

**UPDATE  
GEOTECHNICAL INVESTIGATION**

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**VALIANO (EDEN HILLS) –  
48-ACRE FINES  
SAN DIEGO COUNTY, CALIFORNIA**



**GEOCON**  
INCORPORATED

GEOTECHNICAL  
ENVIRONMENTAL  
MATERIALS

PREPARED FOR

**INTEGRAL PARTNERS FUNDING, LLC  
ENCINITAS, CALIFORNIA**

**MAY 13, 2014  
PROJECT NO. G1416-52-03**



Project No. G1416-52-03  
May 13, 2014

Integral Partners Funding, LLC  
2235 Encinitas Boulevard, Suite 216  
Encinitas, California 92024

Attention: Mr. Gil Miltenberger

Subject: UPDATE GEOTECHNICAL INVESTIGATION  
VALIANO (EDEN HILLS) – 48-ACRE FINES  
SAN DIEGO COUNTY, CALIFORNIA

Dear Mr. Miltenberger:

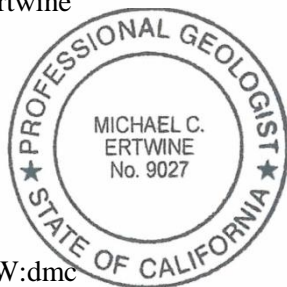
In accordance with the authorization of our Change Order dated December 4, 2012, we herein submit the results of our update geotechnical investigation for the subject site. The accompanying report presents our findings, conclusions and recommendations pertaining to the geotechnical aspects of the proposed development. The study also provides excavation and remedial grading considerations, foundation and concrete slab-on-grade recommendations, retaining wall and lateral load recommendations, 2013 CBC seismic design criteria, pavement and flatwork recommendations, and discussions regarding the local geologic hazards including faulting, liquefaction, and seismic shaking. Based on the results of this study, it is our opinion the site is considered suitable for development provided the recommendations of this report are followed.

Should you have any questions regarding this report, or if we may be of further service, please contact the undersigned at your convenience.

Very truly yours,

GEOCON INCORPORATED

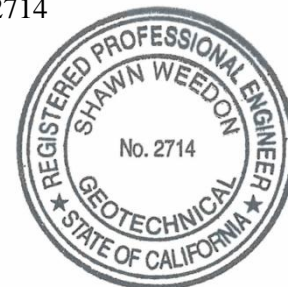
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## TABLE OF CONTENTS

1.	PURPOSE AND SCOPE .....	1
2.	SITE AND PROJECT DESCRIPTION .....	2
3.	SOIL AND GEOLOGIC CONDITIONS .....	3
3.1	Undocumented Fill (Qudf) .....	3
3.2	Topsoil (Unmapped).....	3
3.3	Colluvium (Qc).....	3
3.4	Alluvium (Qal) .....	3
3.5	Granitic Rock, Tonalite (Kgr).....	4
3.6	Metamorphic Rock – Undivided (Mzu).....	4
4.	RIPPABILITY AND ROCK CONSIDERATIONS .....	5
5.	GROUNDWATER .....	5
6.	GEOLOGIC HAZARDS .....	6
6.1	Faulting and Seismicity .....	6
6.2	Liquefaction.....	8
6.3	Landslides.....	9
6.4	Seiches and Tsunamis.....	9
7.	CONCLUSIONS AND RECOMMENDATIONS.....	10
7.1	General.....	10
7.2	Soil Characteristics .....	10
7.3	Grading .....	11
7.4	Earthwork Grading Factors.....	14
7.5	Slope Stability.....	14
7.6	Seismic Design Criteria .....	16
7.7	Foundation and Concrete Slabs-On-Grade Recommendations .....	18
7.8	Retaining Walls and Lateral Loads.....	23
7.9	Preliminary Pavement Recommendations .....	25
7.10	Slope Maintenance.....	28
7.11	Site Drainage and Moisture Protection.....	28
7.12	Grading and Foundation Plan Review .....	29

## LIMITATIONS AND UNIFORMITY OF CONDITIONS

## MAPS AND ILLUSTRATIONS

- Figure 1, Vicinity Map
- Figure 2, Geologic Map (Map Pocket)
- Figure 3, Construction Detail for Lateral Extent of Removal
- Figure 4, Typical Street Oversize Detail
- Figure 5, Oversize Rock Disposal Detail
- Figure 6, Fill Slope Stability Analysis
- Figure 7, Surficial Fill Slope Stability Analysis
- Figure 8, Wall/Column Footing Dimension Detail
- Figure 9, Typical Retaining Wall Drain Detail

## **TABLE OF CONTENTS (Continued)**

### **APPENDIX A**

#### **EXPLORATORY EXCAVATIONS**

Figures A-1 – A-12, Logs of Exploratory Trenches

### **APPENDIX B**

#### **LABORATORY TESTING**

Table B-I, Summary of Laboratory Maximum Dry Density and Optimum Moisture Content Test Results

Table B-II, Summary of Laboratory Direct Shear Strength Test Results

Table B-III, Summary of Laboratory Expansion Index Test Results

Table B-IV, Summary of Laboratory Water-Soluble Sulfate Test Results

### **APPENDIX C**

#### **SEISMIC REFRACTION SURVEY**

### **APPENDIX D**

#### **RECOMMENDED GRADING SPECIFICATIONS**

### **LIST OF REFERENCES**



# UPDATE GEOTECHNICAL INVESTIGATION

## 1. PURPOSE AND SCOPE

This report presents the results of our update geotechnical investigation for the Eden Hills 48-acre Fines Property located south of SR-78 and west of I-15 in northern San Diego County, California (see Vicinity Map, Figure 1). The purpose of this update report is to provide excavation and remedial grading considerations, foundation and concrete slab-on-grade recommendations, retaining wall and lateral load recommendations, 2013 CBC seismic design criteria, pavement and flatwork recommendations, and discussions regarding the local geologic hazards including faulting, liquefaction, and seismic shaking. The proposed development will include the construction of a single family residential subdivision with associated infrastructure. Plans for development, as presently proposed, are presented on the Geologic Map, Figure 2 (map pocket).

The scope of our investigation included a geologic reconnaissance, subsurface exploration, laboratory testing, engineering analyses, and the preparation of this report. As a part of our investigation, we have reviewed stereoscopic aerial photographs, published geologic maps and published geologic reports. A summary of the background information reviewed for this study is presented in the *List of References*.

The scope of the study also included a review of:

1. *Geotechnical Investigation, Eden Hills, San Diego County, California*, prepared by Geocon Incorporated, dated September 12, 2012 (Project No. G1416-52-02).
2. *Preliminary Geotechnical Investigation, Eden Hills - 48- Acre Fines, San Diego County, California*, prepared by Geocon Incorporated, dated December 12, 2012 (Project No. G1416-52-03).
3. *Response to the County of San Diego, Addendum to Geotechnical Investigation Report, Valiano (Eden Hills), San Diego County, California*, prepared by Geocon Incorporated, dated June 13, 2013 (Project No. G1416-52-03).
4. *Planning and Development Services (PDS) Planning and SEQA Comments*, dated December 4, 2013 (Project No. PDS2013-SP-13-001).

Our field investigation included geologic mapping, excavating 12 backhoe trenches, and performing three seismic traverses. Appendix A provides a discussion of the field investigation and logs of the backhoe trenches. The Geologic Map (Figure 2, map pocket) presents the approximate locations of the exploratory excavations. We performed laboratory tests on soil samples obtained from the exploratory excavations to evaluate pertinent physical and chemical properties for engineering analysis. Appendix B presents the results of the laboratory tests. We contracted Southwest

Geophysics to perform the seismic refraction survey. Appendix C present the resulting seismic refraction report.

Latitude 33 Engineering, Inc. provided a preliminary grading plan that we used as our base map for our field investigation and for the Geologic Map. References to elevations presented in this report are based on the referenced topographic information. Geocon does not practice in the field of land surveying and is not responsible for the accuracy of such topographic information.

## **2. SITE AND PROJECT DESCRIPTION**

The property consists of parcels of land with the assessor's parcel numbers (APNs) 232-500-18, through -23 and 253-031-41 totaling approximately 48 acres of partially developed land. The property is bounded to the north by Mount Whitney Road, to east by Country Club Drive, to the west by essentially undeveloped land, and to the south by the existing residence and an equestrian park. An SDG&E easement traverses through the southern portion of the site. A residential development occupies the southeastern corner of the site with a barn, mobile home trailer, and equestrian stables and corrals. The site consists of a lone nob which slopes to the east, north and south with three converging drainages which confluence within the central portion of the property. We understand the central drainage is located within the protected National Wetlands Inventory. A pond exists in the southeastern portion of the property, west of the equestrian area.

Elevations at the site range from approximately 614 feet above mean sea level (MSL) near the south central portion of the site to 716 feet above MSL near the central portion of the property. A residential structure and the operational equestrian park are located within the project site. The conceptual development plan provided for our use indicates that it will consist of the construction of a residential subdivision with backbone and in-tract improvements.

Based on the grading plans, Lots 229 through 297 will be constructed on the property with associated roadways, improvements, and landscaping. In addition, a community park is planned on the southeastern portion, open space in the center, and water quality basins on the north, east, and southeast. We understand the grading of the site will consist of maximum cuts and fills of approximately 28 feet and 16 feet, respectively, with cut and fill slopes having a maximum height of about 25 feet and a maximum slope inclination of 2:1 (horizontal:vertical).

The locations and descriptions provided herein are based on a site reconnaissance, and review of the referenced plans and project information provided by Latitude 33, Inc.

### **3. SOIL AND GEOLOGIC CONDITIONS**

We encountered four surficial soil types and two geologic formations during the field investigation. The surficial deposits consist of undocumented fill, topsoil, colluvium and alluvium. The formational units include Cretaceous-age granitic rock (Tonalite) and Mesozoic-age Metamorphic Rock Undivided. The surficial soil types and geologic units encountered are described herein in order of increasing age. The approximate extent of these deposits, excluding topsoil, is shown on the Geologic Map, Figure 2.

#### **3.1 Undocumented Fill (Qudf)**

Although we did not encounter undocumented fill in the backhoe trenches, we were able to map it in several locations on the property. The areas are typically associated with the existing residence and equestrian activities. It is not unusual to encounter pockets of undocumented fill and debris at random locations placed during agricultural or historic farming activities. These materials are not typically suitable for receiving fill or settlement sensitive improvements and require remedial grading in the form of removal and recompaction.

#### **3.2 Topsoil (Unmapped)**

The majority of the site is irregularly blanketed by 1 to 3 feet of topsoil consisting of loose, porous, dark brown to reddish, silty to clayey, fine to medium sand. Topsoil is compressible in its present condition and remedial grading is required within areas of planned development.

#### **3.3 Colluvium (Qc)**

We encountered colluvial deposits along the flanks of the slopes, overlying the formational rock units. These deposits generally consist of loose to dense clayey sand. Colluvium is a surficial accumulation of thickened topsoil and detritus transported by gravity. Based on our exploratory trenches, the thickness of colluvium can range from a few feet to more than 8 feet. Due to the unconsolidated condition of the colluvium, remedial grading will be required to provide suitable support for placement of compacted fill or structural improvements.

#### **3.4 Alluvium (Qal)**

We expect alluvium exists in the central drainage at the site. We were not allowed to enter the central drainage area during our investigation. Alluvium generally varies in depth depending upon the size of the canyon. We expect the alluvium consists of sandy clay, silty sand and clayey sand with occasional boulders with an estimated thickness which could range from a few feet to more than 20 feet within the center of the canyon. A review of the conceptual development plan indicates that grading in the alluvial areas will be limited.

### **3.5 Granitic Rock, Tonalite (Kgr)**

Cretaceous-age Granitic Rock (Tonalite) associated with the Peninsular Range Batholith underlies the surficial materials in the center and northern portions of the property. The mostly massive granitic rock is characterized as medium- to coarse-grained, dark gray to grayish brown, and weak to moderately strong. Additionally, the granitic rock is moderately to highly fractured and at various stages of weathering. The near surface materials are highly to moderately weathered and can be excavated using very heavy excavation effort. The results of our seismic refraction study indicate that the bedrock becomes very strong and likely requires blasting during mass grading and improvement installations below the relatively weathered zones. The rippability characteristics of the granitic rock are discussed herein. Granitic units generally exhibit good bearing and slope stability characteristics.

The soil derived from excavations within the decomposed granitic rock typically possesses a “very low” to “low” expansion potential (expansion index of 50 or less). The rock generally excavates as silty, medium- to coarse-grained sand that should provide suitable foundation support in either a natural or properly compacted condition. We expect that excavations within the granitic rock will generate boulders and oversize materials (rocks greater than 12 inches in dimension) that will require special handling and placement.

### **3.6 Metamorphic Rock – Undivided (Mzu)**

We encountered Cretaceous/Jurassic-age (Mesozoic) metasedimentary/metavolcanic rock which underlies the surficial materials and is located within the southern portion of the site. The mostly massive metamorphic rock is characterized as fine coarse-grained, dark gray to grayish brown, weak to moderately weak in strength and at various stages of weathering. The near surface materials are highly to moderately weathered and can be excavated by a very heavy excavation effort. Below the relatively weathered zones, the bedrock becomes very strong and may require blasting during mass grading and improvement installations. As a result, oversize rocks will be generated that require special handling during the grading operation.

The rippability characteristics of the metamorphic rock are discussed herein. These units generally exhibit good bearing and slope stability characteristics.

The soil derived from excavations within the decomposed granitic rock typically possesses a “very low” to “low” expansion potential (expansion index of 50 or less). The rock generally excavates as silty, fine- to coarse-grained sand that should provide suitable foundation support in either a natural or properly compacted condition.

#### **4. RIPPABILITY AND ROCK CONSIDERATIONS**

We performed three Seismic refraction traverses, to aid in evaluating the rippability characteristics of the rock in proposed major cut area. Rock rippability is a function of natural weathering processes that can vary vertically and horizontally over short distances depending on jointing, fracturing, and/or mineralogic discontinuities within the bedrock. Southwest Geophysics performed 3 seismic traverses within the central nob to evaluate the rippability characteristics of the bedrock at the proposed major cut. The report prepared by Southwest Geophysics is presented in Appendix C.

Based on this study, we expect that the majority of the significant excavations within the central portion of the nob will experience very difficult ripping and/or blasting as excavations extend beyond the rippable weathered mantle. The northern and southern flanks of the ridge appear to be more rippable based on the results from the seismic line SL-3.

Blasting techniques can be expected to generate oversized rock (rocks greater than 12-inches in dimension), that will necessitate typical hard rock handling and placement procedures during grading operations.

Heavy ripping and/or blasting should also be expected at the surface in areas of concentrated rock outcroppings. Estimates of the anticipated volume of hard rock materials generated from proposed excavations should be evaluated based on the information from the seismic refraction criteria acceptable to the contractor. Roadway/utility corridors and lot undercutting criteria should also be considered when calculating the volume of hard rock. Proposed cuts in hard rock areas can be expected to generate oversized fragments.

Earthwork construction should be carefully planned to efficiently utilize available rock placement areas. Oversize materials should be placed in accordance with rock placement procedures presented in Appendix D of this report and governing jurisdictions. Crushing of oversized materials may be necessary to satisfy the placement requirements of this report.

#### **5. GROUNDWATER**

We did not encounter groundwater or seepage at the surface during our site investigation to show on the Geologic Maps. However, water may be encountered within the alluvium in the central portion of the project. Due to the geologic conditions and the natural and artificial water sources inherent to the property, the perched groundwater condition is expected to occur and fluctuate seasonally. Subdrain systems may be necessary to intercept and convey groundwater migrating along the geological contacts. Groundwater and seepage is dependent on seasonal precipitation, irrigation, land use, among other factors, and varies as a result. Proper surface drainage will be important to future performance of the project. The remedial grading in the low lying alluvial areas within the east

central portion of the site, will be limited due to presence of groundwater. As a result some compressible alluvial soils will likely be left in place.

In addition, canyon subdrains will be installed during the grading operations to mitigate the potential for groundwater build up. We will also recommend installing drains where seepage is encountered during the grading operations. The planned drains will be surveyed for location and elevation and will be shown on the as-graded geologic map subsequent to the grading operations. We opine groundwater will not affect the planned residences if the recommendations of the referenced report are followed.

## **6. GEOLOGIC HAZARDS**

### **6.1 Faulting and Seismicity**

Review of geologic literature indicates active or potentially faults do not traverse the property. The property is not located within a State of California Earthquake Fault Zone. According to the results of the computer program *EZ-FRISK* (Version 7.62), 9 known active faults are located within a search radius of 50 miles from the property. We used the 2008 USGS fault database that provides several models and combinations of fault data to evaluate the fault information. Based on this database, the nearest known active fault is the Newport-Inglewood/Rose Canyon Faults, located approximately 13 miles west of the site and is the dominant source of potential ground motion. Earthquakes that might occur on the Newport-Inglewood/Rose Canyon Faults or other faults within the southern California and northern Baja California area are potential generators of significant ground motion at the site. The estimated deterministic maximum earthquake magnitude and peak ground acceleration for the Newport-Inglewood/Rose Canyon Faults are 7.5 and 0.19g, respectively. Table 6.1.1 lists the estimated maximum earthquake magnitude and peak ground acceleration for the most dominant faults in relationship to the site location. We calculated peak ground acceleration (PGA) using Boore-Atkinson (2008) NGA USGS2008, Campbell-Bozorgnia (2008) NGA USGS-2008, and Chiou-Youngs (2007) NGA USGS2008 acceleration-attenuation relationships.

**TABLE 6.1.1**  
**DETERMINISTIC SPECTRA SITE PARAMETERS**

Fault Name	Distance from Site (miles)	Maximum Earthquake Magnitude (Mw)	Peak Ground Acceleration		
			Boore-Atkinson 2008 (g)	Campbell-Bozorgnia 2008 (g)	Chiou-Youngs 2007 (g)
Newport-Inglewood (Offshore)	13	7.5	0.19	0.16	0.19
Rose Canyon	13	6.9	0.15	0.14	0.19
Elsinore	18	7.8	0.11	0.08	0.09
Coronado Bank	28	7.4	0.15	0.10	0.12
Palos Verdes, Connected	28	7.7	0.13	0.10	0.11
Earthquake Valley	32	6.8	0.08	0.06	0.05
San Jacinto	42	7.9	0.09	0.07	0.09
San Joaquin Hills	46	6.7	0.06	0.06	0.05
Palos Verdes	47	7.3	0.06	0.05	0.05

We used the computer program *EZ-FRISK* to perform a probabilistic seismic hazard analysis. The computer program *EZ-FRISK* operates under the assumption that the occurrence rate of earthquakes on each mapped Quaternary fault is proportional to the faults slip rate. The program accounts for earthquake magnitude as a function of fault rupture length, and site acceleration estimates are made using the earthquake magnitude and distance from the site to the rupture zone. The program also accounts for uncertainty in each of following: (1) earthquake magnitude, (2) rupture length for a given magnitude, (3) location of the rupture zone, (4) maximum possible magnitude of a given earthquake, and (5) acceleration at the site from a given earthquake along each fault. By calculating the expected accelerations from considered earthquake sources, the program calculates the total average annual expected number of occurrences of site acceleration greater than a specified value. We utilized acceleration-attenuation relationships suggested by Boore-Atkinson (2008) NGA USGS 2008, Campbell-Bozorgnia (2008) NGA USGS 2008, and Chiou-Youngs (2007) USGS2008 in the analysis. Table 6.1.2 presents the site-specific probabilistic seismic hazard parameters including acceleration-attenuation relationships and the probability of exceedence.

