PAGE: 1/9
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SECTION I - GENERAL

A. Policy

It is the policy of the Department of Information Services that no employee will engage in any activity for compensation that is inconsistent or incompatible with their duties as a County employee.

B. Purpose

In accord with Government Code Sections 1125-1127, the Board of Supervisors adopted rules and regulations to govern the application of various state laws (Government Section 1090, 1120, 1125-1127) concerning incompatible activities of County officers and employees. Subsequently, the Chief Administrative Officer enacted Administrative Manual Section 0010-3 to outline the rules regarding incompatible activities to be followed by County staff for which the CAO is the appointing authority. This Department policy responds to these requirements.

C. Applicability

1. All Department employees will comply with this policy regarding disclosure of outside activities.
2. Employees in designated positions/classes listed in Exhibit C of this policy will comply by completing and filing statements of economic interest.
3. The Personnel and Payroll Unit will administer this policy.

D. Associated Forms

1. Incompatible Activities Disclosure Statement Form (AUD 263) (Exhibit A)
2. DIS Outside Activity Report (PERS9009) (Exhibit B)
3. Economic Interest Form (Form 730) (Exhibit C)

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SECTION II - STANDARDS

- A. The following standards define prohibited activities.
1. Any activity that involves the use for private gain or advantage of County time or facilities, including the following:
 - a. Any outside employment or volunteer work that results in receipt of an unreasonable number of telephone calls or visitors by the employee while on duty at his or her County work site.
 - b. Any personal use of the County's data processing equipment, data, programs or documentation not obtained through normal channels available to the public.
 - c. Any use of, or reproduction of, software that is of a proprietary nature.
 2. Any activity that involves the use for private gain or advantage of the badge, uniform, prestige, or influence of the individual's County employment, including the following:
 - a. Directly or indirectly soliciting, seeking or accepting personal loans, gifts, gratuities, business, compensation, or favors from business firms or their agents, who deal with this Department.
 - b. Using official information not readily available to the public, gained in County employment for private gain or advantage or the gain or advantage of another.
 3. Any activity that involves the receipt by the employee of money or other consideration from private parties for the performance of acts they expect the employee rendered in the regular course of his or her duties as a County employee, including any consultation work for a fee concerning the application or interpretation of orders of this Department.

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4. Any activity that conflicts with the duties and responsibilities of the department, including the following:
 - a. Any outside employment that will impair the employee's independence of judgement as to his or her County duties.
 - b. Any outside activity that will require or induce the employee to disclose confidential information acquired in his or her County duties.
 - c. Systems development or programming work under any of the following conditions:
 - (1) The systems development or programming involves the significant use of data that is generated by or obtained from the County of San Diego.
 - (2) The system development or programming is to support a type of activity that the County of San Diego carries on and is found predominately within the purview of government.
 - d. Any consultation work for a fee concerning any San Diego County application or activity that is found predominately within the purview of government.
5. Any activity in which a part of the employee's efforts may be subject to approval, review, control, or audits by another employee, officer, board or commission of the County of San Diego.
6. Any activity that involves such time demands as would interfere with the efficient performance of any employee's County duties. For example, any activity that will require more than an occasional leave of absence during the employee's normal work.

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7. Any activity that may cause an employee to become physically or mentally exhausted so as to cause illness that could result in the use of sick leave and therefore absenteeism from work.

SECTION III - PROCEDURE

A. Semiannual Report of Outside Activities.

Semiannually, the Auditor and Controller requires that all employees complete and submit an Incompatible Activities Disclosure Statement, Form AUD 263 (Exhibit A).

Responsibility

Action

Payroll

1. Makes the current version of this policy available to employees for review at least twice yearly.
2. Forwards blank copy of Form AUD 263 to every employee of the department at least twice yearly.

Employees entering the Department are given Form AUD 263 to complete during their initial payroll processing.

Employee

3. Completes and signs AUD 263 setting forth all outside activities engaged in for compensation.
4. Forwards the completed/signed AUD 263 to his or her supervisor or manager for review.

Supervisor or
Manager

5. Reviews the completed and signed AUD 263 and signs form.
6. Forwards form to Personnel and Payroll Unit.

Personnel &
Payroll Unit

7. Reviews form for outside activities and checks against file for proper DIS:PERS9009 form.

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Responsibility

Action

If a new employee lists outside activities, they are to complete a PERS9009 Form.

- | | | |
|-------------------|-----|---|
| | 8. | If a full disclosure form is not on file, sends employee the form requesting completion within 10 days. |
| Employee | 9. | Completes form and returns to Personnel Officer. |
| Personnel Officer | 10. | Reviews for compatibility or conflict, makes recommendation and forwards to Director for signature. |
| Director | 11. | Reviews, signs and returns to Personnel and Payroll for filing. |

B. Notice of Intent to Engage in Outside Activities

When an employee wishes to engage in outside activities, the following procedure will be followed:

Responsibility

Action

- | | | |
|----------|----|---|
| Employee | 1. | Completes and signs Form DIS:PERS9009 prior to undertaking any outside activity for compensation. |
| | 2. | Submits the completed form to his or her manager. |
| Manager | 3. | Reviews, initials, and forwards form to the Director via the Personnel Officer. |

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Responsibility

Action

Director

4. Reviews form. If proposed outside activity is in compliance with criteria, signs form and returns to Payroll for file and notification of employee. If not in compliance, returns form to employee through his or her manager. Employee may appeal the decision.

Payroll

5. Retains form for public inspection upon authorized request and for reconciling semiannually with disclosure form.

D. Economic Interest Disclosure

Every January, in accord with Government Code Section 91013, the Clerk of the Board of Supervisors requests that certain incumbents of designated positions have on file in the department, (and, in the case of the Director, on file with the Clerk of the Board) completed "Statements of Economic Interests", Form 730. The positions and various "schedules" that must be filed are listed in Appendix C.

Responsibility

Action

Payroll

1. Receives forms from the Clerk of the Board via the Director.
2. Distributes forms to incumbents of designated classes.

Designated Officers

3. Completes form by stated date and returns to Payroll.

Payroll

4. Forwards forms to Director or designee for review and sign-off.
5. Forwards Director's form to Clerk of the Board of Supervisors by stated date.

Director

6. Reviews forms and signs off and returns to Payroll.

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Responsibility

Action

Payroll

7. Retains forms for public inspection upon authorized request.

E. Bribery and Gratuities

Because of the gravity of bribery attempts, a clear statement of the County and department's position is prudent. It is instructive to distinguish between a bribe and a gratuity. The clear purpose of a bribe is to corrupt the conduct of a County employee in exchange for money or some favor. On the other hand, occasionally a satisfied customer/vendor offers a gratuity as an expression of appreciation for services rendered. There is no intention of corruption by the giver.

It is County policy and simply common sense that an employee unequivocally refuse any bribery offer. Cases of attempted bribery must be reported immediately to the Director. After reviewing the pertinent facts and determining that this policy has been violated, the Director will promptly report the attempt to the District Attorney for evaluation, investigation and possible prosecution.

The County Administrative Manual specifically prohibits County employees and officers of the County from "...Directly or indirectly soliciting, seeking, or accepting personal loans, gifts, gratuities, business, compensation, or favors from public jurisdiction, private jurisdictions, private business firms, or their agents who deal directly with this or any other agency or department of the County of San Diego." (Administrative Manual 0010-3.)

