



COUNTY OF SAN DIEGO – Department of Public Works
**NUMERIC EFFLUENT LIMITATION (NEL)
EXCEEDANCE REPORT**
CE 2061 (Rev. 10/26)

PROJECT NAME:	CONTRACT NUMBER:	REPORT #
	ORACLE NUMBER:	WDID:
SITE ADDRESS / INSPECTION LOCATION(S):		PROJECT TYPE: ☐ Risk Level ____ ☐ LUP Type ____
CONTRACTOR NAME:		

NEL EXCEEDANCE SUMMARY

Date Exceedance Identified:	Related CE-2020 Report #:	Rain Gauge Reading: _____ in.
Related CE-2053 Report #: _____ Confirm analytical information is documented on attached CE-2053 and/or laboratory report.		

Location ID	Sample Date	Sample Time (24-hr)	NEL Parameter	Exceedance Result

Attach the following reports:
CE 2053 – Stormwater & Non-stormwater Sampling Report (*required*) / Laboratory Report (*if applicable*)
CE 2064 – Dewatering Operations (*if applicable*)

NEL EXCEEDANCE ASSESSMENT

Describe the apparent source / cause of the exceedance (*provide estimated volume and/or duration of discharge*):

Describe the construction activities occurring within the associated drainage area:

Assess the existing best management practices (BMPs) associated with the exceeding sample and discharge location:

Describe BMP deficiencies and/or areas of concern identified:

Run-on may have contributed to exceedance?	☐ Yes ☐ No	Run-on monitoring documented on CE- 2053?	☐ Yes ☐ N/A
Describe the immediate corrective actions taken (Date implemented _____):			

Attach photos for each corrective action described and implemented in the field.	
Are additional corrective actions required?	☐ Yes ☐ No If Yes, document on CE-2020 and verify through CE-2022.



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SAMPLING REPORT CERTIFICATIONS

WATER POLLUTION CONTROL MANAGER (WPCM) CERTIFICATION

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am electronically certifying contains my signature. I understand that my electronic signature is the legal equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is for my own use, that I will keep it confidential, and that I will not delegate or share it with any other person. Should I wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS of such delegation within 10 days of the delegation. I further certify that I will protect my electronic signature from unauthorized use, and that I will contact the State Water Board, within two business days of discovery, if I suspect that my electronic signature has been lost, stolen, or otherwise compromised.

WPCM Name:	Certification # (if any):
WPCM Signature:	Date Report Certified:

RESIDENT ENGINEER (RE) NEL EXCEEDANCE REPORT ACCEPTANCE

RE Name:	RE Signature:	Date Report Accepted:
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NEL EXCEEDANCE REPORTING

NEL Exceedance Discharge reported by phone or email to the Watershed Protection Program (WPP) or Department Point of Contact (POC) within 24 hours of discovery? ☐ Yes ☐ No	Date of discharge reported to WPP	RE Initials
NEL Exceedance Report submitted to WPP or POC within 24 hours? ☐ Yes ☐ No	Date report submitted to WPP	RE Initials

INSTRUCTIONS

GENERAL

- Complete CE-2061 when an applicable Numeric Effluent Limitation (NEL) exceedance is identified
- Complete and submit the report within 24 hours of identifying the NEL exceedance

SUPPORTING RECORDS

- Attach the applicable CE-2053 Sampling Report
- Attach the laboratory analytical report, when applicable to the NEL parameters
- Reference the related CE-2020 inspection report and document the corresponding onsite rain gauge reading
- Analytical method, reporting units, and method detection limit / resolution shall be documented in the attached sampling records

EXCEEDANCE ASSESSMENT

- Identify each exceeding sample by Location ID and document the applicable parameter and result
- Evaluate the source / cause, construction activities within the associated drainage area, and the BMPs associated with the exceeding sample and discharge location

RUN-ON

- Evaluate whether run-on may have contributed to the exceedance
- When applicable, perform and document run-on monitoring on Form CE-2053

CORRECTIVE ACTIONS

- Attach photographs documenting the applicable conditions and corrective actions
- If additional corrective actions are required, document them through CE-2020 and track them through completion and verification on CE-2022

POST-NEL EXCEEDANCE FOLLOW-UP

- Complete required QSP and QSD post-NEL exceedance inspections within the timeframes established by the Construction General Permit

REPORTING & RECORDKEEPING

- Maintain the completed report and supporting records with the project SWPPP



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CONTRACTOR NAME:		☐ Risk Level ____ ☐ LUP Type ____

CORRECTIVE ACTION PHOTOS FOR NEL EXCEEDANCE
Photos shall be time-stamped. Duplicate this page as needed.

Photo #	Location:	CA #	Photo #	Location:	CA #

Description:			Description:		
Photo #	Location:	CA #	Photo #	Location:	CA #

Description:			Description:		
Photo #	Location:	CA #	Photo #	Location:	CA #

Description:			Description:		
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