**TABLE 6.1.2  
PROBABILISTIC SEISMIC HAZARD PARAMETERS**

Probability of Exceedence	Peak Ground Acceleration		
	Boore-Atkinson, 2008 (g)	Campbell-Bozorgnia, 2008 (g)	Chiou-Youngs, 2007 (g)
2% in a 50 Year Period	0.36	0.35	0.39
5% in a 50 Year Period	0.27	0.26	0.28
10% in a 50 Year Period	0.21	0.20	0.20

The California Geologic Survey (CGS) provides a computer program that calculates the ground motion for a 10 percent of probability of exceedence in 50 years based on the average value of several attenuation relationships. Table 6.1.3 presents the calculated results from the Probabilistic Seismic Hazards Mapping Ground Motion Page from the CGS website.

**TABLE 6.1.3  
PROBABILISTIC SITE PARAMETERS FOR SELECTED FAULTS  
CALIFORNIA GEOLOGIC SURVEY**

Calculated Acceleration (g) Firm Rock	Calculated Acceleration (g) Soft Rock	Calculated Acceleration (g) Alluvium
0.25	0.27	0.31

The information presented in Tables 6.1.2 and 6.1.3 provides the probability analyses of site accelerations that could affect the property. For example, a 2 percent in a 50 year period acceleration of 0.39g means that there is a 2 percent probability that an earthquake will cause a higher acceleration than 0.39g at the property within the next 50 years.

While listing peak accelerations is useful for comparison of potential effects of fault activity in a region, other considerations are important in seismic design, including the frequency and duration of motion and the soil conditions underlying the site. Seismic design of the structures should be evaluated in accordance with the California Building Code (CBC) guidelines currently adopted by the County of San Diego.

## **6.2 Liquefaction**

Liquefaction typically occurs when a site is located in a zone with seismic activity, on-site soil are cohesionless/low plasticity silt and clay, groundwater is encountered within 50 feet of the surface, and soil relative densities are less than about 70 percent. If the four previous criteria are met, a seismic event could result in a rapid pore-water pressure increase from the earthquake-generated



ground accelerations. Seismically induced settlement may occur whether the potential for liquefaction exists or not. The potential for liquefaction within the area of the planned structures is considered very low due to the dense formational material encountered.

The area susceptible for liquefaction is at the vicinity of the alluvium in the central portion of the property. However, this area is designated as open space and structures are not planned in this area. We expect we can remove the alluvium during the remedial grading operations to expose the underlying granitic rock. Subsequent to the grading operations, the planned residences will possess compacted fill overlying granitic rock. Therefore, based on a review of the current grading plans and our previous reports, the house pads are not in a location susceptible for liquefaction during a seismic event.

### **6.3 Landslides**

Examination of aerial photographs in our files, our geologic reconnaissance, and review of available geotechnical and geologic reports for the site vicinity indicate that landslides are not present at the property or at a location that could impact the subject site.

### **6.4 Seiches and Tsunamis**

Seiches are caused by the movement of an inland body of water due to the movement from seismic forces. The potential of seiches to occur is considered to be very low due to the absence of a nearby inland body of water. The site is not located near an inland body of water. Therefore, the risk of a seiche from flooding is considered low.

A tsunami is a series of long-period waves generated in the ocean by a sudden displacement of large volumes of water. Causes of tsunamis include underwater earthquakes, volcanic eruptions, or offshore slope failures. The State of California Tsunami Inundation Map for Emergency Planning (CGS, 2009) does not show the property within a tsunami inundation zone. The site is located approximately 11 miles from the Pacific Ocean at an elevation of approximately 650 feet above Mean Sea Level. Therefore, the risk of tsunamis affecting the site is negligible.

## **7. CONCLUSIONS AND RECOMMENDATIONS**

### **7.1 General**

- 7.1.1 It is our opinion that no soil or geologic conditions were encountered during the investigation that would preclude the proposed development of the Eden Hills, 48-Acre Fines project provided the recommendations presented herein are followed and implemented during construction.
- 7.1.2 The site is underlain by surficial units that include undocumented fill, topsoil, colluvium, and alluvium. These surficial deposits are unsuitable in their present condition for support of fill and/or structural loads and will require remedial grading where improvements are planned. The upper portion of the weathered granitic rock and metamorphic rock may also require remedial grading depending on the condition as exposed during grading operations.
- 7.1.3 Potential geologic hazards at the site include seismic shaking. Based on our investigation and available geologic information, active or potentially active faults are not present underlying or trending toward the site.
- 7.1.4 The presence of hard rock within proposed cut areas will require special consideration during site development. We expect that the majority of the proposed excavations will require moderate to heavy ripping with conventional heavy-duty equipment. Blasting is expected within the rock unit in the central part of the site. In addition, heavy ripping and blasting will generate oversize materials and corestones that will require special handling and fill placement procedures. Oversize materials should be placed in accordance with Appendix D of this report.
- 7.1.5 The proposed residential structures and retaining walls may be supported on conventional and/or post tensioned foundation systems bearing in either competent formational materials or properly compacted fill.
- 7.1.6 Proper drainage should be maintained in order to preserve the engineering properties of the fill in both the building pads and slope areas. Recommendations for site drainage are provided herein.

### **7.2 Soil Characteristics**

- 7.2.1 We expect the on-site soil is considered to be “non-expansive” and “expansive” (expansion index [EI] of 20 or less and greater than 20, respectively) as by 2013 California Building Code (CBC) Section 1803.5.3. Table 7.2.1 presents soil classifications based on the

expansion index. A majority of the soil tested in our lab possess a “very low” to “low” expansion potential (expansion index of 50 or less). However, some soil encountered during grading may have an Expansion Index between 51 and 90 based on our observation.

**TABLE 7.2.1**  
**EXPANSION CLASSIFICATION BASED ON EXPANSION INDEX**

Expansion Index (EI)	Expansion Classification	2013 CBC Expansion Classification
0 – 20	Very Low	Non-Expansive
21 – 50	Low	Expansive
51 – 90	Medium	
91 – 130	High	
Greater Than 130	Very High	

7.2.2 We performed laboratory tests on samples of the site materials to aid to evaluate the percentage of water-soluble sulfate content. Results from the laboratory water-soluble sulfate content tests are presented in Appendix B and indicate that the soil tested possesses “not applicable sulfate exposure to concrete structures by 2013 CBC Section 1904 and ACI 318-11 Sections 4.2 and 4.3. The presence of water-soluble sulfates is not a visually discernible characteristic; therefore, other soil samples from the site could yield different concentrations. Additionally, over time landscaping activities (i.e., addition of fertilizers and other soil nutrients) may affect the concentration.

7.2.3 Geocon Incorporated does not practice in the field of corrosion engineering. Therefore, further evaluation by a corrosion engineer may be performed if improvements that could be susceptible to corrosion are planned.

7.2.4 We expect the excavation of the surficial soil (undocumented fill, topsoil, alluvium, colluvium, weathered granitic rock) can be performed with light to moderate effort with conventional heavy-duty earthmoving equipment. Excavation of the weathered granitic rock and metamorphic rock are expected to require a very heavy effort to excavate. Less weathered and fresh bedrock may require blasting or specialized rock breaking techniques to efficiently excavate and handle.

### **7.3 Grading**

7.3.1 Grading should be performed in accordance with the *Recommended Grading Specifications* contained in Appendix D. Where the recommendations of Appendix D conflict with this report, the recommendations of this report should take precedence.

- 7.3.2 Prior to commencing grading, a preconstruction conference should be held at the site with the owner or developer, grading contractor, civil engineer, and geotechnical engineer in attendance. Special soil handling and/or the grading plans can be discussed at that time.
- 7.3.3 Site preparation should begin with the removal of all deleterious material and vegetation. The depth of removal should be such that material exposed in cut areas or soil to be used as fill is relatively free of organic matter. Material generated during stripping and/or site demolition should be exported from the site.
- 7.3.4 We expect that during the excavation of the major cut areas, a moderate quantity of surface and “floater” rocks in excess of 4 feet in size will be generated. In our opinion, these rocks are suitable for placement in the fill areas, provided the placement is accomplished in accordance with the recommendations presented in Appendix D. In addition, using boulders for landscape purposes should be considered. Excavation of the deeper bedrock units should produce a relatively lower quantity of large “floater” rocks, if an efficient blasting program is implemented. The proposed blasting program should also be planned to assist in the generation of “fines”. If possible, the grading operation should attempt to reserve sufficient “soil” fill for use in capping the “rock” fills as discussed in Appendix D and to replace trench excavation material which is considered too rocky to be used as trench backfill.
- 7.3.5 Potentially compressible surficial soil (undocumented fill, topsoil, colluvium, alluvium, weathered granitic and metamorphic rocks) within areas of planned grading should be removed to firm natural ground prior to placing additional fill and/or structural loads. The actual extent of unsuitable soil removals should be evaluated in the field by the soil engineer and/or engineering geologist. Overly wet, surficial materials will require drying and/or mixing with drier soil to facilitate proper compaction. Figure 3 depicts construction detail for lateral extent of removal of unsuitable materials.
- 7.3.6 To reduce the potential for differential settlement, the building pads with cut-fill transitions should be undercut at least 3 feet, sloped 1 percent to the adjacent street or deepest fill, and replaced with properly compacted fill with a “very low” to “low” expansion potential (EI of 50 or less). Where the thickness of the fill below the building pad exceeds 15 feet, the depth of the undercut for cut-fill transition lots, should be increased to one-fifth of the maximum fill thickness to a maximum depth of 10 feet. The large sheet-graded pads should be undercut at least 6 feet to allow for future re-grading of the pads.
- 7.3.7 Building pads underlain by hard rock units at grade should also be undercut to facilitate future trenching. Building pads that expose hard rock should be undercut a minimum of 3

feet and replaced with properly compacted fill and the base of the undercut should be sloped a minimum of 1 percent toward the adjacent street. Roadways underlain by hard rock should be undercut a minimum of 1 foot below the deepest utility line. Figure 4 presents a typical detail for the overexcavation of streets.

- 7.3.8 Recommendations for the handling and disposal of oversized rock in fill areas are presented in Figure 5 and in Appendix D. In general, structural fill placed and compacted at the site should consist of material that can be classified into four zones:

*Zone A:* Material placed within 3 feet from building pad grade, 8 feet from roadway grade, and to at least 1 foot below the deepest utility within roadways should consist of “soil” fill with an approximate maximum particle dimension of 6 inches with a minimum of 40 percent of the soil passing the ¾-inch sieve. In addition, the upper 3 feet of pad grade should have at least 20 percent of the soil passing the No. 4 sieve.

*Zone B:* Material placed below 8 feet from grade (below *Zone A* and *C*) may consist of “rock” fill or “soil/rock” fill (as defined in Appendix H). Blasted rock should generally consist of 2 foot minus rock material with occasional rock up to 4 foot in maximum dimension. Alternatively, “soil” fill may be placed in *Zone B* containing rock with a maximum dimension of 2 feet. Rocks up to 4 feet in maximum dimension can be individually placed in a properly compacted soil matrix with rocks separated at least 8 feet apart.

*Zone C:* Within 3 to 8 feet of pad grade and between 5 and 15 feet from face of slope, fill material should consist of “soil” fill with an approximate maximum particle dimension of 1 foot. Rocks up to 2 feet in maximum dimension may be placed, provided they are distributed in a matrix of compacted “soil” fill.

*Zone D:* Within the outer 5 feet of fill slopes, the fill should consist of rock up to 1 foot in maximum dimension in a matrix of compacted “soil” fill.

- 7.3.9 If perched groundwater is encountered during remedial grading within the surficial soil, top loading of wet material may be required. This condition may potentially occur within the canyon drainages, especially during the rainy season. The excavated materials should then be moisture conditioned as necessary to near optimum moisture content prior to placement as compacted fill.

- 7.3.10 The site should then be brought to final subgrade elevations with structural fill compacted in layers. In general, soil native to the site are suitable for re-use as fill if free from vegetation, debris and other deleterious material. Layers of fill should be no thicker than will allow for adequate bonding and compaction. Fill materials, including backfill and scarified ground surfaces, should be compacted to at least 90 percent of the laboratory maximum dry density near to slightly above optimum moisture content, as determined in

accordance with ASTM D 1557. Fill materials excessively above or below optimum moisture content may require additional moisture conditioning prior to placing additional fill.

7.3.11 Development of the site as proposed will generate a relatively large volume of oversize rock as well as shot rock. Earthwork considerations which need to be addressed within the development schedule are:

- Grading and blasting operations should be designed to generate a sufficient quantity of granular material for use as low expansive capping material. Where this is not possible, rock crushing may be required.
- Consideration should be given to stockpiling select materials to be utilized for capping.
- Oversize rock should be placed in deeper fill areas in accordance with the Recommended Grading Specifications presented in Appendix D.

## **7.4 Earthwork Grading Factors**

7.4.1 Estimates of embankment shrink-bulk factors are based on comparing laboratory compaction tests with the density of the material in its natural state and experience with similar soil types. It should be emphasized that variations in natural soil density (e.g. undocumented fills), as well as in compacted fill, render shrinkage value estimates very approximate. As an example, the contractor can compact fills to any relative compaction of 90 percent or higher of the laboratory maximum dry density. Thus, the contractor has at least a 10 percent range of control over the fill volume. Based on the work performed to date and considering the above discussion, the following earthwork factors presented on Table 7.4 may be used as a basis for estimating how much the on-site soil may shrink or swell when removed from their natural state and placed in compacted fills.

**TABLE 7.4**

<b>Soil Unit</b>	<b>Shrink-Swell Factors</b>
Undocumented Fill, Topsoil, Alluvium, and Colluvium,	5 to 10 Percent Shrinkage
(Rippable) Granitic rock (Tonalite), and Metamorphic Rock	10 to 15 Percent Bulk
(Non-rippable) Granitic rock (Tonalite), and Metamorphic Rock	20 to 25 Percent Bulk

## **7.5 Slope Stability**

7.5.1 We performed slope stability analyses utilizing average drained direct shear strength parameters obtained from our laboratory testing and our experience with similar soil

conditions. These analyses indicate that the proposed 2:1 (horizontal:vertical) fill slopes constructed of on-site materials should have calculated factors of safety of at least 1.5 under static conditions for both deep-seated failure and shallow sloughing conditions. The surficial slope stability analyses indicate the planned 2:1 slopes possess a factor of safety of at least 1.5 as required by current County of San Diego guidelines as presented in the referenced reports. The localized sloughing may occur due to heavy rain fall, over-irrigation, allowing water flowing from the top of the slope and lack of maintenance. These surficial instabilities, if they occur, should be immediately repaired and fixed to reduce the potential for progressive failure. In addition, these slopes should not have an adverse effect on the performance of the building pads. Therefore, from a geotechnical engineering standpoint, the building pads will be safe for human occupancy provided the recommendations provided in the referenced report are adhered to during the construction operations. Slope stability calculations for deep-seated and surficial fill slope stability are presented on Figures 6 and 7, respectively.

- 7.5.2 Fill slopes should be constructed such that the materials, within a zone measured horizontally back from the face of slope for a distance equal to the height of slope, are generally comprised of granular soil and/or soil/rock fill. However, the outer 15 feet of fill slopes will be restricted to materials composed of properly compacted granular “soil” fill to reduce the potential for surface sloughing. In general, soil with an Expansion Index of less than 90 or at least 35 percent sand size particles should be acceptable as granular “soil” fill. Soil of questionable strength to satisfy surficial stability should be tested in the laboratory for acceptable drained shear strength.
- 7.5.3 Fill slopes should be overbuilt at least 3 feet horizontally, and cut back to the design finish grade. As an alternative, slopes can be compacted by backrolling with a loaded sheepsfoot roller at vertical intervals not to exceed 4 feet and should be track-walked at the completion of each slope such that the fill soil is compacted to a dry density of at least 90 percent of the laboratory maximum dry density near to slightly above optimum moisture content to the face of the finished slope.
- 7.5.4. Cut slopes excavated in the granitic rock do not lend themselves to conventional stability analyses. However, the results of our field investigation, our experience in the general area and the examination of the existing slopes adjacent to the property indicate that the proposed 2:1 (horizontal:vertical) cut slopes should be stable with respect to deep-seated failure and surficial sloughage up to the proposed maximum height of 25 feet.
- 7.5.5 Where cuts exceed the maximum depth of the weathered portion of the granitic rocks, heavy blasting will be required to break the fresh, very hard rock. Overblasting of cut

slopes should not be permitted. Loose rock and blasting debris should be removed from the faces of finish graded cut slopes. Loose rock fragments greater than 6 inches in maximum dimension should not be left on the slope surface. Tops of cut slopes should be cleared of loose boulders and should be “rounded” within the exposed topsoil horizon.

7.5.6 The cut slope excavations should be observed during grading by an engineering geologist to evaluate that soil and geologic conditions do not differ significantly from those anticipated. In the event that adverse conditions are observed, stabilization recommendations can be provided.

7.5.7 Finished slopes should be landscaped with drought-tolerant vegetation, having variable root depths and requiring minimal landscape irrigation. In addition, all slopes should be drained and properly maintained to reduce erosion.

## **7.6 Seismic Design Criteria**

7.6.1 We used the computer program *U.S. Seismic Design Maps*, provided by the USGS. Table 7.6.1 summarizes site-specific design criteria obtained from the 2013 California Building Code (CBC; Based on the 2012 International Building Code [IBC] and ASCE 7-10), Chapter 16 Structural Design, Section 1613 Earthquake Loads. The short spectral response uses a period of 0.2 second. The planned buildings and improvements can be designed using a Site Class B where the fill thickness is less than 10 feet, Site Class C where the fill soil is 10 feet or greater and less than 35 feet or Site Class D for building pads with fill 35 feet or greater. We will evaluate the structure site class for each residential building once the final grading has been completed.