Occasionally gratuities are received and the donor is unknown or it wasn't possible to refuse. In those cases the item will be donated to a charitable organization. A Department official will thank the donor, if known, and inform them that a charitable contribution was made in their name.

Should an actual bribe be offered, or should an employee feel there has been a serious implication that a bribe might be offered, the following procedure applies:

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Responsibility

Action

Employee

1. Refuses the bribe. Records pertinent information such as:
 - a. Name of person, physical description, company, vehicle license number, etc.
 - b. Date, time and place of offer.
 - c. If specified, amount offered, when and how it would be paid. (If not money, the exchange medium.)
 - d. The bribe was offered in exchange for...

2. Contacts Deputy Director immediately. If he or she is unavailable, contacts Director.

Deputy Director

3. Gathers pertinent facts and reports them to the Director.

Director

4. Reviews facts and determines policy has been violated and if so, reports to District Attorney for evaluation, investigation and possible prosecution.

5. In the absence of the Director, responsibility for action is delegated to the Deputy Director.

F. Zero Tolerance for Fraudulent Conduct in County Services

Board Policy A120 defines fraud as an intentional

- (1) Perversion of truth for the purpose of inducing another in reliance upon the falsehood to part with something valuable or to surrender a legal right, or

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- (2) False representation of a matter of fact, whether by words or by conduct, by false or misleading allegations, or by concealment of that which should have been disclosed, which deceives and is intended to deceive another so that the person shall act upon it to his or her injury.

Zero tolerance means that County officials will not tolerate fraud in County government and shall take appropriate action, up to and including removal of an officer or employee from County service (in accord with the applicable Civil Service Rules regarding discipline), or the cancellation of a contract, when the officer, employee, or contractor of the County has committed fraud in connection with the administration of County programs or the provision of County services.

Disregard
Contracts between the County and independent contractors which provide for the independent contractors to administer County programs or provide County services shall include provisions for the cancellation of the contract by the County when ~~connection with their performance under the contract~~. The appropriate County officials overseeing these contracts will not tolerate fraud in County government contracts, when independent contractors are found to have committed fraud in connection with their performance under the contract.

Effective: September 19, 1991; Revised: November 20, 1995

Prepared by: R. Diane Jaynes

Approved by: J. W. Fullinwider

Sunset: This policy will be reviewed for continuance by November 20, 1998.

Approved and/or authorized by the Board
of Supervisors of the County of San Diego
Date: 11/10/98 Minute Order No. 42
THOMAS J. PASTUSZKA
Clerk of the Board of Supervisors
By: [Signature]
Deputy Clerk

DISCLOSURE STATEMENT

1. Name _____ Dept. _____
2. Job Classification _____
3. Name of outside employer: _____
(Indicate self-employment, if applicable)
4. Duties of outside employment: _____
5. Hours per week (average) _____
(Note Peak Periods)
6. General Comments _____

7. Signature _____ Date _____
8. Reviewed by: _____ Date _____

NOTE: If you do not have outside activity for compensation, write "None" on line 3.

DEPARTMENT OF INFORMATION SERVICES

OUTSIDE ACTIVITY REPORT

Employee Section

Description of Outside Activity: .

Anticipated start date of above activity: _____

Anticipated termination date of above: _____

Signature of Employee_____
Date

Director of Information Services Section

The above-described activity is

☐ in accord with our rules regarding outside employment.☐ not in compliance with our rules regarding outside employment.

Comments: _____

Signature of DIS Director_____
Date

1994-1995

**FORM 730 AND
INSTRUCTIONS****STATEMENT OF ECONOMIC INTERESTS
FOR DESIGNATED OFFICIALS AND
EMPLOYEES**

IF YOU ARE AN OFFICIAL OR EMPLOYEE WHOSE POSITION IS DESIGNATED IN
A CONFLICT OF INTEREST CODE, USE THE FORM 730 TO FILE:

 ASSUMING OFFICE STATEMENT ANNUAL STATEMENT LEAVING OFFICE STATEMENT INITIAL STATEMENT CANDIDATE STATEMENT

IMPORTANT

Prohibitions: Gifts and Honoraria

Local Offices:

Effective January 1, 1995, local elected or appointed officeholders (including members of the governing board of a special district), candidates for local offices, and designated employees of local governmental agencies may not accept a gift or gifts aggregating more than \$280 in a calendar year from a single source. In addition, these officials may not accept any honorarium. An honorarium is a payment made in consideration for a speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

For detailed information and exceptions, see the Commission's Fact Sheet on Gifts, Honoraria and Travel. The Fact Sheet can be obtained from your filing officer or the Fair Political Practices Commission at (916) 322-5662.

State Offices:

State board and commission members and state agency officials and employees may not accept a gift or gifts aggregating more than \$10 in a calendar month from a lobbyist or lobbying firm registered to lobby their agencies.

State board and commission members and state agency designated officials and employees may not accept a gift or gifts aggregating more than \$280 in a calendar year from a single source, and may not accept any honorarium, if the member would be required to report the receipt of income or gifts (including honoraria) from that source on his or her statement of economic interests. An honorarium is a payment made in consideration for a speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

This prohibition does not apply to a part-time member of the governing board of a public institution of higher education unless the member is also an elected official.

For detailed information and exceptions, see the Commission's Fact Sheet on Gifts, Honoraria and Travel. The Fact Sheet can be obtained from your filing officer or the Fair Political Practices Commission at (916) 322-5662.

Disqualification

Public officials are, under certain circumstances, required to disqualify themselves from making, participating in, or attempting to influence governmental decisions which will affect any of their financial interests, not just those which they are required to disclose on a statement of economic interests.

For assistance in determining when disqualification is required, please contact the FPPC Legal Division at (916) 322-5901.

Post-Governmental Employment

Certain state agency officials who leave office are subject to restrictions on representing clients or employers before their former agencies.

For assistance concerning restrictions on post-governmental employment, please contact the FPPC Legal Division at (916) 322-5901.

(continued on next page)

Enforcement

The filing officer for Form 730 may impose penalties for statements of economic interests which are filed late. The fine is \$10 per day, beginning the day after the filing deadline, up to a maximum of \$100. Late filing penalties can be reduced or waived under certain circumstances.

In addition, the Fair Political Practices Commission may initiate investigations with respect to any suspected violation of the Political Reform Act. Other law enforcement agencies (the Attorney General or district attorney) may initiate investigations under certain circumstances. If violations are found, the Commission may initiate administrative enforcement proceedings which could result in the imposition of monetary penalties of up to \$2,000 per violation. In lieu of administrative prosecution, a civil action may be brought for negligent or intentional violations by the appropriate civil prosecutor (the Commission, Attorney General or district attorney) where the measure of damages for most violations is the amount or value not properly reported. Persons who violate the conflict of interest disclosure provisions of the Political Reform Act can also be subject to discipline by their agency, including dismissal.

Finally, a knowing or willful violation of any provision of the Political Reform Act is a misdemeanor. Persons convicted of a misdemeanor may be disqualified for four years from the date of the conviction from serving as a lobbyist or running for elective office, in addition to other penalties which may be imposed. The Act also provides for numerous civil penalties, including monetary penalties and damages, and injunctive relief from the courts.

TYPES OF STATEMENTS AND FILING DEADLINES

ASSUMING OFFICE STATEMENT:

(For Newly-Elected and Newly-Appointed Officials ONLY)

Appointed Position Not Subject to Confirmation by the State Senate—File no later than 30 days after assuming your position. Your assuming office date is the date you are sworn in or otherwise authorized to serve in the position.

Appointed Position Subject to Confirmation by the State Senate—File no later than 30 days after appointment or nomination, whichever is earlier.

Elected Official—File no later than 30 days after assuming office. Your assuming office date is the date you are sworn in or otherwise authorized to serve in the position.

- Disclose all reportable investments, interests in real property and business positions of the type described in your disclosure category held on the date you assumed your office or position (Schedules A, B, C-1, C-2 and G). In addition, disclose reportable income of the type described in your disclosure category received during the 12 months prior to the date you assumed your office or position (Schedules D, D-1, E, F, and H-1 through H-3).

Exception:

- An official who is re-elected or re-appointed without a break in service is not required to file an assuming office statement. Instead, you should file the next annual statement.

ANNUAL STATEMENT:

- File at the time prescribed in your agency's conflict of interest code (April 1 for most filers).
- Disclose all reportable investments, interests in real property, business positions held and income received as described in your disclosure category. This statement covers the period January 1, 1994 through December 31, 1994.

Exception:

- If you assumed an office or position between October 1, 1994 and December 31, 1994; and filed an assuming office or initial statement, you are not required to file an annual statement in 1995. Your next statement will be due in 1996. This statement will cover the day after you assumed your office or position through December 31, 1995. This exception does not apply if your annual statement is due after April 1 (e.g., your Form 730 is due June 1, 1995).

LEAVING OFFICE STATEMENT:

- File no later than 30 days after leaving your office or position.
- Disclose all reportable investments, interests in real property, business positions held and income received as described in your disclosure category. This statement will cover the day after the closing date of your last statement through the date of leaving your office or position.
- If you leave an office or position between January 1 and the filing deadline of your annual statement, you may combine your leaving office statement and your annual statement. The statement is due no later than the filing deadline of your annual statement or 30 days after leaving your office or position, whichever is earlier.

To file a combined leaving office statement and annual statement, check the boxes on the cover page for both types of statements, complete the space indicating the date you left your office or position, and report the interests described in your disclosure category which you held during the period.

The reporting period begins on:

The day after the closing date of your previous annual statement, in most cases this date is January 1; or

If you assumed office during 1994, the period will begin the day after you assumed office.

The reporting period ends on:

The day you left office.

Exception:

- If you leave an office or position and assume another office or position within the same jurisdiction on the same day, you are not required to file leaving office and assuming office statements. Instead, you should file the next annual statement.

(continued on next page)

TYPES OF STATEMENTS

INITIAL STATEMENT:

If your agency has a newly-adopted or amended conflict of interest code—File no later than 30 days after the effective date of the conflict of interest code or amendment, whichever is applicable.

- Disclose all reportable investments, interests in real property and business positions of the type described in your disclosure category held on the date your agency's conflict of interest code became effective or your position was newly designated (Schedules A, B, C-1, C-2 and G). In addition, disclose reportable income of the type described in your disclosure category received during the 12 months prior to the date your agency's conflict of interest code became effective or your position was newly designated (Schedules D, D-1, E, F and H-1 through H-3).

CANDIDATE STATEMENT:

- File no later than the final filing date for your declaration of candidacy (if required by the conflict of interest code of the agency for which you are seeking elective office).
- The conflict of interest code specifies the types of interests you must disclose.

Exception:

- If you have filed an assuming or annual statement for the same jurisdiction within 60 days before filing a declaration of candidacy, you are not required to file a candidate statement.

WHERE TO FILE

All statements, except those filed by State Senate and Assembly staff members, are filed with your own agency, board or commission unless otherwise specified in your agency's conflict of interest code.

State Senate and Assembly staff members file their statements directly with the Fair Political Practices Commission.

IMPORTANT: You must file an originally signed statement of economic interests with each agency, board or commission with which you hold a designated position covered by a conflict of interest code.

INSTRUCTIONS FOR COMPLETING THE COVER PAGE

- Before completing this form, contact your agency, board or commission to obtain a copy of your disclosure category which outlines the types of interests you must disclose.
- Enter your name, mailing address, and daytime telephone number. You may list your home or business address.
- Indicate the name of the agency under whose conflict of interest code you have been designated to file this statement, and your division, unit, board or bureau within that agency, if applicable.

Expanded Statement: A person holding two or more positions may complete one statement covering the disclosure requirements for each position and file a copy with each agency. Each copy must contain an original signature. Reportable interests for each position must be disclosed. (Contact your filing officer for further information.)

- Check the box(es) to indicate the type of statement being filed. If filing an assuming office statement, a leaving office statement, or an initial statement, enter the appropriate date.
- Complete the verification by entering the date and place signed, and sign the statement. An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.
- Statements must be completed in ink or typed. Statements completed in pencil are not acceptable.
- Faxed statements: A statement containing 30 pages or less may be faxed by the deadline. However, the original statement must be sent by first-class mail or guaranteed overnight delivery service within 24 hours of the deadline.

FORM 730

Statement of Economic Interests For Designated Employees

Date Received by Filing Official

0000102

A PUBLIC DOCUMENT

1994/95

(Type or Print in Ink)

NAME OF FILER

POSITION TITLE

NAME OF AGENCY

NAME OF DIVISION, UNIT, BOARD, BUREAU, ETC. (IF APPLICABLE)

MAILING ADDRESS

DAYTIME TELEPHONE NUMBER
()IF YOU ARE FILING AN
EXPANDED STATEMENT:

Name of Agency

Office/Position

TYPE OF STATEMENT:

☐ ASSUMING OFFICE STATEMENTDate Assumed Office or, if you are an Appointed Official sub-
ject to confirmation, enter the Date Appointed or Nominated: _____
mo. day yr.☐ ANNUAL STATEMENT

The period covered is January 1, 1994 through December 31, 1994.

☐ LEAVING OFFICE STATEMENT

The period covered is January 1, 199__ through the date you left your designated position.

Date left designated position: _____
mo. day yr.☐ INITIAL STATEMENT (Complete this section ONLY if your position has been recently designated or if you are
filing under a new conflict of interest code for your agency.)Effective date of code: _____
mo. day yr.☐ CANDIDATE STATEMENT (Complete only if required by the conflict of interest code for the jurisdiction in which
you are seeking elective office.)

VERIFICATION

I have used all reasonable diligence in preparing this statement. I have reviewed the statement and to the
best of my knowledge the information contained herein and in the attached schedules is true and
complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on _____, 19____, at _____
(month day) (year) (city and state)

FORM 730

NAME _____

The following summary must be completed by all filers. Before completing this summary page, review your disclosure category, contained in your agency's conflict of interest code, to determine what types of interests must be reported. Instructions are also provided for each schedule. If a schedule does not apply to your disclosure category, check the box "No Reportable Interests."