**TABLE 7.6.1  
2013 CBC SEISMIC DESIGN PARAMETERS**

Parameter	Value			2013 CBC Reference
Site Class	B	C	D	Section 1613.3.2
Fill Thickness, T (feet)	$T < 10$	$10 \leq T < 35$	$T \geq 35$	--
Spectral Response – Class B (0.2 sec), $S_s$	1.000	1.000	1.000	Figure 1613.3.1(1)
Spectral Response – Class B (1 sec), $S_1$	0.390	0.390	0.390	Figure 1613.3.1(2)
Site Coefficient, $F_a$	1.000	1.000	1.100	Table 1613.3.3(1)
Site Coefficient, $F_v$	1.000	1.408	1.619	Table 1613.3.3(2)
Maximum Considered Earthquake Spectral Response Acceleration (0.2 sec), $S_{MS}$	1.000	1.000	1.100	Section 1613.3.3 (Eqn 16-37)
Maximum Considered Earthquake Spectral Response Acceleration – (1 sec), $S_{M1}$	0.390	0.550	0.632	Section 1613.3.3 (Eqn 16-38)
5% Damped Design Spectral Response Acceleration (0.2 sec), $S_{DS}$	0.667	0.667	0.733	Section 1613.3.4 (Eqn 16-39)
5% Damped Design Spectral Response Acceleration (1 sec), $S_{D1}$	0.260	0.367	0.421	Section 1613.3.4 (Eqn 16-40)

7.6.2 Table 7.6.2 presents additional seismic design parameters for projects located in Seismic Design Categories of D through F in accordance with ASCE 7-10 for the mapped maximum considered geometric mean ( $MCE_G$ ).

**TABLE 7.6.2  
2013 CBC SITE ACCELERATION DESIGN PARAMETERS**

Parameter	Value	ASCE 7-10 Reference
Mapped $MCE_G$ Peak Ground Acceleration, PGA	0.374g	Figure 22-7
Site Coefficient, $F_{PGA}$	1.126	Table 11.8-1
Site Class Modified $MCE_G$ Peak Ground Acceleration, $PGA_M$	0.421g	Section 11.8.3 (Eqn 11.8-1)

7.6.3 Conformance to the criteria in Tables 7.6.1 and 7.6.2 for seismic design does not constitute any kind of guarantee or assurance that significant structural damage or ground failure will not occur if a large earthquake occurs. The primary goal of seismic design is to protect life, not to avoid all damage, since such design may be economically prohibitive.

## 7.7 Foundation and Concrete Slabs-On-Grade Recommendations

- 7.7.1 The following foundation recommendations are for proposed one- to two-story residential structures. The foundation recommendations have been separated into three categories based on either the maximum and differential fill thickness or Expansion Index. The foundation category criteria are presented in Table 7.7.1.

**TABLE 7.7.1  
FOUNDATION CATEGORY CRITERIA**

Foundation Category	Maximum Fill Thickness, T (Feet)	Differential Fill Thickness, D (Feet)	Expansion Index (EI)
I	$T < 20$	--	$EI \leq 50$
II	$20 \leq T < 50$	$10 \leq D < 20$	$50 < EI \leq 90$
III	$T \geq 50$	$D \geq 20$	$90 < EI \leq 130$

- 7.7.2 Final foundation categories for each building or lot will be provided after finish pad grades have been achieved and laboratory testing of the subgrade soil has been completed.
- 7.7.3 Table 7.7.2 presents minimum foundation and interior concrete slab design criteria for conventional foundation systems.

**TABLE 7.7.2  
CONVENTIONAL FOUNDATION RECOMMENDATIONS BY CATEGORY**

Foundation Category	Minimum Footing Embedment Depth (inches)	Continuous Footing Reinforcement	Interior Slab Reinforcement
I	12	Two No. 4 bars, one top and one bottom	6 x 6 - 10/10 welded wire mesh at slab mid-point
II	18	Four No. 4 bars, two top and two bottom	No. 3 bars at 24 inches on center, both directions
III	24	Four No. 5 bars, two top and two bottom	No. 3 bars at 18 inches on center, both directions

- 7.7.4 The embedment depths presented in Table 7.7.2 should be measured from the lowest adjacent pad grade for both interior and exterior footings. The conventional foundations should have a minimum width of 12 inches and 24 inches for continuous and isolated footings, respectively. A typical wall/column footing dimension detail depicting lowest adjacent grade is shown as Figure 8.

- 7.7.5 The concrete slab-on-grade should be a minimum of 4 inches thick for Foundation Categories I and II and 5 inches thick for Foundation Category III.
- 7.7.6 Slabs that may receive moisture-sensitive floor coverings or may be used to store moisture-sensitive materials should be underlain by a vapor retarder. The vapor retarder design should be consistent with the guidelines presented in the American Concrete Institute's (ACI) *Guide for Concrete Slabs that Receive Moisture-Sensitive Flooring Materials* (ACI 302.2R-06). The vapor retarder used should be specified by the project architect or developer based on the type of floor covering that will be installed and if the structure will possess a humidity controlled environment.
- 7.7.7 The bedding sand thickness should be determined by the project foundation engineer, architect, and/or developer. However, we should be contacted to provide recommendations if the bedding sand is thicker than 6 inches. It is common to see 3 inches and 4 inches of sand below the concrete slab-on-grade for 5-inch and 4-inch thick slabs, respectively, in the southern California area. The foundation design engineer should provide appropriate concrete mix design criteria and curing measures to assure proper curing of the slab by reducing the potential for rapid moisture loss and subsequent cracking and/or slab curl. We suggest that the foundation design engineer present the concrete mix design and proper curing methods on the foundation plans. It is critical that the foundation contractor understands and follows the recommendations presented on the foundation plans.
- 7.7.8 As an alternative to the conventional foundation recommendations, consideration should be given to the use of post-tensioned concrete slab and foundation systems for the support of the proposed structures. The post-tensioned systems should be designed by a structural engineer experienced in post-tensioned slab design and design criteria of the Post-Tensioning Institute (PTI), Third Edition, as required by the 2013 California Building Code (CBC Section 1808.6). Although this procedure was developed for expansive soil conditions, it can also be used to reduce the potential for foundation distress due to differential fill settlement. The post-tensioned design should incorporate the geotechnical parameters presented on Table 7.7.3 for the particular Foundation Category designated. The parameters presented in Table 7.7.3 are based on the guidelines presented in the PTI, Third Edition design manual. The foundations for the post-tensioned slabs should be embedded in accordance with the recommendations of the structural engineer.

**TABLE 7.7.3**  
**POST-TENSIONED FOUNDATION SYSTEM DESIGN PARAMETERS**

Post-Tensioning Institute (PTI), Third Edition Design Parameters	Foundation Category		
	I	II	III
Thornthwaite Index	-20	-20	-20
Equilibrium Suction	3.9	3.9	3.9
Edge Lift Moisture Variation Distance, $e_M$ (feet)	5.3	5.1	4.9
Edge Lift, $y_M$ (inches)	0.61	1.10	1.58
Center Lift Moisture Variation Distance, $e_M$ (feet)	9.0	9.0	9.0
Center Lift, $y_M$ (inches)	0.30	0.47	0.66

7.7.9 If the structural engineer proposes a post-tensioned foundation design method other than the 2013 CBC:

- The criteria presented in Table 7.7.3 are still applicable.
- Interior stiffener beams should be used for Foundation Categories II and III.
- The width of the perimeter foundations should be at least 12 inches.
- The perimeter footing embedment depths should be at least 12 inches, 18 inches and 24 inches for foundation categories I, II, and III, respectively. The embedment depths should be measured from the lowest adjacent pad grade.

7.7.10. Foundation systems for the lots that possess a foundation Category I and a “very low” expansion potential (Expansion Index of 20 or less ) can be designed using the method described in Section 1808 of the 2013 CBC. If post-tensioned foundations are planned, an alternative, commonly accepted design method (other than PTI Third Edition) can be used. However, the post-tensioned foundation system should be designed for a total and differential deflection of 1 inch. Geocon Incorporated should be contacted to review the plans and provide additional information, if necessary.

7.7.11 The foundations for the post-tensioned slabs should be embedded in accordance with the recommendations of the structural engineer. If a post-tensioned mat foundation system is planned, the slab should possess a thickened edge with a minimum width of 12 inches and extend below the clean sand or crushed rock layer.

7.7.12 Our experience indicates post-tensioned slabs are susceptible to excessive edge lift, regardless of the underlying soil conditions. Placing reinforcing steel at the bottom of the perimeter footings and the interior stiffener beams may mitigate this potential. Current PTI design procedures primarily address the potential center lift of slabs but, because of the placement of the reinforcing tendons in the top of the slab, the resulting eccentricity after

tensioning reduces the ability of the system to mitigate edge lift. The structural engineer should design the foundation system to reduce the potential of edge lift occurring for the proposed structures.

- 7.7.13 During the construction of the post-tension foundation system, the concrete should be placed monolithically. Under no circumstances should cold joints be allowed to form between the footings/grade beams and the slab during the construction of the post-tension foundation system.
- 7.7.14 Category I, II, or III foundations may be designed for an allowable soil bearing pressure of 2,000 pounds per square foot (psf) (dead plus live load). This bearing pressure may be increased by one-third for transient loads due to wind or seismic forces.
- 7.7.15 Isolated footings, if present, should have the minimum embedment depth and width recommended for conventional foundations for a particular foundation category. The use of isolated footings, which are located beyond the perimeter of the building and support structural elements connected to the building, are not recommended for Category III. Where this condition cannot be avoided, the isolated footings should be connected to the building foundation system with grade beams.
- 7.7.16 For Foundation Category III, consideration should be given to using interior stiffening beams and connecting isolated footings and/or increasing the slab thickness. In addition, consideration should be given to connecting patio slabs, which exceed 5 feet in width, to the building foundation to reduce the potential for future separation to occur.
- 7.7.17 Footings that must be placed within seven feet of the top of slopes should be extended in depth such that the outer bottom edge of the footing is at least seven feet horizontally inside the face of the slope.
- 7.7.18 Special subgrade presaturation is not deemed necessary prior to placing concrete; however, the exposed foundation and slab subgrade soil should be moisture conditioned, as necessary, to maintain a moist condition as would be expected in any such concrete placement.
- 7.7.19 Where buildings or other improvements are planned near the top of a slope steeper than 3:1 (horizontal:vertical), special foundations and/or design considerations are recommended due to the tendency for lateral soil movement to occur.

- For fill slopes less than 20 feet high, building footings should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope.
- When located next to a descending 3:1 (horizontal:vertical) fill slope or steeper, the foundations should be extended to a depth where the minimum horizontal distance is equal to  $H/3$  (where  $H$  equals the vertical distance from the top of the fill slope to the base of the fill soil) with a minimum of 7 feet but need not exceed 40 feet. The horizontal distance is measured from the outer, deepest edge of the footing to the face of the slope. An acceptable alternative to deepening the footings would be the use of a post-tensioned slab and foundation system or increased footing and slab reinforcement. Specific design parameters or recommendations for either of these alternatives can be provided once the building location and fill slope geometry have been determined.
- If swimming pools are planned, Geocon Incorporated should be contacted for a review of specific site conditions.
- Swimming pools located within 7 feet of the top of cut or fill slopes are not recommended. Where such a condition cannot be avoided, the portion of the swimming pool wall within 7 feet of the slope face should be designed assuming that the adjacent soil provides no lateral support. This recommendation applies to fill slopes up to 30 feet in height, and cut slopes regardless of height. For swimming pools located near the top of fill slopes greater than 30 feet in height, additional recommendations may be required and Geocon Incorporated should be contacted for a review of specific site conditions.
- Although other improvements, which are relatively rigid or brittle, such as concrete flatwork or masonry walls, may experience some distress if located near the top of a slope, it is generally not economical to mitigate this potential. It may be possible, however, to incorporate design measures which would permit some lateral soil movement without causing extensive distress. Geocon Incorporated should be consulted for specific recommendations.

7.7.20 The recommendations of this report are intended to reduce the potential for cracking of slabs due to expansive soil (if present), differential settlement of existing soil or soil with varying thicknesses. However, even with the incorporation of the recommendations presented herein, foundations, stucco walls, and slabs-on-grade placed on such conditions may still exhibit some cracking due to soil movement and/or shrinkage. The occurrence of concrete shrinkage cracks is independent of the supporting soil characteristics. Their occurrence may be reduced and/or controlled by limiting the slump of the concrete, proper concrete placement and curing, and by the placement of crack control joints at periodic intervals, in particular, where re-entrant slab corners occur.

7.7.21 Exterior concrete flatwork not subject to vehicular traffic should be constructed in accordance with the recommendations herein. Slab panels should be a minimum of 4 inches thick and, when in excess of 8 feet square, should be reinforced with

6 x 6 - W2.9/W2.9 (6 x 6 - 6/6) welded wire mesh placed in the middle of the slab to reduce the potential for cracking. In addition, concrete flatwork should be provided with crack control joints to reduce and/or control shrinkage cracking. Crack control spacing should be determined by the project structural engineer based on the slab thickness and intended usage. Criteria of the American Concrete Institute (ACI) should be taken into consideration when establishing crack control spacing. Subgrade soil for exterior slabs not subjected to vehicle loads should be compacted in accordance with criteria presented in the grading section prior to concrete placement. Subgrade soil should be properly compacted and the moisture content of subgrade soil should be checked prior to placing concrete. Base or sand bedding is not required beneath the flatwork.

- 7.7.22 Even with the incorporation of the recommendations within this report, exterior concrete flatwork has a potential of experiencing some movement due to swelling or settlement; therefore, welded wire mesh should overlap continuously in flatwork. Additionally, flatwork should be structurally connected to curbs, where possible.
- 7.7.23 Geocon Incorporated should be consulted to provide additional design parameters as required by the structural engineer.

## **7.8 Retaining Walls and Lateral Loads**

- 7.8.1 Retaining walls not restrained at the top and having a level backfill surface should be designed for an active soil pressure equivalent to the pressure exerted by a fluid density of 35 pounds per cubic foot (pcf). Where the backfill will be inclined at no steeper than 2:1 (horizontal:vertical), an active soil pressure of 50 pcf is recommended. These soil pressures assume that the backfill materials within an area bounded by the wall and a 1:1 plane extending upward from the base of the wall possess an expansion index of 50 or less. For those buildings with finish-grade soils having an expansion index greater than 50 and/or where backfill materials do not conform to the criteria herein, Geocon Incorporated should be consulted for additional recommendations.
- 7.8.2 Unrestrained walls are those that are allowed to rotate more than  $0.001H$  (where  $H$  equals the height of the retaining portion of the wall) at the top of the wall. Where walls are restrained from movement at the top, an additional uniform pressure of  $7H$  psf should be added to the above active soil pressure. For retaining walls subject to vehicular loads within a horizontal distance equal to two-thirds the wall height, a surcharge equivalent to 2 feet of fill soil should be added.

- 7.8.3 The structural engineer should determine the seismic design category for the project in accordance with Section 1613 of the CBC. If the project possesses a seismic design category of D, E, or F, retaining walls that support more than 6 feet of backfill should be designed with seismic lateral pressure in accordance with Section 18.3.5.12 of the 2013 CBC. The seismic load is dependent on the retained height where  $H$  is the height of the wall, in feet, and the calculated loads result in pounds per square foot (psf) exerted at the base of the wall and zero at the top of the wall. A seismic load of  $16H$  should be used for design. We used the peak ground acceleration adjusted for Site Class effects,  $PGA_M$ , of 0.38g calculated from ASCE 7-10 Section 11.8.3 and applied a pseudo-static coefficient of 0.33.
- 7.8.4 Retaining walls should be provided with a drainage system adequate to prevent the buildup of hydrostatic forces and waterproofed as required by the project architect. The soil immediately adjacent to the backfilled retaining wall should be composed of free draining material completely wrapped in Mirafi 140 (or equivalent) filter fabric for a lateral distance of 1 foot for the bottom two-thirds of the height of the retaining wall. The upper one-third should be backfilled with less permeable compacted fill to reduce water infiltration. The use of drainage openings through the base of the wall (weep holes) is not recommended where the seepage could be a nuisance or otherwise adversely affect the property adjacent to the base of the wall. The recommendations herein assume a properly compacted granular (EI of 50 or less) free-draining backfill material with no hydrostatic forces or imposed surcharge load. Figure 9 presents a typical retaining wall drainage detail. If conditions different than those described are expected or if specific drainage details are desired, Geocon Incorporated should be contacted for additional recommendations.
- 7.8.5 In general, wall foundations founded in properly compacted fill or formational materials should possess a minimum depth and width of one foot and may be designed for an allowable soil bearing pressure of 2,000 psf, provided the soil within three feet below the base of the wall has an expansion index of 90 or less. The proximity of the foundation to the top of a slope steeper than 3:1 could impact the allowable soil bearing pressure. Therefore, Geocon Incorporated should be consulted where such a condition is expected.
- 7.8.6 Footings that must be placed within seven feet of the top of slopes should be extended in depth such that the outer bottom edge of the footing is at least seven feet horizontally inside the face of the slope.
- 7.8.7 For resistance to lateral loads, an allowable passive earth pressure equivalent to a fluid with a density of 300 pcf is recommended for footings or shear keys poured neat against properly compacted granular fill or undisturbed formational materials. The allowable



passive pressure assumes a horizontal surface extending away from the face of the wall at least 5 feet or three times the height of surface generating the passive pressure, whichever is greater. The upper 12 inches of material not protected by floor slabs or pavement should not be included in the design for lateral resistance. A friction coefficient of 0.4 may be used for resistance to sliding between soil and concrete. This friction coefficient may be combined with the allowable passive earth pressure when determining resistance to lateral loads.

- 7.8.8 The recommendations presented herein are generally applicable to the design of rigid concrete or masonry retaining walls having a maximum height of 10 feet. In the event that walls higher than 10 feet or other types of walls are planned, such as crib-type walls, Geocon Incorporated should be consulted for additional recommendations.

## 7.9 Preliminary Pavement Recommendations

- 7.9.1 The final pavement sections for parking lots and roadways should be based on the R-Value of the subgrade soil encountered at final subgrade elevation. Streets should be designed in accordance with the County of San Diego specifications when final Traffic Indices and R-value test results of subgrade soil are completed. We calculated the flexible pavement sections in general conformance with the Caltrans Method of Flexible Pavement Design (Highway Design Manual, Section 608.4) Based on the results of our experience with similar soil types we have assumed an R-Value of 20 for the subgrade soil for the purposes of this preliminary analysis. Preliminary flexible pavement sections are presented in Table 7.9.1.

**TABLE 7.9.1  
PRELIMINARY FLEXIBLE PAVEMENT SECTIONS**

<b>Location</b>	<b>Assumed Traffic Index</b>	<b>Assumed Subgrade R-Value</b>	<b>Asphalt Concrete (inches)</b>	<b>Class 2 Aggregate Base (inches)</b>
Parking stalls for automobiles and light-duty vehicles	5.0	20	3	7
Driveway areas and Minor Arterials	6.0	20	3.5	10
Roadways	7.0	20	4	12

- 7.9.2 Prior to placing base materials, the upper 12 inches of the subgrade soil should be scarified, moisture conditioned as necessary, and recompactd to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content as determined by ASTM D 1557. Similarly, the base material should be compacted to a dry

density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content. Asphalt concrete should be compacted to a density of at least 95 percent of the laboratory Hveem density in accordance with ASTM D 2726.