Schedule A - INVESTMENTS

(Not Held By A Business Entity Or Trust)

SCHEDULE COMPLETED
AND ATTACHED

NO REPORTABLE
INTERESTS

☐
☐

Schedule B - INTERESTS IN REAL PROPERTY

(Not Held By A Business Entity Or Trust)

☐
☐

Schedule C-1 - INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST

☐
☐

Schedule C-2 - INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST

☐
☐

Schedule D - INCOME

(Other Than Loans and Gifts)

☐
☐

Schedule D-1 - INCOME - TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS

☐
☐

Schedule E - INCOME - LOANS

(Received Or Outstanding During The Reporting Period)

☐
☐

Schedule F - INCOME - GIFTS

☐
☐

Schedule G - BUSINESS POSITIONS

☐
☐

Schedule H-1 - COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS

☐
☐

Schedule H-2 - INCOME AND LOANS TO A BUSINESS ENTITY OR TRUST

☐
☐

Schedule H-3 - INCOME FROM RENTAL PROPERTY

☐
☐

AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE RECYCLE THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.

INFORMATION FOR COMPLETING SCHEDULE A - Investments

(Not Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating \$1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please refer to Schedules C-1, C-2 and H-2.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule A because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

The most common types of investments are:

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

You are not required to disclose the following investments:

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at \$250,000, which you did not acquire or dispose of during the reporting period.

NAME OF BUSINESS ENTITY ABC Land Developers		VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☒ Over \$100,000 Ownership Interest ☐ Less than 10% ☒ 10% or greater
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST Partnership	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Land Developer		

Schedule A - Investments (Not Held By A Business Entity Or Trust)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF BUSINESS ENTITY		VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000 Ownership Interest ☐ Less than 10% ☐ 10% or greater*
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000 Ownership Interest ☐ Less than 10% ☐ 10% or greater*
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000 Ownership Interest ☐ Less than 10% ☐ 10% or greater*
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NAME OF BUSINESS ENTITY		VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000 Ownership Interest ☐ Less than 10% ☐ 10% or greater*
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NOTE: YOU MAY BE REQUIRED TO REPORT ON SCHEDULE D ANY SALARY, COMMISSION, DISTRIBUTION OR OTHER INCOME (\$250 OR MORE) RECEIVED BY YOU OR YOUR SPOUSE FROM ANY BUSINESS ENTITY LISTED ON SCHEDULE A.

*If you have checked this box, you must report on Schedules C-1 and C-2 any interests in real property and investments held by the business entity. In addition, if your pro rata share of the gross income from any one source was \$10,000 or more, you may be required to report the name of that source on Schedule H-2.

☐ If additional space is needed, check box and attach an additional Schedule A.

INFORMATION FOR COMPLETING SCHEDULE B - Interests In Real Property 0000104
(Not Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INTERESTS YOU MUST DISCLOSE.

You must report interests in real property located in your jurisdiction, in which you or your immediate family had a direct, indirect or beneficial interest aggregating \$1,000 or more at any time during the reporting period.

Jurisdiction for reporting interests in real property:

Judges, court commissioners, state officeholders and state agency officials and employees have statewide jurisdiction and must report real property located anywhere within the State of California. An interest in real property is in the jurisdiction of a city or county if it is located in, or within two miles of, the city or county, or within two miles of any land owned or used by the city or county.

Interests in real property include:

- A leasehold interest*
- A beneficial ownership interest
- A deed of trust
- An easement
- An option to acquire any of the above

An interest in real property held by an IRA in which you have a 10% or greater interest

*An interest in a lease on real property is reportable if the value of the leasehold interest is \$1,000 or more. The value of the leasehold interest is the total amount of rent owed by you during the reporting period, or on an assuming office statement, during the prior 12 months. No leasehold interest with a value of less than \$1,000 nor a month-to-month tenancy, need be reported. When reporting a leasehold interest, you must include the address or other precise location of the leased property and the date upon which the lease became effective, if this occurred during the reporting period.

EXAMPLE

Assume that:

- You own property located at 14738 Spruce Street, Little Town, which you rent to Sylvan Taylor. The fair market value of your interest in the property is \$85,000 and you did not acquire or dispose of the property during the reporting period.

You are not required to disclose the following interests in real property:

- Any residence which you utilize exclusively as your personal residence, unless it is also a place of business, e.g., family farm, retail business, or vacation rental, in which case you are required to disclose only the value of that portion of the property which is used in connection with your business activities.
- Interests in real property acquired by a blind trust pursuant to FPPC Regulation 18235.

Reporting interests in rental property and income from rental property

If you or your immediate family have an interest in a rental property directly, i.e., not through a business entity, you must report:

- The property on Schedule B;
- Your share of the total amount of rental income received from the property on Schedule D; and
- If your ownership interest is 10% or greater, the name of any tenant who paid rent of which your pro rata share was \$10,000 or more on Schedule H-3.

If you or your immediate family own a 10% or greater interest in a business entity, such as a partnership or joint venture, which owns rental property, do not use this schedule. Report:

- The business entity on Schedule A;
- The property held by the business entity on Schedule C-1;
- Your share of the total amount of income, including rents, received from the business entity on Schedule D;
- The name of any client or customer who provided rental income to the business entity of which your pro rata share was \$10,000 or more on Schedule H-2.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY 14738 Spruce Street		CITY Little Town	FAIR ☐ \$1,000-\$10,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST Ownership			MARKET ☒ \$10,001-\$100,000
			VALUE ☐ Over \$100,000
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☒ 10% or greater

Schedule B - Interests In Real Property

(Not Held By A Business Entity Or Trust)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR ☐ \$1,000-\$10,000 MARKET ☐ \$10,001-\$100,000 VALUE ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST				
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR ☐ \$1,000-\$10,000 MARKET ☐ \$10,001-\$100,000 VALUE ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST				
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR ☐ \$1,000-\$10,000 MARKET ☐ \$10,001-\$100,000 VALUE ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST				
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY		CITY		FAIR ☐ \$1,000-\$10,000 MARKET ☐ \$10,001-\$100,000 VALUE ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST				
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*	

NOTE: You may be required to report on Schedule D any income (\$250 or more) received by you or your spouse from rental property listed on Schedule B.

*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.

☐ If additional space is needed, check box and attach an additional Schedule B.

INFORMATION FOR COMPLETING SCHEDULE C-1 - Interests In Real Property Held By A Business Entity Or Trust

**BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INTERESTS YOU MUST DISCLOSE.**

During the reporting period, did you or your immediate family have a 10% or greater interest in a business entity or in a trust (including a living trust)?

NO — You are not required to complete Schedule C-1.

Reporting Real Property Held By A Business Entity:

YES — You must report interests in real property held by the business entity if your pro rata share of an interest in real property is \$1,000 or more and the real property is located in your jurisdiction.

You also must report the business entity holding the interests in real property on Schedule A.

Reporting Real Property Held By A Trust (Including a Living Trust):

YES — You must report interests in real property held by the trust if your pro rata share of an interest in real property is \$1,000 or more and the real

property is located in your jurisdiction. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. A maker and:

- a. can revoke or terminate the trust; or
- b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
- c. have retained any power of appointment including the power to change the beneficiaries.

2. A beneficiary and:

- a. presently receive income; or
- b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers worth \$250,000.
- ABC Land Developers owns real property worth \$300,000 located within your jurisdiction. The real property was not acquired or disposed of during the reporting period.