- 7.9.3 Base materials should conform to Section 26-1.028 of the *Standard Specifications for The State of California Department of Transportation (Caltrans)* with a  $\frac{3}{4}$ -inch maximum size aggregate. The asphalt concrete should conform to Section 203-6 of the *Standard Specifications for Public Works Construction (Greenbook)*.
- 7.9.4 The base thickness can be reduced if a reinforcement geogrid is used during the installation of the pavement. Geocon should be contact for additional recommendations, if required.
- 7.9.5 A rigid Portland Cement concrete (PCC) pavement section should be placed in driveway entrance aprons, trash bin loading/storage areas and loading dock areas. The concrete pad for trash truck areas should be large enough such that the truck wheels will be positioned on the concrete during loading. We calculated the rigid pavement section in general conformance with the procedure recommended by the American Concrete Institute report ACI 330R-08 Guide for Design and Construction of Concrete Parking Lots using the parameters presented in Table 7.9.2.

**TABLE 7.9.2  
RIGID PAVEMENT DESIGN PARAMETERS**

Design Parameter	Design Value
Modulus of subgrade reaction, k	100 pci
Modulus of rupture for concrete, $M_R$	500 psi
Traffic Category, TC	A and C
Average daily truck traffic, ADTT	10 and 100

- 7.9.6 Based on the criteria presented herein, the PCC pavement sections should have a minimum thickness as presented in Table 7.9.3.

**TABLE 7.9.3  
RIGID PAVEMENT RECOMMENDATIONS**

Location	Portland Cement Concrete (inches)
Automobile Parking Areas (TC=A)	5.5
Heavy Truck and Fire Lane Areas (TC=C)	7.0

- 7.9.7 The PCC pavement should be placed over subgrade soil that is compacted to a dry density of at least 95 percent of the laboratory maximum dry density near to slightly above optimum moisture content. This pavement section is based on a minimum concrete compressive strength of approximately 3,000 psi (pounds per square inch).
- 7.9.8 A thickened edge or integral curb should be constructed on the outside of concrete slabs subjected to wheel loads. The thickened edge should be 1.2 times the slab thickness or a minimum thickness of 2 inches, whichever results in a thicker edge, and taper back to the recommended slab thickness 4 feet behind the face of the slab (e.g., a 7-inch-thick slab would have a 9-inch-thick edge). Reinforcing steel will not be necessary within the concrete for geotechnical purposes with the possible exception of dowels at construction joints as discussed herein.
- 7.9.9 To control the location and spread of concrete shrinkage cracks, crack-control joints (weakened plane joints) should be included in the design of the concrete pavement slab. Crack-control joints should not exceed 30 times the slab thickness with a maximum spacing of 12.5 feet and 15 feet for the 5.5 and 7-inch-thick slabs, respectively, and should be sealed with an appropriate sealant to prevent the migration of water through the control joint to the subgrade materials. The depth of the crack-control joints should be determined by the referenced ACI report.
- 7.9.10 To provide load transfer between adjacent pavement slab sections, a butt-type construction joint should be constructed. The butt-type joint should be thickened by at least 20 percent at the edge and taper back at least 4 feet from the face of the slab. As an alternative to the butt-type construction joint, dowelling can be used between construction joints for pavements of 7 inches or thicker. As discussed in the referenced ACI guide, dowels should consist of smooth, 1-inch-diameter reinforcing steel 14 inches long embedded a minimum of 6 inches into the slab on either side of the construction joint. Dowels should be located at the midpoint of the slab, spaced at 12 inches on center and lubricated to allow joint movement while still transferring loads. In addition, tie bars should be installed as recommended in Section 3.8.3 of the referenced ACI guide. The structural engineer should provide other alternative recommendations for load transfer.
- 7.9.11 The performance of asphalt concrete pavement is highly dependent on providing positive surface drainage away from the edge of the pavement. The ponding of water on or adjacent to pavement areas should not be allowed as it will likely result in pavement distress and subgrade failure. Drainage from landscaped areas should be directed to controlled drainage structures. Landscape areas adjacent to the edge of asphalt pavements are not recommended due to the potential for surface or irrigation water to infiltrate the underlying

permeable aggregate base and cause distress. Where such a condition cannot be avoided, consideration should be given to incorporating measures that will significantly reduce the potential for subsurface water migration into the aggregate base. If planter islands are planned, the perimeter curb should extend at least 6 inches below the level of the base materials.

## **7.10 Slope Maintenance**

7.10.1 Slopes that are steeper than 3:1 (horizontal:vertical) may, under conditions that are both difficult to prevent and predict, be susceptible to near-surface (surficial) slope instability. The instability is typically limited to the outer 3 feet of a portion of the slope and usually does not directly impact the improvements on the pad areas above or below the slope. The occurrence of surficial instability is more prevalent on fill slopes and is generally preceded by a period of heavy rainfall, excessive irrigation, or the migration of subsurface seepage. The disturbance and/or loosening of the surficial soil, as might result from root growth, soil expansion, or excavation for irrigation lines and slope planting, may also be a significant contributing factor to surficial instability. It is, therefore, recommended that, to the maximum extent practical: (a) disturbed/loosened surficial soil be either removed or properly recompacted, (b) irrigation systems be periodically inspected and maintained to eliminate leaks and excessive irrigation, and (c) surface drains on and adjacent to slopes be periodically maintained to preclude ponding or erosion. It should be noted that although the incorporation of the above recommendations should reduce the potential for surficial slope instability, it will not eliminate the possibility, and, therefore, it may be necessary to rebuild or repair a portion of the project's slopes in the future.

7.10.2 From a geotechnical engineering standpoint, the building pads will be safe for human occupancy provided the recommendations provided in the referenced report are adhered to during the construction operations.

## **7.11 Site Drainage and Moisture Protection**

7.11.1 Adequate site drainage is critical to reduce the potential for differential soil movement, erosion and subsurface seepage. Under no circumstances should water be allowed to pond adjacent to footings. The site should be graded and maintained such that surface drainage is directed away from structures in accordance with 2013 CBC 1804.3 or other applicable standards. In addition, surface drainage should be directed away from the top of slopes into swales or other controlled drainage devices. Roof and pavement drainage should be directed into conduits that carry runoff away from the proposed structure.

- 7.11.2 Underground utilities should be leak free. Utility and irrigation lines should be checked periodically for leaks, and detected leaks should be repaired promptly. Detrimental soil movement could occur if water is allowed to infiltrate the soil for prolonged periods of time.
- 7.11.3 Landscaping planters adjacent to paved areas are not recommended due to the potential for surface or irrigation water to infiltrate the pavement's subgrade and base course. We recommend that area drains to collect excess irrigation water and transmit it to drainage structures or impervious above-grade planter boxes be used. In addition, where landscaping is planned adjacent to the pavement, we recommend construction of a cutoff wall along the edge of the pavement that extends at least 6 inches below the bottom of the base materials.
- 7.11.4 If detention basins, bioswales, retention basins, water infiltration, low impact development (LID), or storm water management devices are being considered, Geocon Incorporated should be retained to provide recommendations pertaining to the geotechnical aspects of possible impacts and design.
- 7.11.5 If not properly constructed, there is a potential for distress to improvements and properties located hydrologically down gradient or adjacent to these devices. Factors such as the amount of water to be detained, its residence time, and soil permeability have an important effect on seepage transmission and the potential adverse impacts that may occur if the storm water management features are not properly designed and constructed. We have not performed a hydrogeology study at the site. Downgradient and adjacent structures may be subjected to seeps, movement of foundations and slabs, or other impacts as a result of water infiltration.

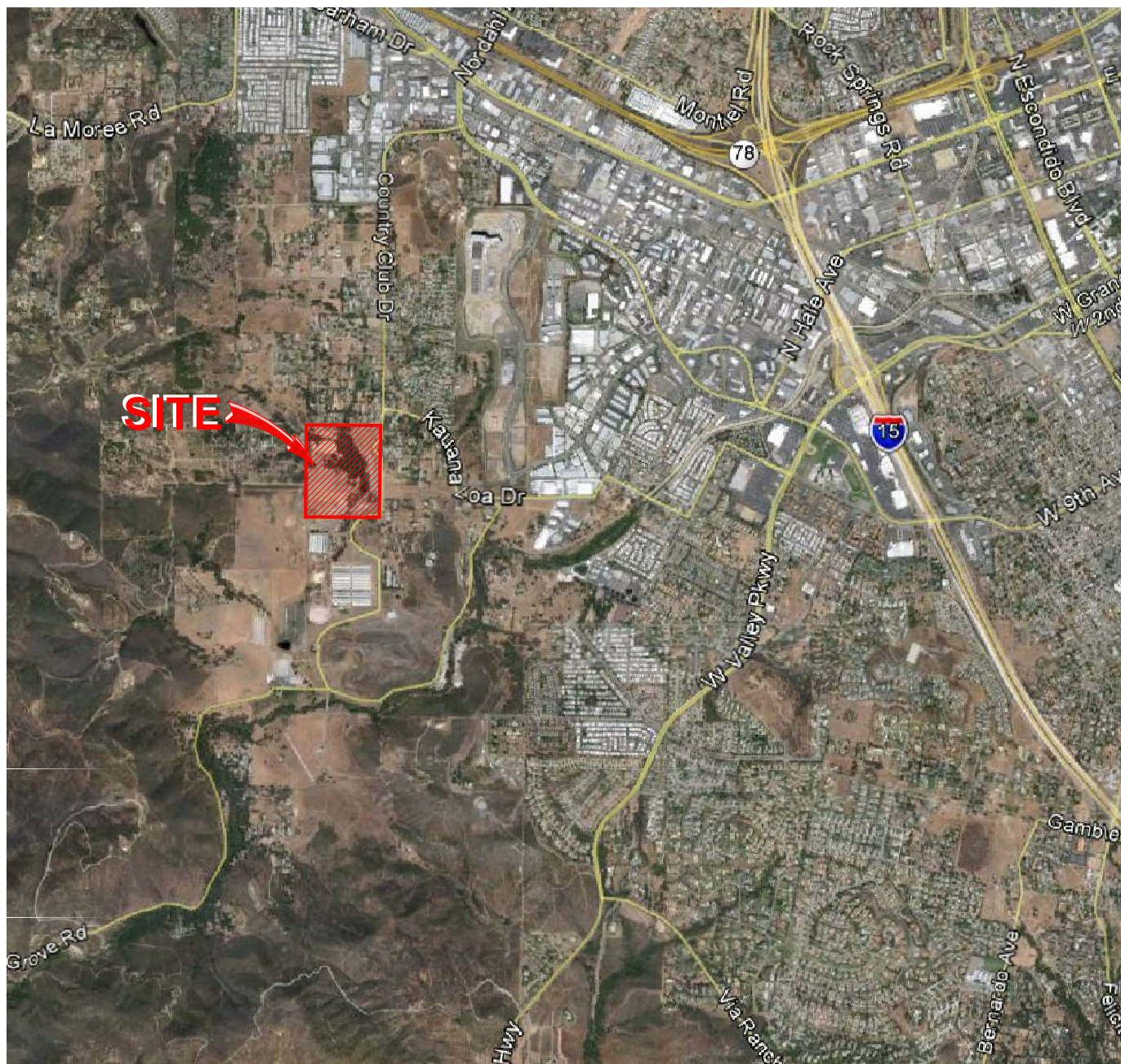
## **7.12 Grading and Foundation Plan Review**

- 7.12.1 Geocon Incorporated should review the grading plans and foundation plans, if prepared, for the project prior to final design submittal to check whether additional analysis and/or recommendations are required.

## **LIMITATIONS AND UNIFORMITY OF CONDITIONS**

1. The recommendations of this report pertain only to the site investigated and are based upon the assumption that the soil conditions do not deviate from those disclosed in the investigation. If any variations or undesirable conditions are encountered during construction, or if the proposed construction will differ from that anticipated herein, Geocon Incorporated should be notified so that supplemental recommendations can be given. The evaluation or identification of the potential presence of hazardous or corrosive materials was not part of the scope of services provided by Geocon Incorporated.
2. This report is issued with the understanding that it is the responsibility of the owner, or of his representative, to ensure that the information and recommendations contained herein are brought to the attention of the architect and engineer for the project and incorporated into the plans, and the necessary steps are taken to see that the contractor and subcontractors carry out such recommendations in the field.
3. The findings of this report are valid as of the present date. However, changes in the conditions of a property can occur with the passage of time, whether they are due to natural processes or the works of man on this or adjacent properties. In addition, changes in applicable or appropriate standards may occur, whether they result from legislation or the broadening of knowledge. Accordingly, the findings of this report may be invalidated wholly or partially by changes outside our control. Therefore, this report is subject to review and should not be relied upon after a period of three years.
4. The firm that performed the geotechnical investigation for the project should be retained to provide testing and observation services during construction to provide continuity of geotechnical interpretation and to check that the recommendations presented for geotechnical aspects of site development are incorporated during site grading, construction of improvements, and excavation of foundations. If another geotechnical firm is selected to perform the testing and observation services during construction operations, that firm should prepare a letter indicating their intent to assume the responsibilities of project geotechnical engineer of record. A copy of the letter should be provided to the regulatory agency for their records. In addition, that firm should provide revised recommendations concerning the geotechnical aspects of the proposed development, or a written acknowledgement of their concurrence with the recommendations presented in our report. They should also perform additional analyses deemed necessary to assume the role of Geotechnical Engineer of Record.





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NO SCALE

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## VICINITY MAP

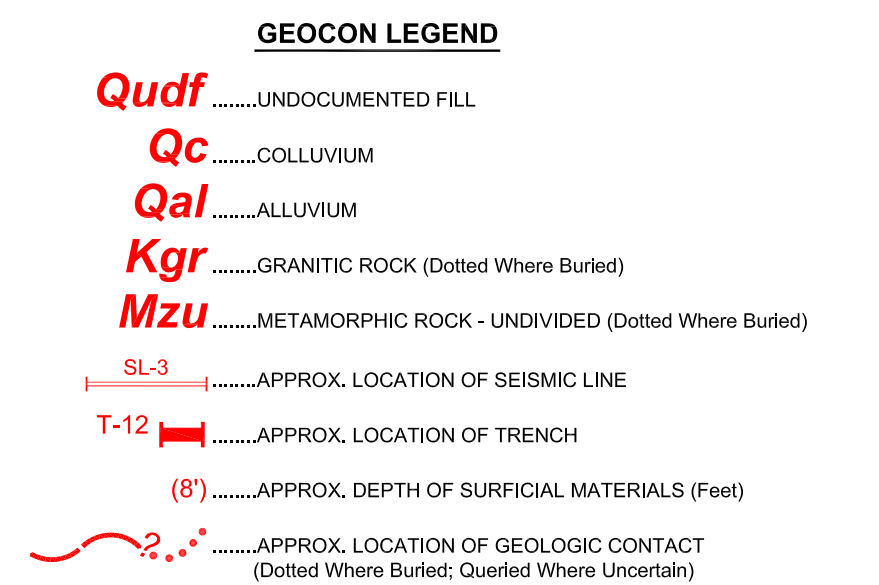
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SAN DIEGO COUNTY, CALIFORNIA

DATE 05 - 13 - 2014

PROJECT NO. G1416 - 52 - 03

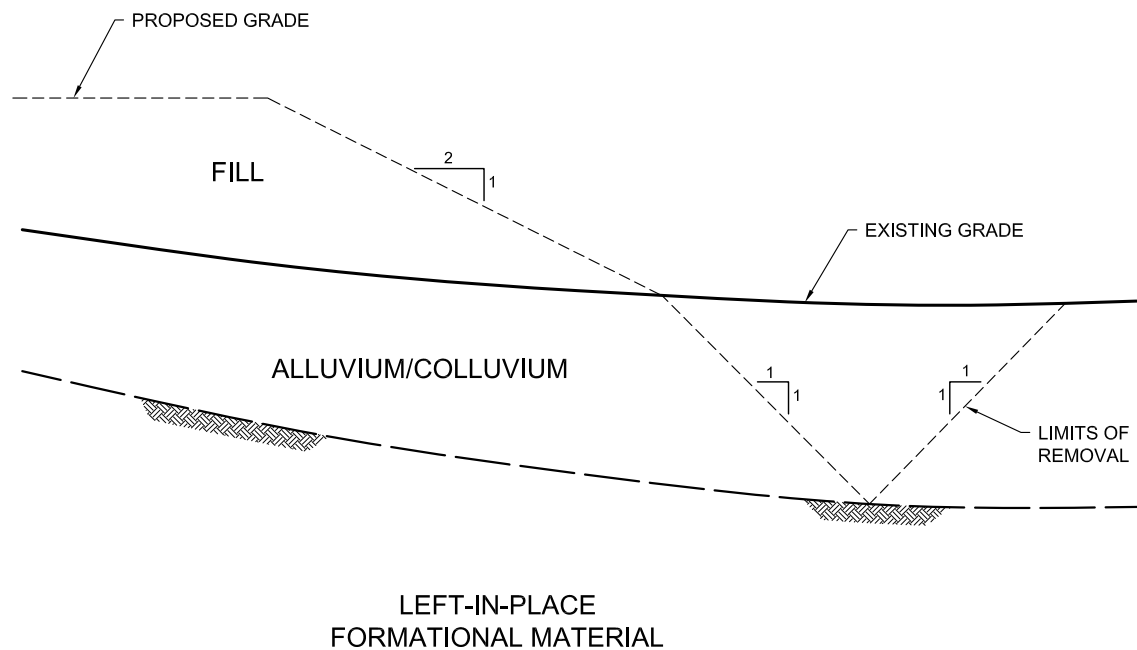
FIG. 1





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	PROJECT NO.	G1416 - 52 - 03		FIGURE
	SHEET	1	OF	1





NOT TO SCALE

NOTE:

SLOPE OF BACKCUT MAY BE STEEPENED WITH THE APPROVAL OF THE SOILS ENGINEER WHERE BOUNDARY CONSTRAINTS LIMIT EXTENT OF REMOVALS

CONSTRUCTION DETAIL FOR LATERAL EXTENT OF REMOVAL

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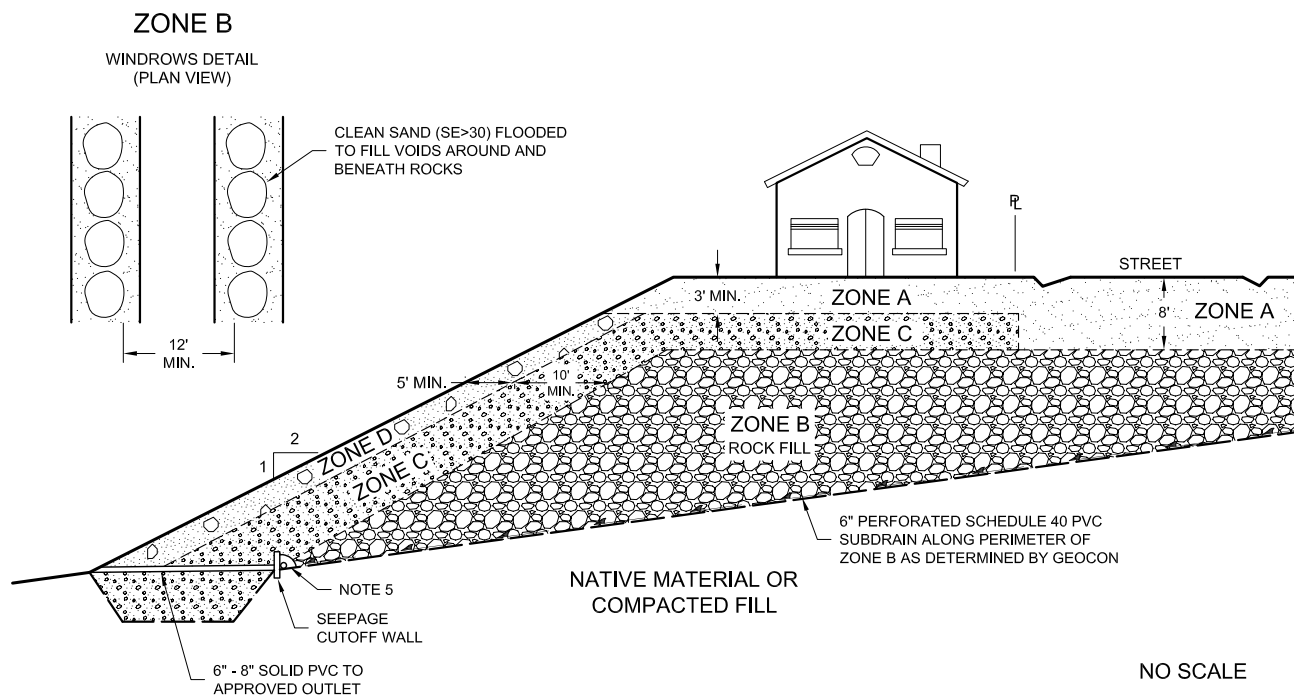
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PROJECT NO. G1416 - 52 - 03

FIG. 3





#### LEGEND

ZONE A: COMPACTED SOIL FILL. NO ROCK FRAGMENTS OVER 6 INCHES IN DIMENSION.