You must report:

- Your 50% interest in ABC Land Developers on Schedule A. The real property held by ABC Land Developers must be reported on Schedule C-1 as follows:

Name Of Business Entity Or Trust
Holding The Real Property: ABC Land Developers

Your investment in this business entity must be reported on Schedule A-Investments.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY AP 029 589202		CITY Little Town	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☒ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST Partnership			
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:		Date Acquired: _____ Date Disposed: _____	

Schedule C-1 - Interests In Real Property Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

Name Of Business Entity Or Trust
Holding The Real Property: _____

Your investment in this business entity must be reported on Schedule A-Investments.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: _____ Date Acquired: _____ Date Disposed: _____		

☐ If additional space is needed, check box and attach _____

INFORMATION FOR COMPLETING SCHEDULE C-2 -

Investments Held By A Business Entity Or Trust

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

During the reporting period, did you or your immediate family have a 10% or greater interest in a business entity or in a trust (including a living trust)?

NO — You are not required to complete Schedule C-2.

Reporting Investments Held By A Business Entity:

YES — You must report investments held by the business entity if your pro rata share of the investment is \$1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction.

You also must report the business entity holding such investments on Schedule A.

Reporting Investments Held By A Trust (Including a Living Trust):

YES — You must report investments held by the trust if your pro rata share of the investment is \$1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. A maker and:

- can revoke or terminate the trust; or
- have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
- have retained any power of appointment including the power to change the beneficiaries.

2. A beneficiary and:

- presently receive income; or
- have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule C-2 because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

EXAMPLE

Assume that:

- ABC Land Developers owns common stock worth \$3,000 in Ajax Building Materials, which does business in your jurisdiction. The investment was not acquired or disposed of during the reporting period.

You must report:

- Your 50% interest in ABC Land Developers on Schedule A. The common stock held by ABC Land Developers must be reported on Schedule C-2 as follows:

Name Of Business Entity Or Trust

Holding The Investment(s): ABC Land Developers

Your investment in this business entity must be reported on Schedule A-Investments.

NAME OF BUSINESS ENTITY <u>Ajax Building Materials</u>		FAIR MARKET VALUE ☒ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP <u>Common Stock</u>		
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Manufactures Building Supplies</u>		
If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____		

NAME _____

Schedule C-2 - Investments **Held By A Business Entity Or Trust**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

Name Of Business Entity Or Trust
Holding The Investment(s): _____

Your investment in this business entity must be reported on Schedule A-Investments.

NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		FAIR MARKET VALUE ☐ \$1,000-\$10,000 ☐ \$10,001-\$100,000 ☐ Over \$100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		



If additional space is needed, check box and attach an additional Schedule C-2.

INFORMATION FOR COMPLETING SCHEDULE D - Income (Other Than Loans And Gifts)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

0000107

Honoraria Prohibition: Refer to page 1 of the Form 730 instructions for an explanation of the honoraria prohibition imposed on certain state and local designated officials and employees.

You must report your gross income and your community property interest in your spouse's gross income. Gross income is the total amount of income before deducting expenses, losses or taxes. Income aggregating \$250 or more received from any source located in or doing business in your jurisdiction must be disclosed. Under the Political Reform Act, reportable income is defined differently than income for tax purposes. Refer to the instructions for Schedule A for assistance in determining whether a source of income is located in or doing business in your jurisdiction.

Reportable income includes:

- Commission income. (See Schedule H-1-Commission Income.)
- Income from a business entity.
- Your pro rata share of the total gross payments received by a business entity (including rental property) or a trust in which you or your spouse had an ownership interest of 10% or more.
- Your community property interest (50%) in your spouse's income. If your spouse is self-employed, you may be required to report the business entity on Schedule A.
- Proceeds from any sale, including the sale of a house or car. (The total sale price is reportable regardless of any obligation you might have to pay on loans secured by the property.)
- Rental income.
- Prizes or awards not disclosed as gifts.

- Forgiveness of a loan or other indebtedness.
- Loan payments received by you.
- Salary/wages, per diem, reimbursement for expenses. (See exclusions below. Also see Schedule D-1-Income -- Travel Payments, Advances, Reimbursements.)

You are not required to disclose the following types of income:

- Salary or reimbursements for expenses and per diem from a federal, state or local government agency.
- Reimbursement for travel expenses and per diem received from a bona fide educational, academic or charitable organization.
- Campaign contributions.
- A devise or inheritance. (However, inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)
- Dividends, interest or other return on a security which is registered with the Securities and Exchange Commission.
- Payments received under an insurance policy.
- Interest, dividends or premiums on a time or demand deposit in a financial institution, shares in a credit union, an insurance policy, or a bond or other debt instrument issued by a government agency.
- Income of dependent children.
- Alimony or child support payments.
- Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a).

EXAMPLE

Assume that:

- You received \$10,800 in total rental income from your rental property, which is in your jurisdiction.

- Your spouse received \$25,000 in salary from General Telephone Company, a communications firm which provides services in your jurisdiction.

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">NAME OF THE SOURCE OF INCOME</td> <td style="padding: 2px;">Rental Property</td> </tr> <tr> <td style="padding: 2px;">ADDRESS</td> <td style="padding: 2px;">14738 Spruce Street, Little Town, CA</td> </tr> <tr> <td style="padding: 2px;">NATURE OF BUSINESS, IF ANY</td> <td style="padding: 2px;">Rental Property</td> </tr> <tr> <td style="padding: 2px;">DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED</td> <td style="padding: 2px;">Rents</td> </tr> </table>	NAME OF THE SOURCE OF INCOME	Rental Property	ADDRESS	14738 Spruce Street, Little Town, CA	NATURE OF BUSINESS, IF ANY	Rental Property	DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	Rents	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000
NAME OF THE SOURCE OF INCOME	Rental Property								
ADDRESS	14738 Spruce Street, Little Town, CA								
NATURE OF BUSINESS, IF ANY	Rental Property								
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	Rents								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">NAME OF THE SOURCE OF INCOME</td> <td style="padding: 2px;">General Telephone Company</td> </tr> <tr> <td style="padding: 2px;">ADDRESS</td> <td style="padding: 2px;">567 Main Street, Little Town, CA</td> </tr> <tr> <td style="padding: 2px;">NATURE OF BUSINESS, IF ANY</td> <td style="padding: 2px;">Telephone Services</td> </tr> <tr> <td style="padding: 2px;">DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED</td> <td style="padding: 2px;">Spouse's Salary</td> </tr> </table>	NAME OF THE SOURCE OF INCOME	General Telephone Company	ADDRESS	567 Main Street, Little Town, CA	NATURE OF BUSINESS, IF ANY	Telephone Services	DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	Spouse's Salary	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000
NAME OF THE SOURCE OF INCOME	General Telephone Company								
ADDRESS	567 Main Street, Little Town, CA								
NATURE OF BUSINESS, IF ANY	Telephone Services								
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	Spouse's Salary								

Schedule D - Income **(Other Than Loans And Gifts)**

(SEE INSTRUCTIONS ON PRECEDING PAGE)

GROSS INCOME RECEIVED:

NAME OF THE SOURCE OF INCOME	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
NAME OF THE SOURCE OF INCOME	☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS	
NATURE OF BUSINESS ACTIVITY, IF ANY	
DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	

☐ If additional space is needed, check box and attach an additional Schedule D.

INFORMATION FOR COMPLETING SCHEDULE D-1 - Income -- 0000100

Travel Payments, Advances, Reimbursements

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

You must report payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence.