ZONE B: BLASTED ROCK FILL GENERALLY CONSISTING OF 2 FOOT MINUS MATERIAL WITH OCCASIONAL INDIVIDUAL ROCK UP TO 4 FEET MAXIMUM DIMENSION  
ALTERNATE: ROCKS 2 TO 4 FEET IN MAXIMUM DIMENSION CAN BE PLACED IN WINDROWS IN COMPACTED SOIL FILL POSSESSING A SAND EQUIVALENT OF AT LEAST 30.

ZONE C: ROCKS UP TO 2 FEET IN MAXIMUM DIMENSION IN A MATRIX OF COMPACTED SOIL FILL WITHIN BUILDING PADS AND SLOPE AREAS ONLY.

ZONE D: ROCKS UP TO 1 FOOT IN MAXIMUM DIMENSION IN A MATRIX OF COMPACTED SOIL FILL.

#### NOTES

1. COMPACTED SOIL FILL IN UPPER 8 FEET SHALL CONTAIN AT LEAST 40 PERCENT SOIL PASSING THE 3/4 - INCH SIEVE (BY WEIGHT) AND IN THE UPPER 3 FEET OF PAD GRADE AT LEAST 20% SOIL PASSING THE NO. 4 SIEVE (BY WEIGHT) AND COMPACTED IN ACCORDANCE WITH SPECIFICATIONS FOR STRUCTURAL FILL.
2. CONTINUOUS OBSERVATION REQUIRED BY GEOCON DURING ROCK PLACEMENT.
3. ROCK FILL (LESS THAN 40 PERCENT SOIL SIZES) MAY BE PERMITTED IN DESIGNATED AREAS UPON THE RECOMMENDATION OF THE GEOTECHNICAL ENGINEER.
4. DEPTH OF ZONE A SHOULD BE AT LEAST 8 FEET AND EXTENDED TO AT LEAST 2 FEET BELOW DEEPEST UTILITY WITHIN ROADWAYS.
5. 6" PERFORATED SCHEDULE 40 PVC SUBDRAIN ALONG THE TOE AND PORTIONS OF THE PERIMETER OF ZONE B.
6. BASE OF ZONE B SHOULD SLOPE A MINIMUM OF 3 PERCENT.

## OVERSIZE ROCK DISPOSAL DETAIL

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PROJECT NO. G1416 - 52 - 03

FIG. 5

ASSUMED CONDITIONS :

SLOPE HEIGHT	H = 25 feet
SLOPE INCLINATION	2 : 1 (Horizontal : Vertical)
TOTAL UNIT WEIGHT OF SOIL	$\gamma_t$ = 125 pounds per cubic foot
ANGLE OF INTERNAL FRICTION	$\phi$ = 29 degrees
APPARENT COHESION	C = 300 pounds per square foot
NO SEEPAGE FORCES	

ANALYSIS :

$\gamma_{c\phi}$	=	$\frac{\gamma_t H \tan \phi}{C}$	EQUATION (3-3), REFERENCE 1
FS	=	$\frac{NcfC}{\gamma_t H}$	EQUATION (3-2), REFERENCE 1
$\gamma_{c\phi}$	=	5.8	CALCULATED USING EQ. (3-3)
Ncf	=	22	DETERMINED USING FIGURE 10, REFERENCE 2
FS	=	2.1	FACTOR OF SAFETY CALCULATED USING EQ. (3-2)

REFERENCES :

- 1.....Janbu, N., Stability Analysis of Slopes with Dimensionless Parameters, Harvard Soil Mechanics, Series No. 46, 1954
- 2.....Janbu, N., Discussion of J.M. Bell, Dimensionless Parameters for Homogeneous Earth Slopes, Journal of Soil Mechanics and Foundation Design, No. SM6, November 1967.

FILL SLOPE STABILITY ANALYSIS

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FIG. 6

ASSUMED CONDITIONS :

SLOPE HEIGHT	$H$ = Infinite
DEPTH OF SATURATION	$Z$ = 3 feet
SLOPE INCLINATION	2 : 1 (Horizontal : Vertical)
SLOPE ANGLE	$i$ = 26.6 degrees
UNIT WEIGHT OF WATER	$\gamma_w$ = 62.4 pounds per cubic foot
TOTAL UNIT WEIGHT OF SOIL	$\gamma_t$ = 125 pounds per cubic foot
ANGLE OF INTERNAL FRICTION	$\phi$ = 29 degrees
APPARENT COHESION	$C$ = 300 pounds per square foot

SLOPE SATURATED TO VERTICAL DEPTH  $Z$  BELOW SLOPE FACE

SEEPAGE FORCES PARALLEL TO SLOPE FACE

ANALYSIS :

$$FS = \frac{C + (\gamma_t - \gamma_w) Z \cos^2 i \tan \phi}{\gamma_t Z \sin i \cos i} = 2.6$$

REFERENCES :

- 1.....Haefeli, R. *The Stability of Slopes Acted Upon by Parallel Seepage*, Proc. Second International Conference, SMFE, Rotterdam, 1948, 1, 57-62
- 2.....Skempton, A. W., and F.A. Delory, *Stability of Natural Slopes in London Clay*, Proc. Fourth International Conference, SMFE, London, 1957, 2, 378-81

SURFICIAL FILL SLOPE STABILITY ANALYSIS

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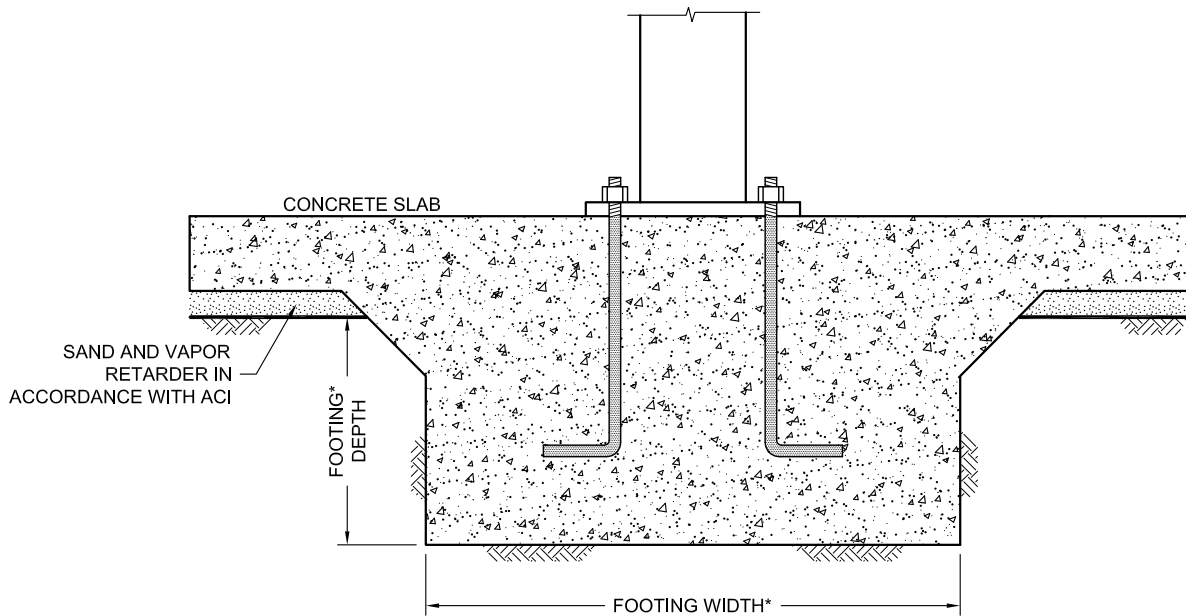
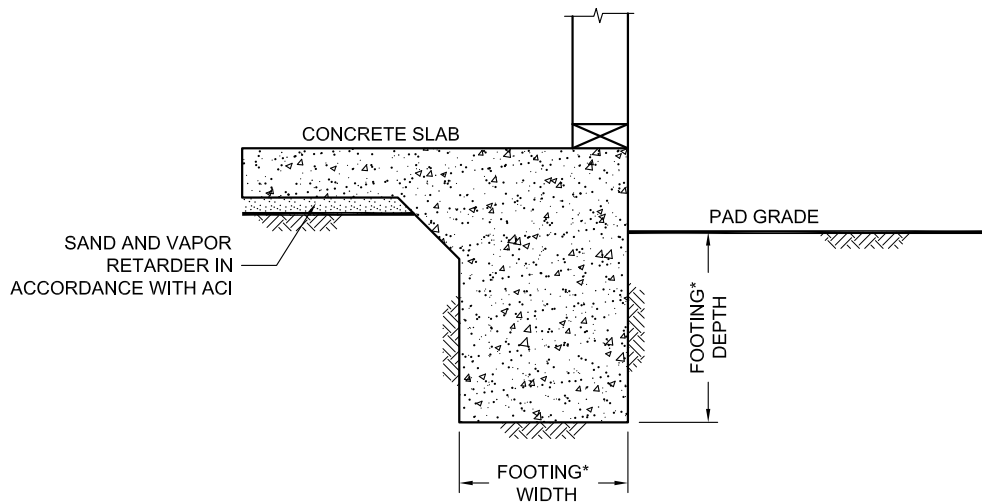
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DATE 05 - 13 - 2014

PROJECT NO. G1416 - 52 - 03

FIG. 7



\* ....SEE REPORT FOR FOUNDATION WITHDH AND DEPTH RECOMMENDATION

NO SCALE

## WALL / COLUMN FOOTING DIMENSION DETAIL

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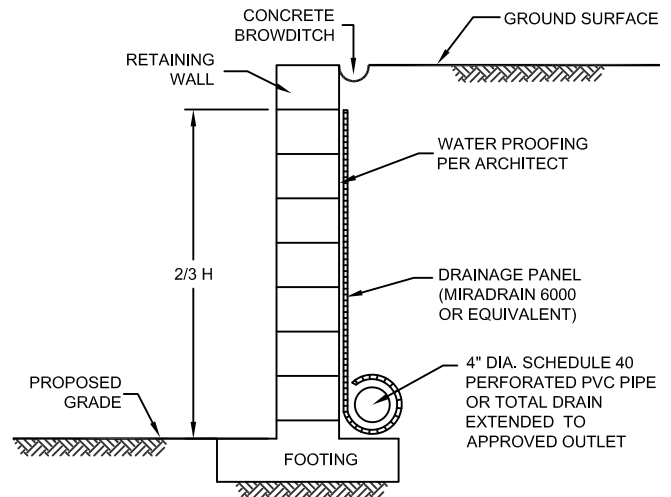
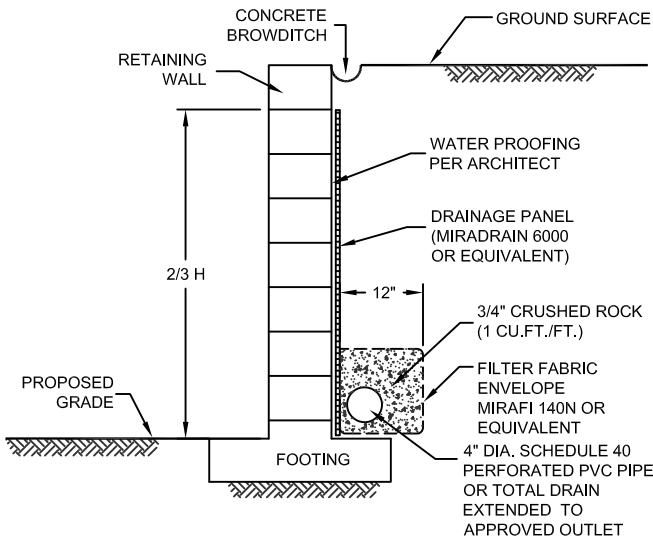
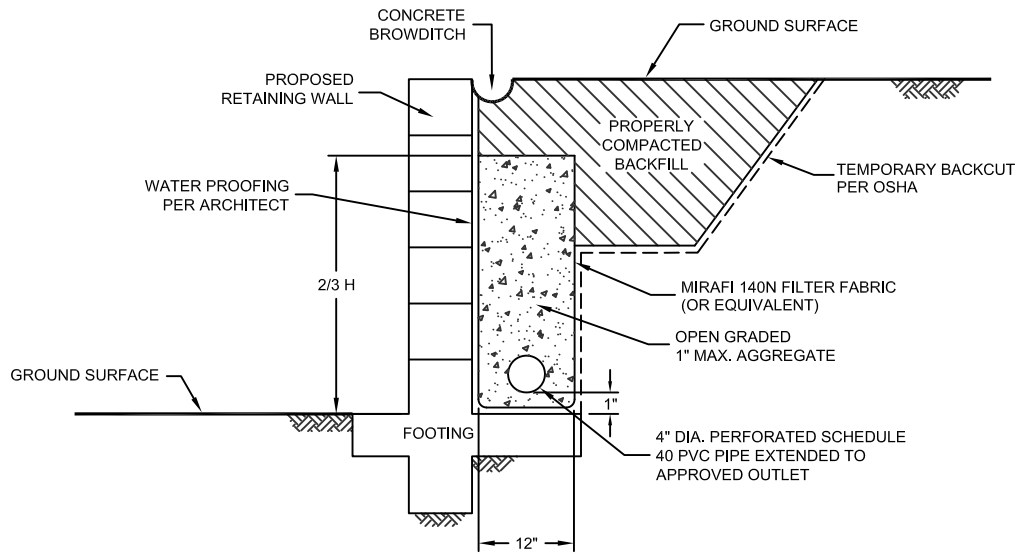
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FIG. 8



NOTE :

DRAIN SHOULD BE UNIFORMLY SLOPED TO GRAVITY OUTLET  
OR TO A SUMP WHERE WATER CAN BE REMOVED BY PUMPING

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## TYPICAL RETAINING WALL DRAIN DETAIL

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FIG. 9

# APPENDIX

A



## **APPENDIX A**

### **EXPLORATORY EXCAVATIONS**







We performed our field investigation on November 29, 2012. The field investigation consisted of a site reconnaissance, excavating 12 backhoe trenches, and conducting 3 seismic refraction traverses. The approximate locations of the trenches and traverses for this study are shown on the Geologic Map, Figure 2. We visually classified and logged the soil conditions encountered in the excavations in general accordance with American Society for Testing and Materials (ASTM) practice for Description and Identification of Soil (Visual Manual Procedure D 2844).

Hillside Excavating performed the exploratory trenches. The trenches extended to depths of 2½ to 16 feet using a John Deere 405 backhoe equipped with a 24-inch-wide bucket. We obtained relatively undisturbed chunk samples and disturbed bulk samples for laboratory testing. The logs of the trenches depicting the soil and geologic conditions encountered and the depth where we obtained samples are presented on Figures A-1 through A-12.

Southwest Geophysics, Inc., conducted the seismic refraction survey and the results are presented in Appendix C.

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




**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

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




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




**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE


# GEOCON

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**SAMPLE SYMBOLS**




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 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

DEPTH IN FEET	SAMPLE NO.	LITHOLOGY	GROUNDWATER	SOIL CLASS (USCS)	TRENCH T 5		PENETRATION RESISTANCE (BLOWS/FT.)	DRY DENSITY (P.C.F.)	MOISTURE CONTENT (%)	
					ELEV. (MSL.) 648'	DATE COMPLETED 11-29-2012				
					EQUIPMENT JD 405 BY: M. ERTWINE					
					MATERIAL DESCRIPTION					
0				SM	<b>TOPSOIL</b> Loose, damp, reddish brown, Silty, fine to coarse SAND; rootlets; pinhole porosity; krotovinas approx. 3-4"					
						-Becomes medium dense				
2	T5-1					<b>METAMORPHIC ROCK-UNDIVIDED (Mzu)</b> Moderately to slightly weathered, foliated, light olive gray, fine- to coarse-grained, moderately weak, METASEDIMENTARY ROCK; foliations up to 0.4"; grains up to 0.2"; random fractures			152.8	0.5
					TRENCH TERMINATED AT 3 FEET DUE TO REFUSAL					

**Figure A-5,**  
**Log of Trench T 5, Page 1 of 1**







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SAMPLE SYMBOLS	...		
	... SAMPLING UNSUCCESSFUL	... STANDARD PENETRATION TEST	... DRIVE SAMPLE (UNDISTURBED)
	 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

NOTE: THE LOG OF SUBSURFACE CONDITIONS SHOWN HEREON APPLIES ONLY AT THE SPECIFIC BORING OR TRENCH LOCATION AND AT THE DATE INDICATED. IT IS NOT WARRANTED TO BE REPRESENTATIVE OF SUBSURFACE CONDITIONS AT OTHER LOCATIONS AND TIMES.