In addition to disclosing the source, date, amount, and purpose of the payment, check the box to indicate if the payment was a gift or income. To determine if travel payments are gifts or income:

- Travel payments are gifts if you did not provide services which were equal to or greater in value than the payments received. You must disclose gifts aggregating \$50 or more from a single source during the period covered by the statement.
- Travel payments are income if you provided services which were equal to or greater in value than the payments received. You must disclose income aggregating \$250 or more from a single source during the period covered by the statement.

Gov. Code Section 82028 provides that any person, other than a defendant in a criminal action, who claims that a payment is not a gift by reason of receipt consideration has the burden of proving that the consideration received is of equal or greater value.

You are not required to disclose on Schedule D-1 the following types of travel payments:

- Travel payments received from your employer in the normal course of your employment, or from any state, local, or federal government agency for which you provided equal or greater consideration for the payments received.
- Payment or reimbursement for transportation within California in connection with an event at which you gave a speech, participated in a panel or seminar or performed a similar service.
- Food, beverages, and necessary accommodations received directly in connection with an event held inside or outside California at which you gave a speech, participated in a panel, or provided a similar service.
- Travel payments received from a bona fide educational, academic, or charitable organization for which you provided equal or greater consideration for the payments received.

IMPORTANT: When reporting travel, you should be specific in disclosing the purpose of your travel. Certain travel payments are reportable gifts, but are not subject to the gift limits. Failure to specify the purpose of your travel may result in misinterpretation and be perceived as a gift violation. See the Commission's Fact Sheet on Gifts, Honoraria and Travel, which can be obtained from your filing officer or the FPPC at (916) 322-5662.

EXAMPLE

Assume that:

- You attended a trade show in San Francisco, CA. The American Manufacturers Assn. paid \$175 for your travel and accommodations.

NAME OF SOURCE	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
American Manufacturers Assn.	5/15/84	\$175	Transportation and Accommodations to Attend Trade Show San Francisco, CA
ADDRESS 77 Green Lane, Mudville, CA			
NATURE OF BUSINESS ACTIVITY, IF ANY Trade Association			
TYPE OF PAYMENT: (CHECK ONE) ☒ GIFT ☐ INCOME			

Schedule D-1 - Income - Travel Payments, Advances, Reimbursements

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			

INFORMATION FOR COMPLETING SCHEDULE E - Income -- Loans (Received Or Outstanding During The Reporting Period)

0000109

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

You must report loans, including real estate loans and margin accounts, received by you or your spouse aggregating \$250 or more from a single source which is located in, or doing business in, your jurisdiction. All reportable loans received or outstanding during the reporting period must be disclosed. If you have received more than one loan from a single source, you must aggregate the amounts to determine if you have received \$250 or more from a single source. Report each loan separately. A forgiven loan is a gift and must be reported on Schedule F-Gifts.

A source of income is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

You are not required to disclose the following loans:

- Any loan from a commercial lending institution used to purchase, refinance the purchase of, or for improvements to, your principal place of residence if the loan was made in the lender's regular course of business on terms available to members of the public without regard to official status.
- Loans from a commercial lending institution in the normal course of business which, in the aggregate, did not exceed \$10,000 at any time during the reporting period.
- Any indebtedness created as part of a retail installment or credit card transaction made in the creditor's regular course of business on terms available to the public without regard to official status if the aggregate amount owed to the creditor did not exceed \$10,000 at any time during the reporting period.
- Any loan from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of any such person, except that a loan from any such person is reportable if the person is acting as an intermediary or agent for any person not covered by this provision.

EXAMPLE

Assume that:

- You received an equity loan in the amount of \$15,000 from Greatest Savings and Loan on your principal place of residence to consolidate your bills and to take a vacation.
- You received a personal loan from a friend, who resides in the jurisdiction, in the amount of \$1,500.

You must report:

- The equity loan on your principal place of residence because the loan amount was over \$10,000 and the money was not used to refinance or improve your principal residence.
- The loan from your friend.

AMOUNT OF HIGHEST BALANCE
DURING THE REPORTING PERIOD: --

NAME OF LENDER Greatest Savings & Loan		☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☒ Over \$10,000
ADDRESS 123 Main Street, Little Town		
NATURE OF BUSINESS, IF ANY Lending Institution	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☒ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) Personal Residence ☐ NONE		INTEREST RATE 8% ☐ NONE
NAME OF LENDER Martin Smith		☐ \$250 - \$1,000 ☒ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS 567 A Street, Little Town		
NATURE OF BUSINESS, IF ANY Construction	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☒ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☒ NONE		INTEREST RATE 7% ☐ NONE

NAME _____

Schedule E - Income -- Loans (Received or Outstanding During the Reporting Period)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:
NAME OF LENDER		☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? ☐ YES ☐ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		
INTEREST RATE		☐ NONE
NAME OF LENDER		☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? ☐ YES ☐ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		
INTEREST RATE		☐ NONE
NAME OF LENDER		☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? ☐ YES ☐ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		
INTEREST RATE		☐ NONE
NAME OF LENDER		☐ \$250 - \$1,000 ☐ \$1,001 - \$10,000 ☐ Over \$10,000
ADDRESS		
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAYED DURING THE REPORTING PERIOD? ☐ YES ☐ NO	
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		
INTEREST RATE		☐ NONE

☐ If additional space is needed, check box and attach an additional Schedule E.

INFORMATION FOR COMPLETING SCHEDULE F - Income -- Gifts 0000110

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

Gift Limit and Honoraria Prohibition: Refer to page 1 of the Form 730 instructions for an explanation of the honoraria prohibition and gift limit imposed on certain state and local designated officials and employees.

"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided. You must disclose gifts with an aggregate value of \$50 or more received during the reporting period from a single source covered by your disclosure category. Gifts are reportable without regard to where the donor is located. Any number of gifts from one person, the values of which add up to \$50 or more during the reporting period, must be disclosed.

It is the acceptance of a gift, and not the ultimate use to which it is put, that imposes your reporting obligation. Therefore, except as noted below, you must report a gift even if you never make use of it or if you give it away to another person.

If the exact amount of a gift is not known, you must make a good faith estimate of the item's fair market value. Listing the value of the gift as "over \$50" or "value unknown" is not adequate disclosure. In addition, if you receive a gift through an intermediary, you must disclose the name, address and business activity of both the donor and the intermediary.

In most cases, the full amount of a gift made to you and your spouse must be counted to determine if the gift must be disclosed. However, for wedding gifts, only one-half of the value of each gift is attributable to you, unless the gift is intended exclusively for your use and enjoyment.

You are not required to disclose the following types of gifts:

- Gifts which are not used and which, within 30 days after receipt, are returned to the donor or delivered to a charitable organization without being claimed as a charitable contribution for tax purposes.
- Gifts from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, niece,

nephew, or first cousin or the spouse of any such person, provided that a gift from any such person must be disclosed if the person is acting as an agent or intermediary for a reportable source of income.

- Gifts of hospitality involving food, drink or occasional lodging provided in an individual's home.
- Gifts equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions.
- Gifts of informational material provided to assist you in the performance of your official duties, such as books, pamphlets, reports, calendars or periodicals.
- A bequest or inheritance. (However, an inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)
- Personalized plaques and trophies with an individual value of less than \$250.
- Campaign contributions.
- Food, beverage, and necessary accommodations received directly in connection with an event at which you gave a speech, participated in a panel, or provided a similar service. However, any extra benefits (such as an extra night's lodging) which were not provided directly in connection with your participation in the event must be reported as a gift.
- Tickets to a fundraising event for a 501(c)(3) organization.
- Tickets to a political fundraiser.
- A pass or ticket which provides a one time admission to an event (theater performance, sporting event) that is not used and is not transferred to another person. Commission Regulation 18946.1 provides a method for determining the value of a ticket or pass that is used or transferred to another person and for determining the value of a pass or tickets which provide repeated admission to facilities or services.
- Gifts provided directly to members of your immediate family unless you receive direct benefit from the gift or you exercise discretion and control over the use or disposition of the gift.

EXAMPLE

Assume that:

- You received 2 tickets to a professional baseball game from Valley Pipe Systems.

DATE(S)

RECEIVED:
(mo, day & yr)

VALUE(S):

DESCRIPTION
OF GIFT(S):

NAME OF DONOR -- Valley Pipe Systems	March 1, 1994	\$50	Tickets to Hometown A's Baseball Game
ADDRESS 200 E. Fifth, Hometown			
NATURE OF BUSINESS, IF ANY Manufactures Irrigation & Plumbing Supplies			

Schedule F - Income -- Gifts

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S) RECEIVED: (mo, day & yr)	VALUE(S):	DESCRIPTION OF GIFT(S):
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE			
ADDRESS			
NATURE OF BUSINESS ACTIVITY, IF ANY			

☐ If additional space is needed, check box and attach an additional Schedule F.

INFORMATION FOR COMPLETING SCHEDULE G - Business Positions

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF POSITIONS YOU MUST DISCLOSE.

You must report the name of any business entity covered by your disclosure category for which you are a director, officer, partner, trustee, employee, or hold any position of management.

Positions held in non-profit or charitable organizations or campaign committees are not reportable.

EXAMPLE

Assume that:

- Your disclosure category requires you to disclose business positions in entities which are contractors, land developers, or manufacturers of equipment used by your agency. You have been a partner in ABC Land Developers during the entire reporting period.
- You would report that position as follows:

NAME OF BUSINESS ENTITY ABC Land Developers	ADDRESS OF ENTITY 5744 Tenth Street, Little Town
NATURE OF BUSINESS ACTIVITY, IF ANY Land Developer	YOUR JOB TITLE OR POSITION Partner
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☒ YES ☐ NO	
IF NO POSITION COMMENCED ON _____ PLEASE INDICATE: POSITION TERMINATED ON _____	

Schedule G – Business Positions

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

NAME OF ENTITY		ADDRESS OF ENTITY	
DESCRIPTION OF BUSINESS ACTIVITY		YOUR JOB TITLE OR POSITION	
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO		IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____	

☐ If additional space is needed, check box and attach an additional Schedule G.

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

During the reporting period, did you or your spouse receive "commission income" for services rendered as a broker, agent, or salesperson?

NO — You are not required to complete Schedule H-1.

YES — You must provide the name of each individual or entity which:

- Is located in, or doing business in, your jurisdiction; and
- Was a source of commission income, provided that your pro rata share from a single transaction was \$10,000 or more.

If you or your spouse received commission income as a broker, agent, or salesperson, you must disclose the names of sources of commission income if your pro rata share of the gross commission income amounts to \$10,000 or more from a single transaction. *No ownership interest in a business entity is necessary to require disclosure or disqualification under this provision.*

EXAMPLE

Assume that:

- You are an agent for Smith and Jones Insurance Company. You sold a Businessmen's Insurance Company policy to XYZ Company. You received commission income of \$15,000 from the transaction.
- Your spouse is a real estate broker for Goldwater Realty and received a commission of \$20,000 from the Grand Company.

You must report:

- Both XYZ Company and Businessmen's Insurance Company as sources of commission income

"Commission income" means gross payments received as a result of services rendered as a broker, agent, or salesperson. Persons who must report commission income include: insurance brokers or agents, real estate brokers or agents, travel agents or salespersons, stockbrokers, and retail or wholesale salespersons.

The "source" of commission income generally includes all parties to a transaction, and each is attributed the full value of the commission. (See example below.)

NOTE: If you have reported yourself or your employer as a source of commission income on Schedule D, you are not required to itemize yourself or your employer as a source of income on Schedule H-1.

because each was a source of \$10,000 or more. Smith and Jones is disclosed on Schedule D as a source of commission income, and on Schedule H-1 as the business entity through which you received the income.

- Grand Company, your spouse's client, because your community property share (50%) of the gross receipts amounted to \$10,000 and would meet the reporting threshold. You would also report your community property share of your spouse's income from Goldwater Realty on Schedule D as a source of income.

NAME OF BUSINESS ENTITY	Smith and Jones Insurance Company
NAMES OF SOURCES OF INCOME	XYZ Company
	Businessmen's Insurance Company
NAME OF BUSINESS ENTITY	Goldwater Realty
NAMES OF SOURCES OF INCOME	Grand Company

NAME _____

Schedule H

(SEE INSTRUCTIONS ON PRECEDING PAGES)

Schedule H-1

Commission Income Received By Brokers, Agents And Salespersons

NAME OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME	

Schedule H-2

Income And Loans To A Business Entity Or Trust

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
NATURE OF BUSINESS	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST	

Schedule H-3

Income From Rental Property

You must disclose the name(s) of any renter(s) who made rent payments, provided that your pro rata share of such receipts from any one renter was \$10,000 or more.

ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	
ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	

☐ If additional space is needed, check box and attach additional schedules.

INFORMATION FOR COMPLETING SCHEDULE H-2-- Income And Loans To A Business Entity Or Trust

0000113

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any business entity or a trust, you must provide the name of each individual or entity which:

- Is located in, or is doing business in, your jurisdiction; and
- Was a source of income to the business entity or trust, provided that your pro rata share of such payments or receipts was \$10,000 or more. Income includes, but is not limited to, payments received for legal or other services, rental income, loans received or outstanding, forgiveness or payment of indebtedness, and retail sales.

IMPORTANT: The requirement to report sources of income to a business entity or trust is determined by the location of the source of the loan or income—not by the location of the business entity or trust which received the loan or income.

If the business entity in which you have a 10% or greater interest is located in or doing business in your jurisdiction, it must also be reported on Schedule A and you may be required to complete Schedules C-1 and C-2. Your pro rata share of the gross income to the business entity must be reported on Schedule D.

FPPC Regulation 18740 provides a procedure for obtaining an exemption from the disclosure requirement of clients' names which are legally recognized as privileged under California law. You may obtain a copy of Regulation 18740 by contacting the Fair Political Practices Commission.

NOTE: If your pro rata share of income or a loan to a business entity or trust is \$250 or more, you may be required to disqualify yourself from decisions affecting that source of income or loan, even though you are not required to report it.

EXAMPLE

Assume that:

- During the reporting period, ABC Land Developers, in which you have a 50% partnership interest, received a loan from the Little Town Bank in the amount of \$30,000. The bank has a branch which is located in your jurisdiction.
- Your spouse owns 100% of a law firm. A client paid your spouse \$20,000 during the reporting period. This client is doing business in your jurisdiction.