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




**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

G1416-52-03.GPJ

**SAMPLE SYMBOLS**







 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON



G1416-52-03.GPJ







**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

G1416-52-03.GPJ







**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

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





**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

G1416-52-03.GPJ







**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

G1416-52-03.GPJ

**SAMPLE SYMBOLS**

 ... SAMPLING UNSUCCESSFUL	 ... STANDARD PENETRATION TEST	 ... DRIVE SAMPLE (UNDISTURBED)
 ... DISTURBED OR BAG SAMPLE	 ... CHUNK SAMPLE	 ... WATER TABLE OR SEEPAGE

# GEOCON

APPENDIX

B

## APPENDIX B

### LABORATORY TESTING

We performed laboratory tests in accordance with generally accepted test methods of the American Society for Testing and Materials (ASTM) or other suggested procedures. We tested selected soil samples for in-situ dry density and moisture content, maximum dry density and optimum moisture content, direct shear strength, expansion potential and water-soluble sulfate. The results of the laboratory tests are presented on Tables B-I through B-IV. The in-place dry density and moisture content of the samples tested are presented on the boring logs in Appendix A.

**TABLE B-I**  
**SUMMARY OF LABORATORY MAXIMUM DRY DENSITY AND**  
**OPTIMUM MOISTURE CONTENT TEST RESULTS**  
**ASTM D 1557**

Sample No.	Description	Maximum Dry Density (pcf)	Optimum Moisture Content (% dry wt.)
T1-1	Reddish brown, Silty, fine to medium SAND	122.8	10.7
T2-2	Reddish to yellowish brown, Silty, fine to coarse SAND	125.3	10.8
T11-1	Dark brown, Clayey, fine to coarse SAND	129.9	9.2

**TABLE B-II**  
**SUMMARY OF LABORATORY DIRECT SHEAR STRENGTH TEST RESULTS**  
**ASTM D 3080**

Sample No.	Dry Density (pcf)	Moisture Content (%)		Peak [Ultimate] Cohesion (psf)	Peak [Ultimate] Angle of Shear Resistance (degrees)
		Initial	After Test		
T2-2	115.7	9.5	15.2	520 [440]	29 [29]

**TABLE B-III**  
**SUMMARY OF LABORATORY EXPANSION INDEX TEST RESULTS**  
**ASTM D 4829**

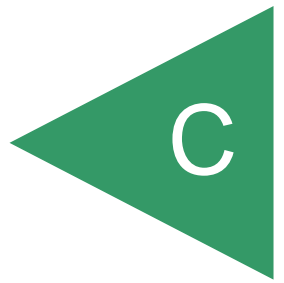
Sample No.	Moisture Content (%)		Dry Density (pcf)	Expansion Index	Expansion Classification	2013 CBC Classification
	Before Test	After Test				
T1-1	9.5	19.9	111.5	28	Low	Expansive
T2-2	8.9	16.6	111.9	5	Very Low	Non-Expansive
T11-1	9.2	18.3	113.1	20	Very Low	Non-Expansive

**TABLE B-IV**  
**SUMMARY OF LABORATORY WATER-SOLUBLE SULFATE TEST RESULTS**  
**CALIFORNIA TEST NO. 417**

Sample No.	Water-Soluble Sulfate (%)	Water-Soluble Sulfate (ppm)	Sulfate Severity
T1-1	0.006	64	Not Applicable (S0)
T2-2	0.003	27	Not Applicable (S0)
T11-1	0.027	270	Not Applicable (S0)



APPENDIX



**APPENDIX C**

**SEISMIC REFRACTION SURVEY**

**FOR**

**EDEN HILLS – 48-ACRE FINES**  
**ESCONDIDO, CALIFORNIA**

**PROJECT NO. G1416-52-03**

**SEISMIC REFRACTION SURVEY  
EDEN HILLS 48-ACRE PARCEL  
ESCONDIDO, CALIFORNIA**

**PREPARED FOR:**  
Geocon Incorporated  
6960 Flanders Drive  
San Diego, CA 92121

**PREPARED BY:**  
Southwest Geophysics, Inc.  
8057 Raytheon Road, Suite 9  
San Diego, CA 92111

December 5, 2012  
Project No. 112479

December 5, 2012  
Project No. 112479

Mr. Ali Sadr  
Geocon Incorporated  
6960 Flanders Drive  
San Diego, CA 92121

Subject: Seismic Refraction Survey  
Eden Hills 48-Acre Parcel  
Escondido, California

Dear Mr. Sadr:

In accordance with your authorization, we have performed a seismic refraction survey pertaining to the proposed Eden Hills 48-Acre Parcel project located in Escondido, California. Specifically, our survey consisted of performing three seismic refraction traverses at the project site. The purpose of our study was to develop subsurface velocity profiles and to assess the apparent rippability of the subsurface materials in the project area. This data report presents our survey methodology, equipment used, analysis, and results.

We appreciate the opportunity to be of service on this project. Should you have any questions related to this report, please contact the undersigned at your convenience.

Sincerely,  
**SOUTHWEST GEOPHYSICS, INC.**



Ryan T. Merkey  
Staff Geophysicist

RTM/HV/hv

Distribution: (1) Electronic



Hans van de Vrugt, C.E.G., P.Gp.  
Principal Geologist/Geophysicist



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**TABLE OF CONTENTS**

	<b>Page</b>
1. INTRODUCTION .....	1
2. SCOPE OF SERVICES .....	1
3. SITE DESCRIPTION AND PROJECT DESCRIPTION .....	1
4. SURVEY METHODOLOGY .....	1
5. RESULTS .....	3
6. CONCLUSIONS AND RECOMMENDATIONS .....	4
7. LIMITATIONS.....	5
8. SELECTED REFERENCES .....	6

**Tables**

Table 1 – Rippability Classification .....	3
Table 2 – Seismic Traverse Results .....	4

**Figures**

Figure 1 – Site Location Map	
Figure 2 – Line Location Map	
Figure 3 – Site Photographs	
Figure 4a – Seismic Profile, SL-1	
Figure 4b – Seismic Profile, SL-2	
Figure 4c – Seismic Profile, SL-3	

## **1. INTRODUCTION**

In accordance with your authorization, we have performed a seismic refraction survey pertaining to the proposed Eden Hills 48-Acre Parcel project located in Escondido, California (Figure 1). Specifically, our survey consisted of performing three seismic refraction traverses at the project site. The purpose of our study was to develop subsurface velocity profiles and to assess the apparent rippability of the subsurface materials in the project area. This data report presents our survey methodology, equipment used, analysis, and results.

## **2. SCOPE OF SERVICES**

Our scope of services included:

- Performance of three seismic refraction lines at the project site.
- Compilation and analysis of the data collected.
- Preparation of this data report presenting our results, conclusions and recommendations.

## **3. SITE DESCRIPTION AND PROJECT DESCRIPTION**

The project site is located within an existing equestrian park just west of the intersection of Country Club Drive and El Rocko Road in Escondido (Figures 1 and 2). The subject property consists of a grass-covered field with moderately steep terrain. Dirt roads and horse trails provide access across much of the site. Figures 2 and 3 depict the general site conditions.

Based on our discussions with you it is our understanding that the proposed project includes the construction of single family homes. Grading of the site reportedly will include cuts and fills with cuts up to 30 feet deep.

## **4. SURVEY METHODOLOGY**

A seismic P-wave (compression wave) refraction survey was conducted at the site to evaluate the rippability characteristics of the subsurface materials and to develop subsurface velocity profiles of the areas surveyed. The seismic refraction method uses first-arrival times of refracted seismic waves to estimate the thicknesses and seismic velocities of subsurface layers. Seismic P-waves generated at the surface, using a hammer and plate, are refracted at boundaries separating materi-



als of contrasting velocities. These refracted seismic waves are then detected by a series of surface vertical component geophones and recorded with a 24-channel Geometrics StrataView seismograph. The travel times of the seismic P-waves are used in conjunction with the shot-to-geophone distances to obtain thickness and velocity information on the subsurface materials.

Three seismic lines (SL-1 through SL-3) were conducted in the study area. The general locations and lengths of the lines were selected by your office. Shot points (signal generation locations) were conducted along the lines at the ends, midpoint, and intermediate points between the ends and the midpoint.

The seismic refraction theory requires that subsurface velocities increase with depth. A layer having a velocity lower than that of the layer above will not generally be detectable by the seismic refraction method and, therefore, could lead to errors in the depth calculations of subsequent layers. In addition, lateral variations in velocity, such as those caused by core stones, intrusions or boulders can also result in the misinterpretation of the subsurface conditions.

In general, seismic wave velocities can be correlated to material density and/or rock hardness. The relationship between rippability and seismic velocity is empirical and assumes a homogeneous mass. Localized areas of differing composition, texture, and/or structure may affect both the measured data and the actual rippability of the mass. The rippability of a mass is also dependent on the excavation equipment used and the skill and experience of the equipment operator.

The rippability values presented in Table 1 are based on our experience with similar materials and assumes that a Caterpillar D-9 dozer ripping with a single shank is used. We emphasize that the cutoffs in this classification scheme are approximate and that rock characteristics, such as fracture spacing and orientation, play a significant role in determining rock rippability. These characteristics may also vary with location and depth. For trenching operations, the rippability values should be scaled downward. For example, velocities as low as 3,500 feet/second (ft/s) may indicate difficult ripping during trenching operations. In addition, the presence of boulders, which can be troublesome in a narrow trench, should be anticipated.

<b>Table 1 – Rippability Classification</b>	
<b>Seismic P-wave Velocity</b>	<b>Rippability</b>
0 to 2,000 ft/s	Easy
2,000 to 4,000 ft/s	Moderate
4,000 to 5,500 ft/s	Difficult, Possible Blasting
5,500 to 7,000 ft/s	Very Difficult, Probable Blasting
Greater than 7,000 ft/s	Blasting Generally Required

It should be noted that the rippability cutoffs presented in Table 1 are slightly more conservative than those published in the Caterpillar Performance Handbook (Caterpillar, 2011). Accordingly, the above classification scheme should be used with discretion, and contractors should not be relieved of making their own independent evaluation of the rippability of the on-site materials prior to submitting their bids.

## **5. RESULTS**

As previously indicated, three seismic traverses were conducted as part of our study. The collected data were processed using SIPwin (Rimrock Geophysics, 2003), a seismic interpretation program, and analyzed using both SIPwin and SeisOpt Pro (Optim, 2008). Both programs use first arrival picks and elevation data to produce subsurface velocity models. SIPwin uses layer-based modeling techniques to produce a layered velocity model, where changes in velocities are depicted as discrete contacts. SeisOpt Pro uses a nonlinear optimization technique called adaptive simulated annealing. The resulting velocity model provides a tomography image of the estimated geologic conditions. Both vertical and lateral velocity information is contained in the tomography model. Changes in layer velocity are revealed as gradients rather than discrete contacts, which typically are more representative of actual conditions.

Table 2 lists the approximate P-wave velocities and depths calculated from the seismic refraction traverse using the layered modeling method. The approximate locations of the seismic refraction traverses are shown on the Line Location Map (Figure 2). The velocity models are included in Figures 4a through 4c. In general, the effective depth of evaluation for a seismic refraction traverse is approximately one-third to one-fifth the length of the traverse.



**Table 2 – Seismic Traverse Results<sup>1</sup>**

<b>Traverse No. And Length</b>	<b>P-wave Velocity ft/s</b>	<b>Approximate Depth to Bottom of Layer in feet</b>	<b>Apparent Rippability<sup>2</sup></b>
SL-1 150 feet	V1 = 1,340 V2 = 5,120	2 – 4 ---	Easy Difficult, Possible Blasting
SL-2 150 feet	V1 = 1,425 V2 = 4,895	2 – 3 ---	Easy Difficult, Possible Blasting
SL-3 150 feet	V1 = 1,405 V2 = 2,785 V3 = 5,845	2 – 6 8 – 31 ---	Easy Moderate Very Difficult, Probable Blasting
<sup>1</sup> Results based on the model generated using SIPwin, 2003			
<sup>2</sup> Rippability criteria based on the use of a Caterpillar D-9 dozer ripping with a single shank			

## 6. CONCLUSIONS AND RECOMMENDATIONS

The results from our seismic survey revealed distinct layers/zones in the near surface that likely represent soil (fill and topsoil) overlying granitic bedrock with varying degrees of weathering. Figures 4a through 4c provide velocity models calculated from both SIPwin and SeisOpt Pro. Distinct vertical and lateral variations between the two models are evident. In general, the tomography results better characterize the onsite conditions than the layer models.

Some scatter was observed in the seismic data suggesting the presence of inhomogeneous conditions in the subsurface likely related to remnant boulders, intrusions and differential weathering of the bedrock materials. In addition, the tomography models revealed zones of high velocity material within a matrix of slower material. Therefore, variability in the excavatability (including depth of rippability) of the subsurface materials should be expected across the project area.

Based on our results, very difficult conditions, where blasting may be required, will likely be encountered depending on the excavation depth, location, and desired rate of production. In addition, oversized materials should be expected. A contractor with excavation experience in similar difficult conditions should be consulted for expert advice on excavation methodology, equipment and production rate.

## **7. LIMITATIONS**

The field evaluation and geophysical analyses presented in this report have been conducted in general accordance with current practice and the standard of care exercised by consultants performing similar tasks in the project area. No warranty, expressed or implied, is made regarding the conclusions, recommendations, and opinions presented in this report. There is no evaluation detailed enough to reveal every subsurface condition. Variations may exist and conditions not observed or described in this report may be present. Uncertainties relative to subsurface conditions can be reduced through additional subsurface exploration. Additional subsurface surveying will be performed upon request.

This document is intended to be used only in its entirety. No portion of the document, by itself, is designed to completely represent any aspect of the project described herein. Southwest Geophysics, Inc. should be contacted if the reader requires additional information or has questions regarding the content, interpretations presented, or completeness of this document. This report is intended exclusively for use by the client. Any use or reuse of the findings, conclusions, and/or recommendations of this report by parties other than the client is undertaken at said parties' sole risk.

## **8. SELECTED REFERENCES**

Caterpillar, Inc., 2011, Caterpillar Performance Handbook, Edition 41, Caterpillar, Inc., Peoria, Illinois.

Mooney, H.M., 1976, Handbook of Engineering Geophysics, dated February.

Optim, Inc., 2008, SeisOpt Pro, V-5.0.

Rimrock Geophysics, 2003, Seismic Refraction Interpretation Program (SIPwin), V-2.76.

Telford, W.M., Geldart, L.P., Sheriff, R.E., and Keys, D.A., 1976, Applied Geophysics, Cambridge University Press.





# **SITE LOCATION MAP**



Eden Hills 48-Acre Parcel  
Escondido, California

Project No.: 112479

Date: 12/12



Figure 1



# **LINE LOCATION MAP**



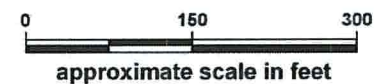
Eden Hills 48-Acre Parcel  
Escondido, California

Project No.: 112479

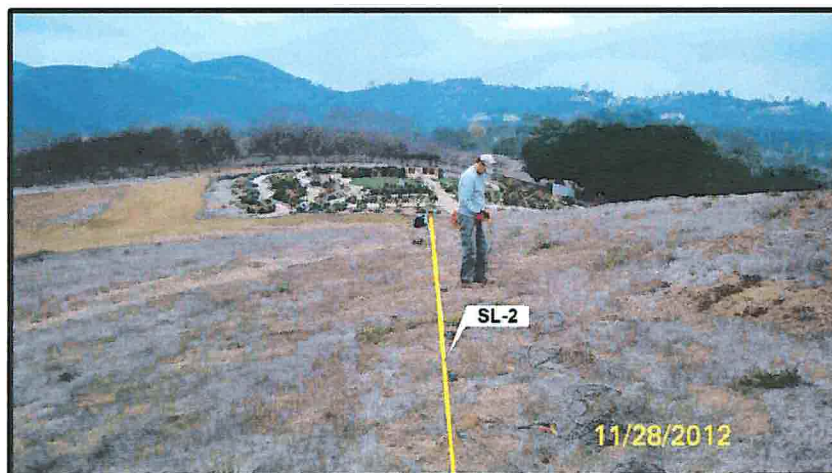
Date: 12/12



Figure 2







# **SITE PHOTOGRAPHS**

Eden Hills 48-Acre Parcel  
Escondido, California

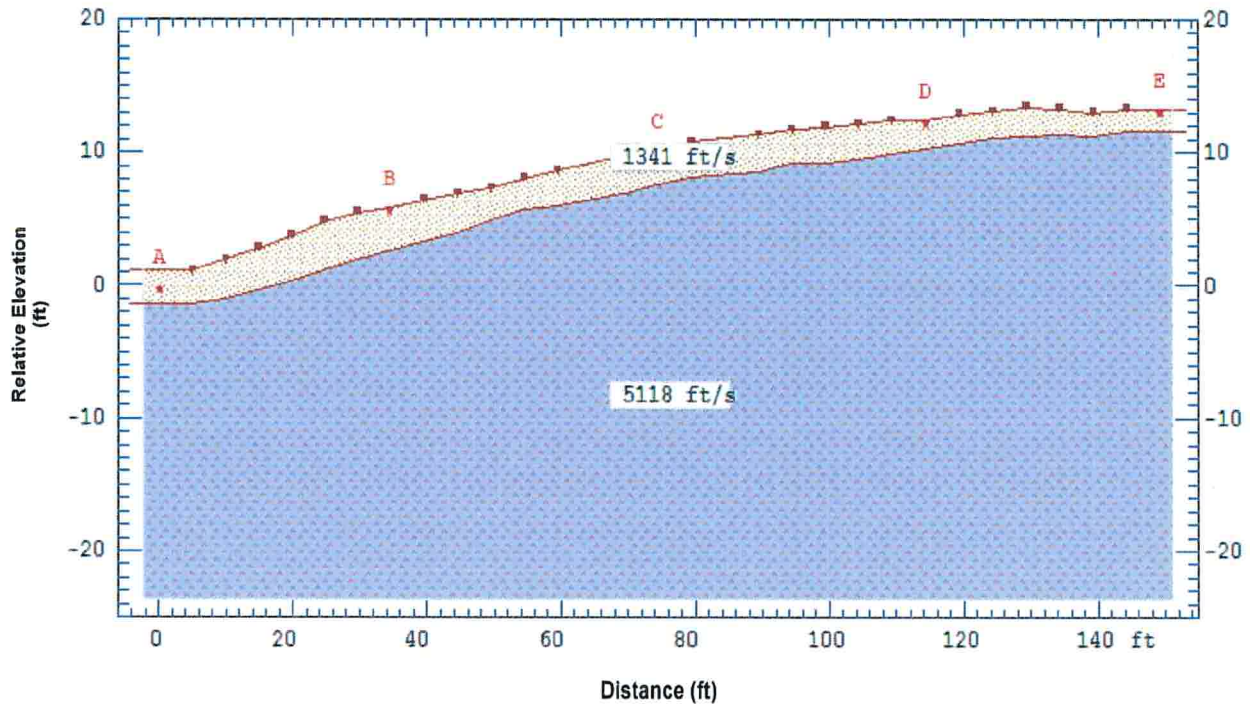
Project No.: 112479

Date: 12/12

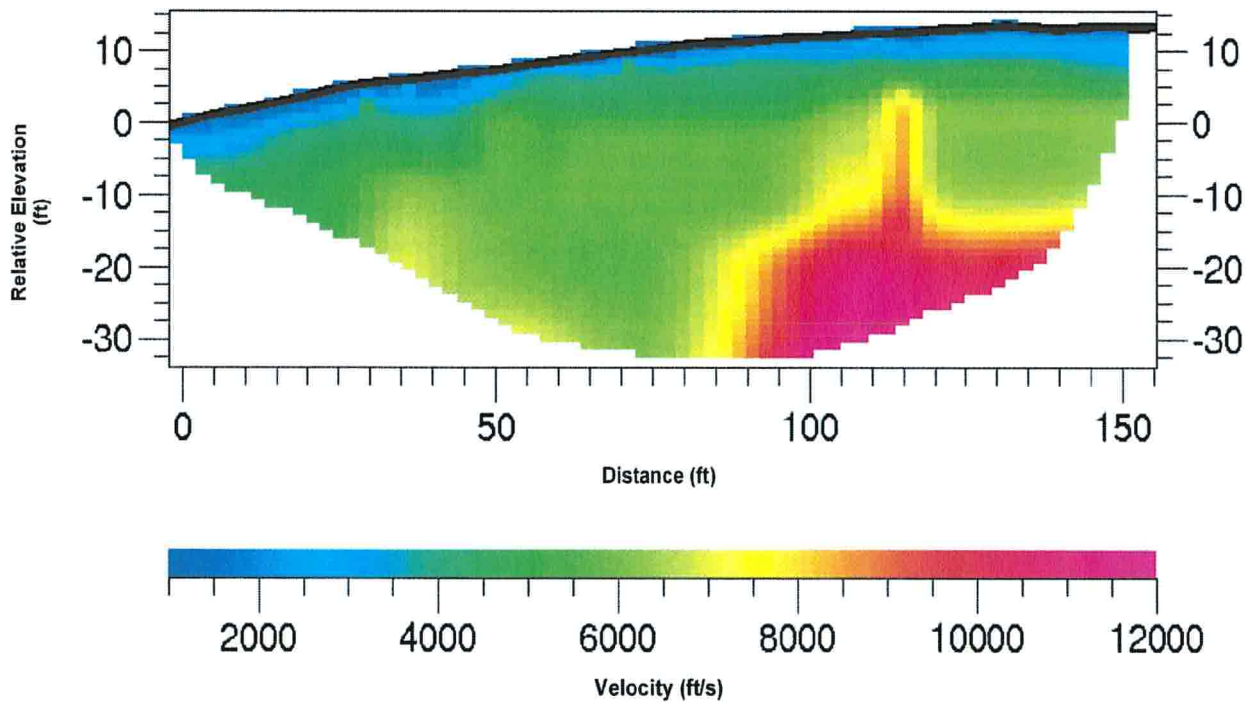


Figure 3

### Layer Model



### Tomography Model



**SEISMIC PROFILE  
SL-1**

Eden Hills 49-Acre Parcel  
Escondido, California

Project No.: 112479

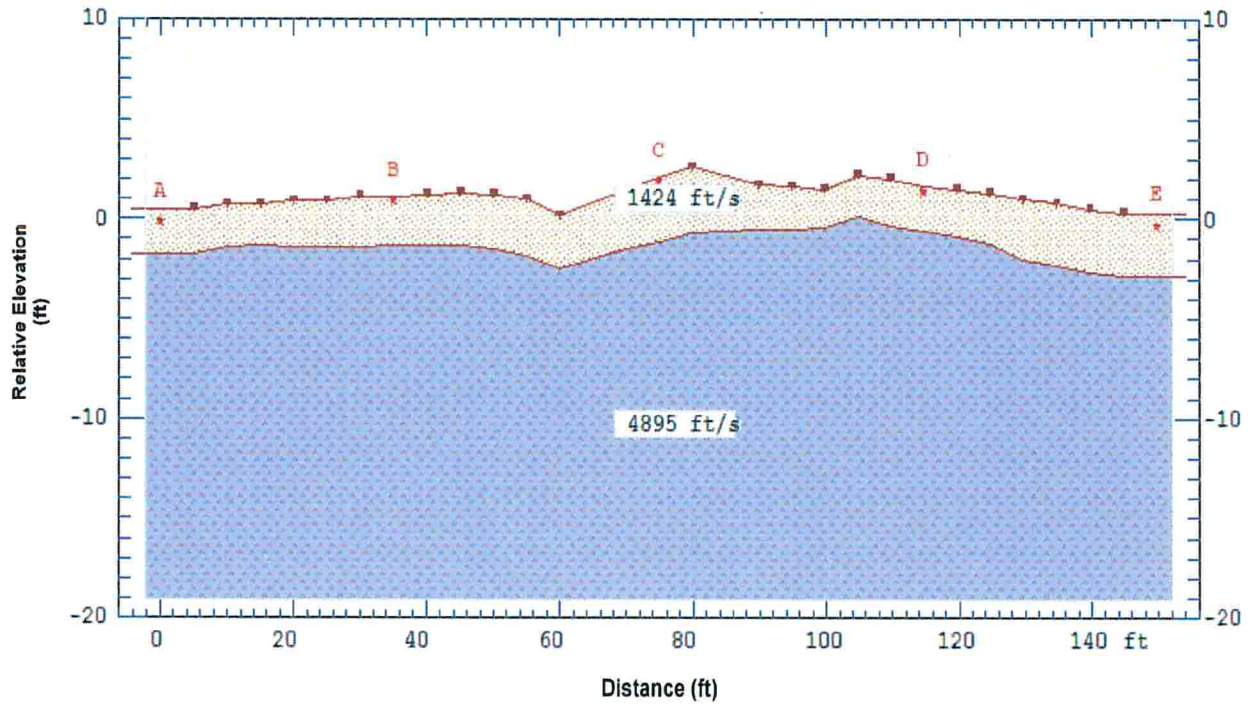
Date: 12/12



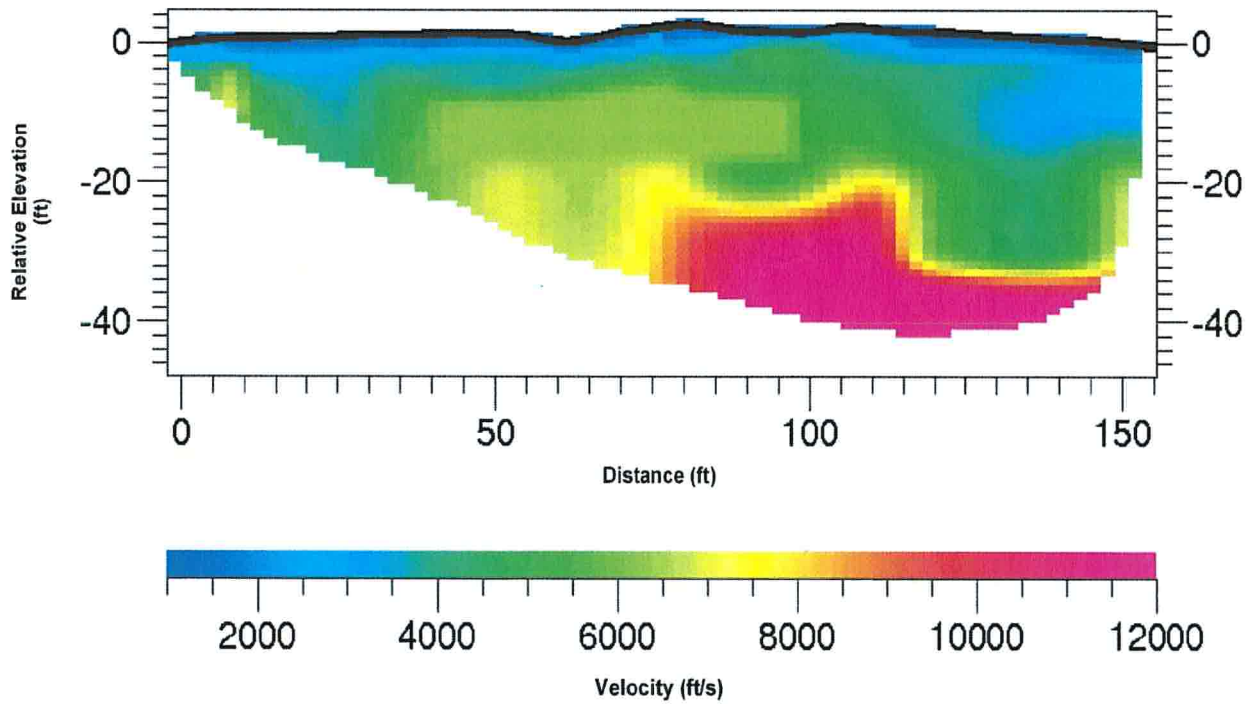
Figure 4a



### Layer Model



### Tomography Model



**SEISMIC PROFILE  
SL-2**

Eden Hills 49-Acre Parcel  
Escondido, California

Project No.: 112479

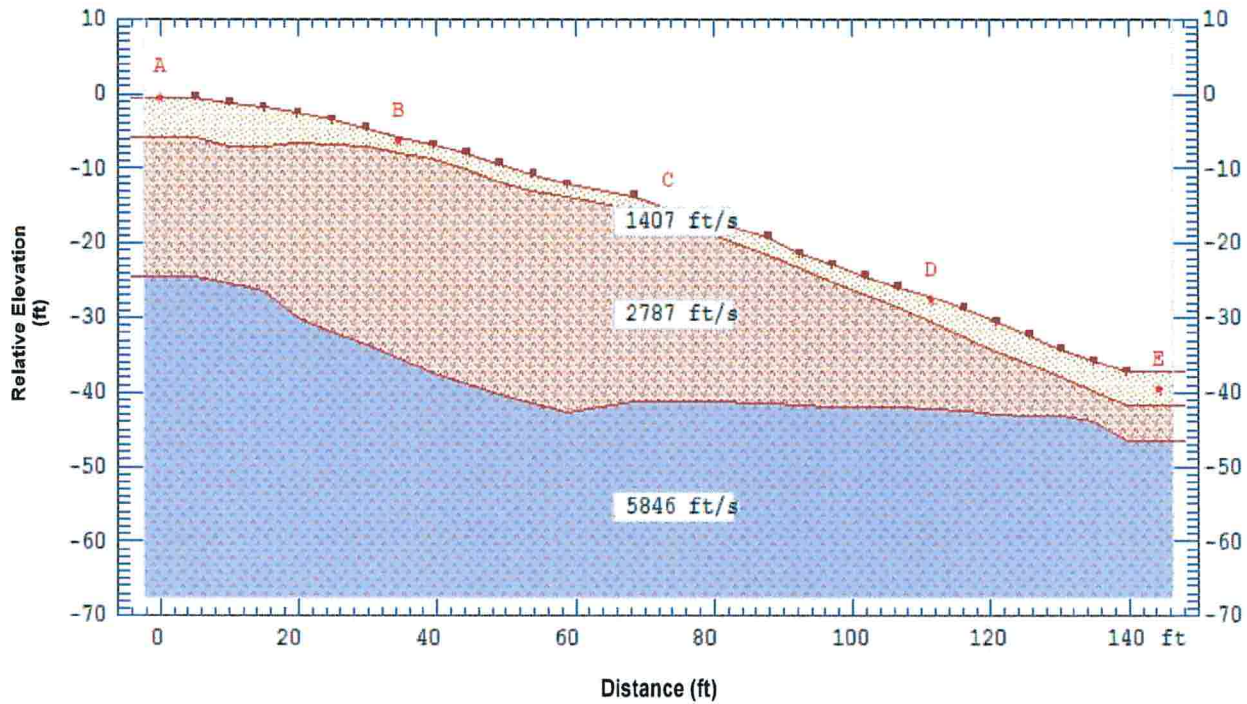
Date: 12/12



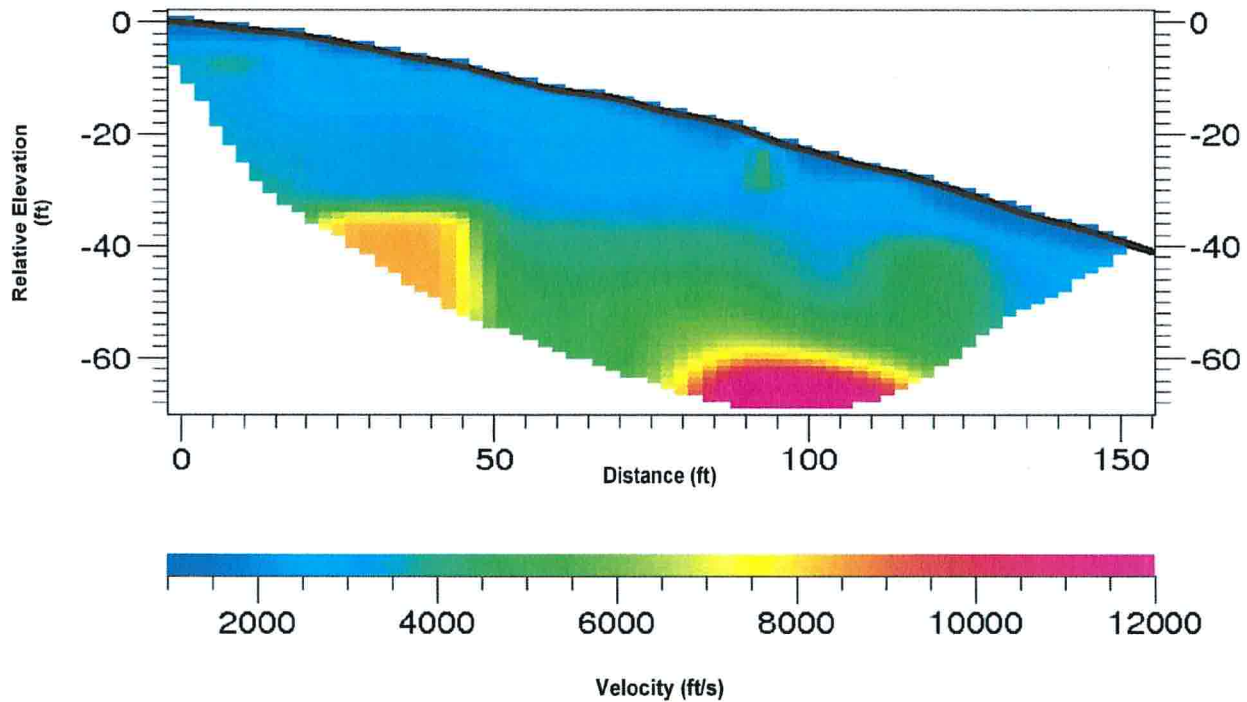
Figure 4b



### Layer Model



### Tomography Model



**SEISMIC PROFILE  
SL-3**

Eden Hills 49-Acre Parcel  
Escondido, California

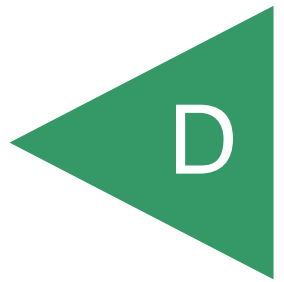
Project No.: 112479

Date: 12/12



Figure 4c

APPENDIX



**APPENDIX D**

**RECOMMENDED GRADING SPECIFICATIONS**

**FOR**

**EDEN HILLS – 48-ACRE FINES**  
**ESCONDIDO, CALIFORNIA**

**PROJECT NO. G1416-52-03**

# RECOMMENDED GRADING SPECIFICATIONS

## 1. GENERAL

- 1.1 These Recommended Grading Specifications shall be used in conjunction with the Geotechnical Report for the project prepared by Geocon Incorporated. The recommendations contained in the text of the Geotechnical Report are a part of the earthwork and grading specifications and shall supersede the provisions contained hereinafter in the case of conflict.
- 1.2 Prior to the commencement of grading, a geotechnical consultant (Consultant) shall be employed for the purpose of observing earthwork procedures and testing the fills for substantial conformance with the recommendations of the Geotechnical Report and these specifications. The Consultant should provide adequate testing and observation services so that they may assess whether, in their opinion, the work was performed in substantial conformance with these specifications. It shall be the responsibility of the Contractor to assist the Consultant and keep them apprised of work schedules and changes so that personnel may be scheduled accordingly.
- 1.3 It shall be the sole responsibility of the Contractor to provide adequate equipment and methods to accomplish the work in accordance with applicable grading codes or agency ordinances, these specifications and the approved grading plans. If, in the opinion of the Consultant, unsatisfactory conditions such as questionable soil materials, poor moisture condition, inadequate compaction, adverse weather, result in a quality of work not in conformance with these specifications, the Consultant will be empowered to reject the work and recommend to the Owner that grading be stopped until the unacceptable conditions are corrected.

## 2. DEFINITIONS

- 2.1 **Owner** shall refer to the owner of the property or the entity on whose behalf the grading work is being performed and who has contracted with the Contractor to have grading performed.
- 2.2 **Contractor** shall refer to the Contractor performing the site grading work.
- 2.3 **Civil Engineer** or **Engineer of Work** shall refer to the California licensed Civil Engineer or consulting firm responsible for preparation of the grading plans, surveying and verifying as-graded topography.

- 2.4 **Consultant** shall refer to the soil engineering and engineering geology consulting firm retained to provide geotechnical services for the project.
- 2.5 **Soil Engineer** shall refer to a California licensed Civil Engineer retained by the Owner, who is experienced in the practice of geotechnical engineering. The Soil Engineer shall be responsible for having qualified representatives on-site to observe and test the Contractor's work for conformance with these specifications.
- 2.6 **Engineering Geologist** shall refer to a California licensed Engineering Geologist retained by the Owner to provide geologic observations and recommendations during the site grading.
- 2.7 **Geotechnical Report** shall refer to a soil report (including all addenda) which may include a geologic reconnaissance or geologic investigation that was prepared specifically for the development of the project for which these Recommended Grading Specifications are intended to apply.

### 3. MATERIALS

- 3.1 Materials for compacted fill shall consist of any soil excavated from the cut areas or imported to the site that, in the opinion of the Consultant, is suitable for use in construction of fills. In general, fill materials can be classified as *soil* fills, *soil-rock* fills or *rock* fills, as defined below.
- 3.1.1 **Soil fills** are defined as fills containing no rocks or hard lumps greater than 12 inches in maximum dimension and containing at least 40 percent by weight of material smaller than  $\frac{3}{4}$  inch in size.
- 3.1.2 **Soil-rock fills** are defined as fills containing no rocks or hard lumps larger than 4 feet in maximum dimension and containing a sufficient matrix of soil fill to allow for proper compaction of soil fill around the rock fragments or hard lumps as specified in Paragraph 6.2. **Oversize rock** is defined as material greater than 12 inches.
- 3.1.3 **Rock fills** are defined as fills containing no rocks or hard lumps larger than 3 feet in maximum dimension and containing little or no fines. Fines are defined as material smaller than  $\frac{3}{4}$  inch in maximum dimension. The quantity of fines shall be less than approximately 20 percent of the rock fill quantity.