You must report:

- The loan to ABC Land Developers from the Little Town Bank because your pro rata share (50%) of the \$30,000 loan is \$15,000 and meets the \$10,000 reporting threshold.
- Your spouse's law firm and its client because your pro rata share (50%) of the \$20,000 paid to the law firm is \$10,000 and meets the reporting threshold.

NAME OF BUSINESS ENTITY OR TRUST ABC Land Developers	ADDRESS OF BUSINESS ENTITY OR TRUST 5744 Tenth Street, Little Town
NATURE OF BUSINESS, IF ANY Land Developer	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST Little Town Bank	
NAME OF BUSINESS ENTITY OR TRUST Smith and Jones	ADDRESS OF BUSINESS ENTITY OR TRUST 1261 High Street, Little Town
NATURE OF BUSINESS, IF ANY Law Firm	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST Joseph Brown and Associates	

INFORMATION FOR COMPLETING SCHEDULE H-3 -- Income From Rental Property

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT
OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any rental property, you must provide the name of each individual or entity which made rent payments, provided that your pro rata share of the receipts was \$10,000 or more.

IMPORTANT: The requirement to report sources of rental income is determined by the location of the source of income—not by the location of the rental property.

If the rental property in which you have a 10% or greater interest is located in your jurisdiction, it must also be reported on Schedule B. Your pro rata share of the gross rental income from the property must also be reported on Schedule D.

EXAMPLE

Assume that:

- You own a home in your jurisdiction from which rental income was received from a single tenant in the amount of \$10,800.
- You own rental property which is located in Sierra Springs, which is not in your jurisdiction. Your rental tenant owns J.R. Car Dealership which is located in your jurisdiction.

You must report:

- The name of the rental tenant who paid rent in the amount of \$10,000 or more to rent the property.
- The name of the rental tenant (John Roberts) who paid rent in the amount of \$10,000 or more.

ADDRESS OF RENTAL PROPERTY 14738 Spruce Street	CITY Little Town
NAMES OF RENTERS Sylvan Taylor	
ADDRESS OF RENTAL PROPERTY 15255 Maple Street	CITY Sierra Springs
NAMES OF RENTERS John Roberts	

APPENDIX "A"

Department of Information
Services

Reportable Economic
Interest Category
Numbers (See Appendix "B")

Designated Positions

1. Director, Information Services	1, 2, 3
2. Deputy Director, Information Services	1, 2, 3
3. Deputy Director, Communications	1, 2, 3
4. Assistant Mgr, EDP Operations	4b, 6b
5. EDP Operations Coordinator	4b, 6b
6. EDP Operations Manager	4b, 6b
7. EDP Systems Manager	4b, 6b
8. Data Base Administrator	4b, 6b
9. Administrative Services Manager II	4b, 6b
10. Principal Systems Analyst	4b, 6b
11. Software Programmer IV	4b, 6b
12. Radio Communications Manager	4b, 6b
13. Senior Electronic Engineer	4b, 6b
14. Telecommunications Manager	4b, 6b
15. Telecommunications Network Manager	4b, 6b
16. Telecommunications Technician IV	4b, 6b

Consultants

The positions of the following consultants presently
retained by the County:

- a. _____
b. _____
c. _____

The position by name of job title of each person
classified as a "designated employee" in any contract
which the County enters into for consulting services
with a person or business entity (whether or not a
non-profit entity). Such a designation will be made
in the contract with respect to any person who in the
opinion of the County, may reasonably be expected to
make, participate in making or in any way attempt to
use his position as "consultant" to influence a
governmental decision in which the person might
reasonably be expected to have a financial interest.

APPENDIX "B"

CATEGORIES OF REPORTABLE ECONOMIC INTERESTS

Category 1. All-Inclusive Reportable Investments

A designated employee in this category shall disclose all reportable investments (worth more than \$1,000):

- (a) owned by the designated employee, his or her spouse or dependent child;
- (b) owned by an agent on behalf of the designated employee;
- (c) owned by any business entity controlled by the designated employee (i.e., any business entity in which the designated employee, his or her agents, spouse and dependent children hold more than a 50% ownership interest);
- (d) owned by a trust in which the designated employee has a substantial interest (i.e., a trust in which the designated employee, his or her spouse and dependent children have a present or future interest worth more than \$1,000);
- (e) representing the pro rata share (worth more than \$1,000) of the designated employee, his or her spouse and dependent children, of investments of any business entity or trust in which the designated employee, his or her spouse and dependent children own, directly or indirectly or beneficially, a 10% interest or greater.

Category 2. All-Inclusive Reportable Interests in Real Property

A designated employee in this category shall disclose all interests (worth more than \$1,000) in real property located within the jurisdiction if the interests are:

- (a) held or owned by the designated employee, his or her spouse and dependent child, or
- (b) in the pro rata share (worth more than \$1,000) of interests in real property of any business entity or trust in which the designated employee or spouse owns, directly, indirectly or beneficially, a 10% interest or greater.

Category 3. All-Inclusive Reportable Income.

0000115

A designated employee in this category shall disclose all income of the designated employee from any County-related source aggregating \$250 or more (or \$25 or more in the case of gifts) during the reporting period.

Category 4. Less-inclusive Reportable Investments

A designated employee in this category shall disclose only investments (worth more than \$1,000) in any business entity, which within the last two years has contracted with or in the future foreseeably may contract with the County or with any local government agency, for which the Board of Supervisors of the County is the governing body, to provide services, supplies, materials, machinery or equipment:

- (a) to the County or to such local government agency
- (b) of the type utilized by the Agency adopting this Code and associated with the job assignment of the designated employee.

Category 6. Less-Inclusive Reportable Income

A designated employee in this category shall disclose only that reportable income (\$250 or more during reporting period; \$25 or more in the case of gifts) which is derived from a source which within the last two years has contracted with the County or in the future foreseeably may contract with the County or with any local government agency, for which the Board of Supervisors of the County is the governing body, to provide services, supplies, materials, machinery or equipment;

- (a) to the County or to such local government agency
- (b) of the type utilized by the Agency adopting this Code and associated with the job assignment of the designated employee.

COUNTY OF SAN DIEGO
DESIGNATED EMPLOYEES DISCLOSURE CATEGORIES

Category 1. All-Inclusive Reportable Investments

Category 2. All-Inclusive Reportable Interests in Real Property

Category 3. All-Inclusive Reportable Income

Category 4. Less-Inclusive Reportable Investments

Investments in any business entity which contracts, with the County or local government agency governed by the Board of Supervisors, to provide services, supplies, materials or equipment of the type utilized by the Agency adopting this Code and associated with the position of the designated employee.

Category 5. Less-Inclusive Reportable Income

Income from a source which contracts, with the County or local government agency governed by the Board of Supervisors, to provide services, supplies, materials or equipment of the type utilized by the Agency adopting this Code and associated with the position of the designated employee.

Category 6. Less-Inclusive Reportable Interests in Real Property

Interests in real property located in the unincorporated area of the County, or not more than two miles outside the boundaries of the unincorporated area, or within two miles of any land owned by the County.

Category 7. Less-Inclusive Reportable Investments, Unincorporated Area

Investments in any business entity with interests in real property located in the unincorporated area of the County, or not more than two miles outside the boundaries of the unincorporated area.

Category 8. Less-Inclusive Reportable Income, Unincorporated Area

Income from a source which resides in the unincorporated area of the County, or not more than two miles outside the boundaries of the unincorporated area.