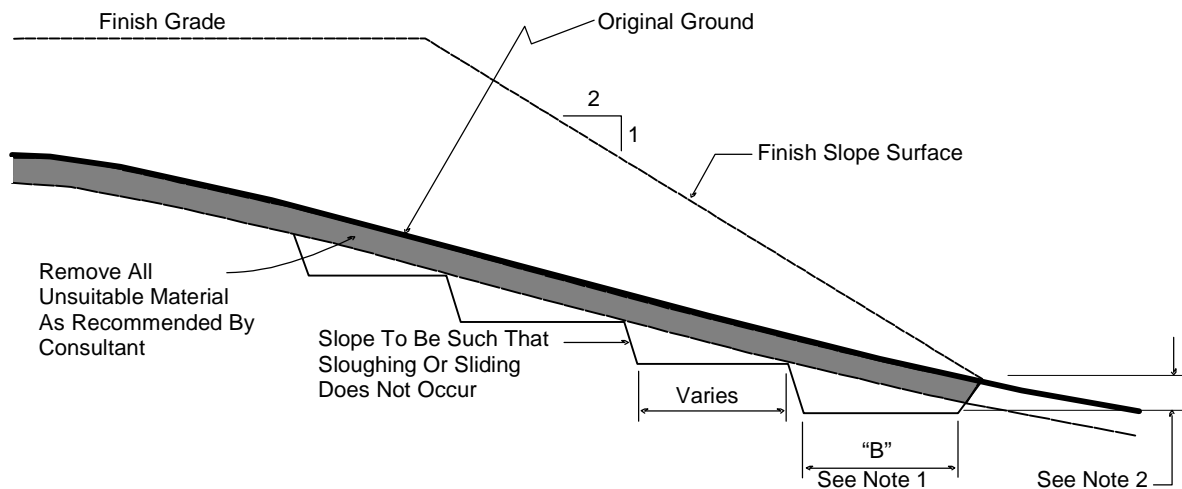
- 3.2 Material of a perishable, spongy, or otherwise unsuitable nature as determined by the Consultant shall not be used in fills.
- 3.3 Materials used for fill, either imported or on-site, shall not contain hazardous materials as defined by the California Code of Regulations, Title 22, Division 4, Chapter 30, Articles 9 and 10; 40CFR; and any other applicable local, state or federal laws. The Consultant shall not be responsible for the identification or analysis of the potential presence of hazardous materials. However, if observations, odors or soil discoloration cause Consultant to suspect the presence of hazardous materials, the Consultant may request from the Owner the termination of grading operations within the affected area. Prior to resuming grading operations, the Owner shall provide a written report to the Consultant indicating that the suspected materials are not hazardous as defined by applicable laws and regulations.
- 3.4 The outer 15 feet of *soil-rock* fill slopes, measured horizontally, should be composed of properly compacted *soil* fill materials approved by the Consultant. *Rock* fill may extend to the slope face, provided that the slope is not steeper than 2:1 (horizontal:vertical) and a soil layer no thicker than 12 inches is track-walked onto the face for landscaping purposes. This procedure may be utilized provided it is acceptable to the governing agency, Owner and Consultant.
- 3.5 Samples of soil materials to be used for fill should be tested in the laboratory by the Consultant to determine the maximum density, optimum moisture content, and, where appropriate, shear strength, expansion, and gradation characteristics of the soil.
- 3.6 During grading, soil or groundwater conditions other than those identified in the Geotechnical Report may be encountered by the Contractor. The Consultant shall be notified immediately to evaluate the significance of the unanticipated condition

#### **4. CLEARING AND PREPARING AREAS TO BE FILLED**

- 4.1 Areas to be excavated and filled shall be cleared and grubbed. Clearing shall consist of complete removal above the ground surface of trees, stumps, brush, vegetation, man-made structures, and similar debris. Grubbing shall consist of removal of stumps, roots, buried logs and other unsuitable material and shall be performed in areas to be graded. Roots and other projections exceeding 1½ inches in diameter shall be removed to a depth of 3 feet below the surface of the ground. Borrow areas shall be grubbed to the extent necessary to provide suitable fill materials.

- 4.2 Any asphalt pavement material removed during clearing operations should be properly disposed at an approved off-site facility. Concrete fragments that are free of reinforcing steel may be placed in fills, provided they are placed in accordance with Section 6.2 or 6.3 of this document.
- 4.3 After clearing and grubbing of organic matter and other unsuitable material, loose or porous soils shall be removed to the depth recommended in the Geotechnical Report. The depth of removal and compaction should be observed and approved by a representative of the Consultant. The exposed surface shall then be plowed or scarified to a minimum depth of 6 inches and until the surface is free from uneven features that would tend to prevent uniform compaction by the equipment to be used.
- 4.4 Where the slope ratio of the original ground is steeper than 5:1 (horizontal:vertical), or where recommended by the Consultant, the original ground should be benched in accordance with the following illustration.

#### TYPICAL BENCHING DETAIL



- DETAIL NOTES:
- (1) Key width "B" should be a minimum of 10 feet, or sufficiently wide to permit complete coverage with the compaction equipment used. The base of the key should be graded horizontal, or inclined slightly into the natural slope.
  - (2) The outside of the key should be below the topsoil or unsuitable surficial material and at least 2 feet into dense formational material. Where hard rock is exposed in the bottom of the key, the depth and configuration of the key may be modified as approved by the Consultant.

- 4.5 After areas to receive fill have been cleared and scarified, the surface should be moisture conditioned to achieve the proper moisture content, and compacted as recommended in Section 6 of these specifications.

## **5. COMPACTION EQUIPMENT**

- 5.1 Compaction of *soil* or *soil-rock* fill shall be accomplished by sheepsfoot or segmented-steel wheeled rollers, vibratory rollers, multiple-wheel pneumatic-tired rollers, or other types of acceptable compaction equipment. Equipment shall be of such a design that it will be capable of compacting the *soil* or *soil-rock* fill to the specified relative compaction at the specified moisture content.
- 5.2 Compaction of *rock* fills shall be performed in accordance with Section 6.3.

## **6. PLACING, SPREADING AND COMPACTION OF FILL MATERIAL**

- 6.1 *Soil* fill, as defined in Paragraph 3.1.1, shall be placed by the Contractor in accordance with the following recommendations:
- 6.1.1 *Soil* fill shall be placed by the Contractor in layers that, when compacted, should generally not exceed 8 inches. Each layer shall be spread evenly and shall be thoroughly mixed during spreading to obtain uniformity of material and moisture in each layer. The entire fill shall be constructed as a unit in nearly level lifts. Rock materials greater than 12 inches in maximum dimension shall be placed in accordance with Section 6.2 or 6.3 of these specifications.
- 6.1.2 In general, the *soil* fill shall be compacted at a moisture content at or above the optimum moisture content as determined by ASTM D 1557-09.
- 6.1.3 When the moisture content of *soil* fill is below that specified by the Consultant, water shall be added by the Contractor until the moisture content is in the range specified.
- 6.1.4 When the moisture content of the *soil* fill is above the range specified by the Consultant or too wet to achieve proper compaction, the *soil* fill shall be aerated by the Contractor by blading/mixing, or other satisfactory methods until the moisture content is within the range specified.



- 6.1.5 After each layer has been placed, mixed, and spread evenly, it shall be thoroughly compacted by the Contractor to a relative compaction of at least 90 percent. Relative compaction is defined as the ratio (expressed in percent) of the in-place dry density of the compacted fill to the maximum laboratory dry density as determined in accordance with ASTM D 1557-09. Compaction shall be continuous over the entire area, and compaction equipment shall make sufficient passes so that the specified minimum relative compaction has been achieved throughout the entire fill.
- 6.1.6 Where practical, soils having an Expansion Index greater than 50 should be placed at least 3 feet below finish pad grade and should be compacted at a moisture content generally 2 to 4 percent greater than the optimum moisture content for the material.
- 6.1.7 Properly compacted *soil* fill shall extend to the design surface of fill slopes. To achieve proper compaction, it is recommended that fill slopes be over-built by at least 3 feet and then cut to the design grade. This procedure is considered preferable to track-walking of slopes, as described in the following paragraph.
- 6.1.8 As an alternative to over-building of slopes, slope faces may be back-rolled with a heavy-duty loaded sheepsfoot or vibratory roller at maximum 4-foot fill height intervals. Upon completion, slopes should then be track-walked with a D-8 dozer or similar equipment, such that a dozer track covers all slope surfaces at least twice.
- 6.2 *Soil-rock* fill, as defined in Paragraph 3.1.2, shall be placed by the Contractor in accordance with the following recommendations:
  - 6.2.1 Rocks larger than 12 inches but less than 4 feet in maximum dimension may be incorporated into the compacted *soil* fill, but shall be limited to the area measured 15 feet minimum horizontally from the slope face and 5 feet below finish grade or 3 feet below the deepest utility, whichever is deeper.
  - 6.2.2 Rocks or rock fragments up to 4 feet in maximum dimension may either be individually placed or placed in windrows. Under certain conditions, rocks or rock fragments up to 10 feet in maximum dimension may be placed using similar methods. The acceptability of placing rock materials greater than 4 feet in maximum dimension shall be evaluated during grading as specific cases arise and shall be approved by the Consultant prior to placement.

- 6.2.3 For individual placement, sufficient space shall be provided between rocks to allow for passage of compaction equipment.
- 6.2.4 For windrow placement, the rocks should be placed in trenches excavated in properly compacted *soil* fill. Trenches should be approximately 5 feet wide and 4 feet deep in maximum dimension. The voids around and beneath rocks should be filled with approved granular soil having a Sand Equivalent of 30 or greater and should be compacted by flooding. Windrows may also be placed utilizing an "open-face" method in lieu of the trench procedure, however, this method should first be approved by the Consultant.
- 6.2.5 Windrows should generally be parallel to each other and may be placed either parallel to or perpendicular to the face of the slope depending on the site geometry. The minimum horizontal spacing for windrows shall be 12 feet center-to-center with a 5-foot stagger or offset from lower courses to next overlying course. The minimum vertical spacing between windrow courses shall be 2 feet from the top of a lower windrow to the bottom of the next higher windrow.
- 6.2.6 Rock placement, fill placement and flooding of approved granular soil in the windrows should be continuously observed by the Consultant.
- 6.3 *Rock* fills, as defined in Section 3.1.3, shall be placed by the Contractor in accordance with the following recommendations:
  - 6.3.1 The base of the *rock* fill shall be placed on a sloping surface (minimum slope of 2 percent). The surface shall slope toward suitable subdrainage outlet facilities. The *rock* fills shall be provided with subdrains during construction so that a hydrostatic pressure buildup does not develop. The subdrains shall be permanently connected to controlled drainage facilities to control post-construction infiltration of water.
  - 6.3.2 *Rock* fills shall be placed in lifts not exceeding 3 feet. Placement shall be by rock trucks traversing previously placed lifts and dumping at the edge of the currently placed lift. Spreading of the *rock* fill shall be by dozer to facilitate *seating* of the rock. The *rock* fill shall be watered heavily during placement. Watering shall consist of water trucks traversing in front of the current rock lift face and spraying water continuously during rock placement. Compaction equipment with compactive energy comparable to or greater than that of a 20-ton steel vibratory roller or other compaction equipment providing suitable energy to achieve the

required compaction or deflection as recommended in Paragraph 6.3.3 shall be utilized. The number of passes to be made should be determined as described in Paragraph 6.3.3. Once a *rock* fill lift has been covered with *soil* fill, no additional *rock* fill lifts will be permitted over the *soil* fill.

- 6.3.3 Plate bearing tests, in accordance with ASTM D 1196-09, may be performed in both the compacted *soil* fill and in the *rock* fill to aid in determining the required minimum number of passes of the compaction equipment. If performed, a minimum of three plate bearing tests should be performed in the properly compacted *soil* fill (minimum relative compaction of 90 percent). Plate bearing tests shall then be performed on areas of *rock* fill having two passes, four passes and six passes of the compaction equipment, respectively. The number of passes required for the *rock* fill shall be determined by comparing the results of the plate bearing tests for the *soil* fill and the *rock* fill and by evaluating the deflection variation with number of passes. The required number of passes of the compaction equipment will be performed as necessary until the plate bearing deflections are equal to or less than that determined for the properly compacted *soil* fill. In no case will the required number of passes be less than two.
- 6.3.4 A representative of the Consultant should be present during *rock* fill operations to observe that the minimum number of “passes” have been obtained, that water is being properly applied and that specified procedures are being followed. The actual number of plate bearing tests will be determined by the Consultant during grading.
- 6.3.5 Test pits shall be excavated by the Contractor so that the Consultant can state that, in their opinion, sufficient water is present and that voids between large rocks are properly filled with smaller rock material. In-place density testing will not be required in the *rock* fills.
- 6.3.6 To reduce the potential for “piping” of fines into the *rock* fill from overlying *soil* fill material, a 2-foot layer of graded filter material shall be placed above the uppermost lift of *rock* fill. The need to place graded filter material below the *rock* should be determined by the Consultant prior to commencing grading. The gradation of the graded filter material will be determined at the time the *rock* fill is being excavated. Materials typical of the *rock* fill should be submitted to the Consultant in a timely manner, to allow design of the graded filter prior to the commencement of *rock* fill placement.
- 6.3.7 *Rock* fill placement should be continuously observed during placement by the Consultant.

## 7. OBSERVATION AND TESTING

- 7.1 The Consultant shall be the Owner's representative to observe and perform tests during clearing, grubbing, filling, and compaction operations. In general, no more than 2 feet in vertical elevation of *soil* or *soil-rock* fill should be placed without at least one field density test being performed within that interval. In addition, a minimum of one field density test should be performed for every 2,000 cubic yards of *soil* or *soil-rock* fill placed and compacted.
- 7.2 The Consultant should perform a sufficient distribution of field density tests of the compacted *soil* or *soil-rock* fill to provide a basis for expressing an opinion whether the fill material is compacted as specified. Density tests shall be performed in the compacted materials below any disturbed surface. When these tests indicate that the density of any layer of fill or portion thereof is below that specified, the particular layer or areas represented by the test shall be reworked until the specified density has been achieved.
- 7.3 During placement of *rock* fill, the Consultant should observe that the minimum number of passes have been obtained per the criteria discussed in Section 6.3.3. The Consultant should request the excavation of observation pits and may perform plate bearing tests on the placed *rock* fills. The observation pits will be excavated to provide a basis for expressing an opinion as to whether the *rock* fill is properly seated and sufficient moisture has been applied to the material. When observations indicate that a layer of *rock* fill or any portion thereof is below that specified, the affected layer or area shall be reworked until the *rock* fill has been adequately seated and sufficient moisture applied.
- 7.4 A settlement monitoring program designed by the Consultant may be conducted in areas of *rock* fill placement. The specific design of the monitoring program shall be as recommended in the Conclusions and Recommendations section of the project Geotechnical Report or in the final report of testing and observation services performed during grading.
- 7.5 The Consultant should observe the placement of subdrains, to verify that the drainage devices have been placed and constructed in substantial conformance with project specifications.
- 7.6 Testing procedures shall conform to the following Standards as appropriate:

### **7.6.1 Soil and Soil-Rock Fills:**

- 7.6.1.1 Field Density Test, ASTM D 1556-07, *Density of Soil In-Place By the Sand-Cone Method*.
- 7.6.1.2 Field Density Test, Nuclear Method, ASTM D 6938-08A, *Density of Soil and Soil-Aggregate In-Place by Nuclear Methods (Shallow Depth)*.
- 7.6.1.3 Laboratory Compaction Test, ASTM D 1557-09, *Moisture-Density Relations of Soils and Soil-Aggregate Mixtures Using 10-Pound Hammer and 18-Inch Drop*.
- 7.6.1.4. Expansion Index Test, ASTM D 4829-08A, *Expansion Index Test*.

### **7.6.2 Rock Fills**

- 7.6.2.1 Field Plate Bearing Test, ASTM D 1196-09 (Reapproved 1997) *Standard Method for Nonreparative Static Plate Load Tests of Soils and Flexible Pavement Components, For Use in Evaluation and Design of Airport and Highway Pavements*.

## **8. PROTECTION OF WORK**

- 8.1 During construction, the Contractor shall properly grade all excavated surfaces to provide positive drainage and prevent ponding of water. Drainage of surface water shall be controlled to avoid damage to adjoining properties or to finished work on the site. The Contractor shall take remedial measures to prevent erosion of freshly graded areas until such time as permanent drainage and erosion control features have been installed. Areas subjected to erosion or sedimentation shall be properly prepared in accordance with the Specifications prior to placing additional fill or structures.
- 8.2 After completion of grading as observed and tested by the Consultant, no further excavation or filling shall be conducted except in conjunction with the services of the Consultant.

## **9. CERTIFICATIONS AND FINAL REPORTS**

- 9.1 Upon completion of the work, Contractor shall furnish Owner a certification by the Civil Engineer stating that the lots and/or building pads are graded to within 0.1 foot vertically of elevations shown on the grading plan and that all tops and toes of slopes are within 0.5 foot horizontally of the positions shown on the grading plans. After installation of a section of subdrain, the project Civil Engineer should survey its location and prepare an *as-built* plan of the subdrain location. The project Civil Engineer should verify the proper outlet for the subdrains and the Contractor should ensure that the drain system is free of obstructions.
- 9.2 The Owner is responsible for furnishing a final as-graded soil and geologic report satisfactory to the appropriate governing or accepting agencies. The as-graded report should be prepared and signed by a California licensed Civil Engineer experienced in geotechnical engineering and by a California Certified Engineering Geologist, indicating that the geotechnical aspects of the grading were performed in substantial conformance with the Specifications or approved changes to the Specifications.

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3. California Geological Survey, *Seismic Shaking Hazards in California*, Based on the USGS/CGS Probabilistic Seismic Hazards Assessment (PSHA) Model, 2002 (revised April 2003). 10% probability of being exceeded in 50 years.  
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6. *Geologic Maps of the Northwestern Part of San Diego County, California, Plate 2, Geologic Map of the Encinitas and Rancho Santa Fe 7.5' Quadrangles, San Diego County, California*, by Tan and Kennedy, California Division of Mines and Geology, (1996).
7. Kennedy, M. P. and S. S. Tan, 2008, *Geologic Map of the Oceanside 30'x60' Quadrangle, California*, USGS Regional Map Series, Scale 1:100,000.
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9. Risk Engineering, EZ-FRISK, 2008.
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12. Unpublished reports, aerial photographs (AXN 3M-120, and 121), and maps on file with Geocon Incorporated.